



# MACStats: Medicaid and CHIP Program Statistics

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# Overview

MACStats, a standing section in all Commission reports to the Congress, presents data and information on the Medicaid and CHIP programs that otherwise can be difficult to find and are spread across multiple sources. In this report, MACStats includes state-specific information about program enrollment, spending, eligibility levels, and federal medical assistance percentages (FMAPs). It also details benefits and permissible cost sharing under Medicaid and the dollar amounts of common federal poverty levels (FPLs) used to determine eligibility for Medicaid and CHIP. In addition, it provides information that places these programs in the broader context of state budgets and national health expenditures.

New in this report are five tables presenting access to care measures for individuals with Medicaid/CHIP and other types of coverage. The measures reflect five access domains: provider availability, connection with the health care system, contact with health professionals, timeliness of care, and receipt of appropriate care.

Key points in this report include:

- ▶ Total Medicaid spending grew by about 6 percent in fiscal year (FY) 2013, reaching \$460.3 billion (Table 6). Total CHIP spending grew by about 8 percent, reaching \$13.2 billion (Table 8).
- ▶ The estimated number of individuals ever covered by Medicaid remained steady at 72.7 million in FY 2013, compared to 72.2 million in FY 2012 (MACPAC communication with Office of the Actuary, Centers for Medicare & Medicaid Services; includes about one million individuals in the U.S. territories). CHIP enrollment also remained steady at 8.4 million (Table 3).
- ▶ Medicaid as a share of state budgets varies depending on how it is measured (Table 15). Looking only at the state-funded portion of state budgets (that is, the portion financed from their own revenues), Medicaid's share was 14.8 percent in state fiscal year (SFY) 2012. After including federal funds in state budgets, a typical practice in other data sources, Medicaid's share was 23.7 percent in SFY 2012.
- ▶ The Medicaid and CHIP programs together accounted for 15.5 percent of national health expenditures in calendar year 2012, and their share is projected to reach 17 percent in the next decade (Tables 16 and 17).
- ▶ Medicaid and CHIP eligibility levels for most child and adult populations have been converted as of 2014 to reflect the application of uniform modified adjusted gross income (MAGI) rules across states, and half of states are covering a new group of low-income adults (Tables 9 and 10). Eligibility for individuals with disabilities and those age 65 and older was largely unchanged (Table 11).

**TABLE 1. Medicaid and CHIP Enrollment as a Percentage of the U.S. Population, 2013**

| Medicaid and CHIP Enrollment                                    | Administrative Data           |                           | Survey Data (NHIS)  |
|---|-------------------------------|---------------------------|---|
|   | Ever enrolled during the year | Point in time             | Point in time   |
| Medicaid  | 71.7 million <sup>1</sup>     | 58.1 million <sup>1</sup> | Not available   |
| CHIP  | 8.4 million                   | 5.8 million               | Not available   |
| Totals for Medicaid and CHIP                                    | 80.1 million <sup>1</sup>     | 63.9 million <sup>1</sup> | 52.1 million  |
| U.S. Population   | Census Bureau                 | Survey Data (NHIS)        |   |
|   | 317.1 million                 | 316.1 million             | 310.2 million, excluding active-duty military and individuals in institutions |
| Medicaid and CHIP Enrollment as a Percentage of U.S. Population |                               | 25.3%                     | 20.2%   16.8%   |

**Notes:** Excludes U.S. territories. Medicaid and CHIP enrollment numbers obtained from administrative data include individuals who received limited benefits (e.g., emergency services only). Administrative data are estimates for fiscal year (FY) 2013 (October 2012 through September 2013) from the President's budget for FY 2015. By combining administrative totals from Medicaid and CHIP, some individuals may be double-counted if they were enrolled in both programs during the year. Overcounting of enrollees in the administrative data may occur for other reasons—for example, individuals may move and be enrolled in two states' Medicaid programs during the year. National Health Interview Survey (NHIS) data are based on interviews conducted between January and June 2013. NHIS excludes individuals in institutions, such as nursing homes, and active-duty military; in addition, surveys such as NHIS generally do not count limited benefits as Medicaid/CHIP coverage and respondents are known to underreport Medicaid and CHIP coverage. The Census Bureau number in the ever-enrolled column was the estimated U.S. resident population as of December 2013 (the month with the largest count); the number of residents ever living in the United States during the year is not available. The Census Bureau point-in-time number is the average estimated monthly number of U.S. residents for 2013.

For more detailed discussion of why Medicaid and CHIP enrollment numbers can vary, see Table 1 in MACPAC's March 2012 MACStats. As indicated here, reasons include differences in the sources of data (e.g., administrative records versus interviews), the individuals included in the data (e.g., those receiving full versus limited benefits, those who are living in the community versus an institution such as a nursing home), and the enrollment period examined (e.g., ever during the year versus at a point in time).

1 Excludes about one million individuals in the U.S. territories. All other figures in the table exclude individuals in the U.S. territories, but the number of excluded individuals is not available.

**Sources:** MACPAC analysis based on the following: MACPAC communication with Office of the Actuary, Centers for Medicare & Medicaid Services; National Center for Health Statistics analysis of NHIS data for MACPAC (see Table 18); CHIP Statistical Enrollment Data (SEDS) data (see Table 3); and Bureau of the Census, *Population estimates, National totals: Vintage 2013*. <http://www.census.gov/popest/data/national/totals/2013/index.html>.

**TABLE 2. Medicaid Enrollment by State and Selected Characteristics, FY 2011 (thousands)**

| State                | Basis of Eligibility <sup>1</sup> |               |               |              |              |                             | Dual Eligible Status <sup>2</sup> |              |              |              |
|----------------------|-----------------------------------|---------------|---------------|--------------|--------------|-----------------------------|-----------------------------------|--------------|--------------|--------------|
|                      | Total                             | Child         | Adult         | Disabled     | Aged         | All dual-eligible enrollees | Total                             | Age 65+      | Total        | Age 65+      |
| <b>Total</b>         | <b>67,605</b>                     | <b>32,038</b> | <b>19,163</b> | <b>9,952</b> | <b>6,452</b> | <b>10,179</b>               | <b>6,010</b>                      | <b>7,552</b> | <b>4,478</b> | <b>2,627</b> |
| Alabama              | 1,061                             | 539           | 184           | 221          | 118          | 212                         | 117                               | 97           | 51           | 115          |
| Alaska               | 135                               | 74            | 34            | 18           | 9            | 15                          | 8                                 | 15           | 8            | 0            |
| Arizona              | 1,283                             | 571           | 481           | 139          | 91           | 148                         | 86                                | 118          | 64           | 30           |
| Arkansas             | 693                               | 357           | 115           | 151          | 71           | 128                         | 68                                | 70           | 42           | 58           |
| California           | 11,690                            | 4,563         | 5,049         | 1,043        | 1,034        | 1,295                       | 909                               | 1,260        | 882          | 35           |
| Colorado             | 762                               | 437           | 162           | 103          | 60           | 94                          | 55                                | 69           | 42           | 25           |
| Connecticut          | 785                               | 317           | 283           | 77           | 107          | 155                         | 103                               | 83           | 48           | 72           |
| Delaware             | 243                               | 97            | 104           | 26           | 15           | 27                          | 14                                | 12           | 7            | 15           |
| District of Columbia | 232                               | 82            | 93            | 38           | 19           | 23                          | 15                                | 16           | 10           | 7            |
| Florida              | 3,983                             | 2,010         | 844           | 622          | 508          | 739                         | 479                               | 387          | 267          | 352          |
| Georgia              | 1,953                             | 1,139         | 309           | 322          | 183          | 306                         | 179                               | 158          | 93           | 148          |
| Hawaii               | 280                               | 115           | 111           | 28           | 26           | 37                          | 25                                | 32           | 22           | 4            |
| Idaho                | 267                               | 165           | 39            | 43           | 19           | 40                          | 18                                | 27           | 12           | 13           |
| Illinois             | 2,883                             | 1,515         | 816           | 323          | 228          | 372                         | 209                               | 333          | 185          | 40           |
| Indiana              | 1,189                             | 656           | 253           | 188          | 93           | 173                         | 83                                | 107          | 57           | 66           |
| Iowa                 | 589                               | 275           | 186           | 84           | 44           | 88                          | 44                                | 71           | 33           | 17           |
| Kansas               | 416                               | 236           | 61            | 80           | 39           | 72                          | 36                                | 49           | 26           | 23           |
| Kentucky             | 937                               | 449           | 147           | 242          | 99           | 195                         | 98                                | 113          | 58           | 82           |
| Louisiana            | 1,292                             | 682           | 254           | 238          | 118          | 204                         | 116                               | 113          | 63           | 91           |
| Maine                | 435                               | 129           | 116           | 123          | 67           | 104                         | 62                                | 59           | 27           | 45           |
| Maryland             | 1,036                             | 487           | 319           | 149          | 80           | 129                         | 72                                | 84           | 46           | 45           |
| Massachusetts        | 1,519                             | 384           | 633           | 347          | 156          | 259                         | 134                               | 237          | 113          | 22           |
| Michigan             | 2,340                             | 1,181         | 637           | 374          | 147          | 291                         | 134                               | 249          | 113          | 42           |
| Minnesota            | 1,106                             | 460           | 410           | 137          | 99           | 149                         | 79                                | 135          | 70           | 15           |
| Mississippi          | 781                               | 406           | 115           | 170          | 90           | 162                         | 90                                | 84           | 49           | 78           |
| Missouri             | 1,138                             | 577           | 239           | 224          | 98           | 194                         | 93                                | 168          | 80           | 26           |
| Montana              | 135                               | 76            | 23            | 23           | 13           | 25                          | 13                                | 17           | 9            | 8            |
| Nebraska             | 254                               | 148           | 49            | 41           | 17           | 37                          | 16                                | 37           | 15           | 0            |
| Nevada               | 395                               | 239           | 76            | 49           | 31           | 51                          | 30                                | 24           | 16           | 26           |
| New Hampshire        | 171                               | 100           | 24            | 31           | 16           | 35                          | 15                                | 23           | 10           | 12           |
|                      |                                   |               |               |              |              |                             |                                   |              |              | 5            |

**TABLE 2, Continued**

| State          | Total | Basis of Eligibility <sup>1</sup> |       |          | Dual Eligible Status <sup>2</sup> |                             |         | Total | Age 65+ |
|----------------|-------|-----------------------------------|-------|----------|-----------------------------------|-----------------------------|---------|-------|---------|
|                |       | Child                             | Adult | Disabled | Aged                              | All dual-eligible enrollees | Age 65+ |       |         |
| New Jersey     | 1,194 | 629                               | 216   | 190      | 159                               | 236                         | 148     | 206   | 127     |
| New Mexico     | 651   | 367                               | 168   | 72       | 45                                | 74                          | 44      | 41    | 25      |
| New York       | 5,790 | 2,127                             | 2,321 | 697      | 646                               | 844                         | 571     | 724   | 481     |
| North Carolina | 1,948 | 1,007                             | 411   | 341      | 189                               | 340                         | 185     | 263   | 142     |
| North Dakota   | 85    | 45                                | 18    | 12       | 9                                 | 16                          | 9       | 13    | 7       |
| Ohio           | 2,339 | 1,111                             | 633   | 401      | 194                               | 374                         | 181     | 255   | 127     |
| Oklahoma       | 907   | 492                               | 221   | 126      | 68                                | 124                         | 65      | 101   | 53      |
| Oregon         | 729   | 351                               | 212   | 103      | 62                                | 109                         | 60      | 68    | 39      |
| Pennsylvania   | 2,529 | 1,107                             | 532   | 638      | 252                               | 444                         | 240     | 367   | 193     |
| Rhode Island   | 199   | 90                                | 43    | 41       | 26                                | 41                          | 23      | 35    | 19      |
| South Carolina | 961   | 477                               | 232   | 166      | 87                                | 163                         | 87      | 140   | 74      |
| South Dakota   | 132   | 77                                | 23    | 20       | 13                                | 22                          | 13      | 14    | 8       |
| Tennessee      | 1,533 | 795                               | 322   | 270      | 146                               | 279                         | 144     | 156   | 79      |
| Texas          | 5,136 | 3,258                             | 717   | 690      | 470                               | 714                         | 460     | 435   | 289     |
| Utah           | 372   | 218                               | 91    | 45       | 17                                | 36                          | 16      | 31    | 14      |
| Vermont        | 201   | 68                                | 85    | 25       | 23                                | 37                          | 22      | 28    | 16      |
| Virginia       | 1,045 | 566                               | 180   | 186      | 113                               | 192                         | 107     | 127   | 74      |
| Washington     | 1,397 | 787                               | 297   | 212      | 101                               | 181                         | 98      | 132   | 76      |
| West Virginia  | 440   | 208                               | 65    | 124      | 43                                | 87                          | 43      | 51    | 26      |
| Wisconsin      | 1,274 | 497                               | 461   | 169      | 147                               | 227                         | 142     | 206   | 129     |
| Wyoming        | 89    | 58                                | 13    | 12       | 6                                 | 12                          | 6       | 7     | 4       |

**Notes:** Enrollment numbers generally include individuals ever enrolled in Medicaid-financed coverage during the year, even if for a single month; however, in the event individuals were also enrolled in CHIP-financed Medicaid coverage (i.e., Medicaid-expansion CHIP) during the year, they are excluded if their most recent enrollment month was in Medicaid-expansion CHIP. Numbers exclude individuals enrolled only in Medicaid-expansion CHIP during the year and enrollees in the territories.

Due to the unavailability of several states' Medicaid Statistical Information System (MSIS) Annual Person Summary (APS) data for fiscal year (FY) 2011, which is the source used in prior editions of this table, MACPAC calculated enrollment from the full MSIS data files that are used to create the APS files. As a result, figures shown here are not directly comparable to earlier years. For MACPAC's analysis, Medicaid enrollees were assigned a unique national identification (ID) number using an algorithm that incorporates state-specific ID numbers and beneficiary characteristics such as state of birth and gender. The state and national enrollment counts shown here are unduplicated using this national ID. Although state-level information is not yet available, the estimated number of individuals ever enrolled in Medicaid (excluding Medicaid-expansion CHIP) is 71.2 million for FY 2012 and 71.7 million for FY 2013. These FY 2012–FY 2013 figures exclude about 1 million enrollees in the territories (MACPAC communication with CMS Office of the Actuary, March 2014).

<sup>1</sup> Children and adults under age 65 who qualify for Medicaid on the basis of a disability are included in the disabled category. About 706,000 enrollees age 65 and older are identified in the data as disabled; given that disability is not an eligibility pathway for individuals age 65 and older, MACPAC recodes these enrollees as aged.

<sup>2</sup> Dual-eligible enrollees are covered by both Medicaid and Medicare; those with limited benefits only receive Medicaid assistance with Medicare premiums and cost sharing.

**Source:** MACPAC analysis of Medicaid Statistical Information System (MSIS) data as of February 2014.

**TABLE 3. CHIP Enrollment by State, FY 2013**

| State                   | Program Type <sup>1</sup> (as of January 1, 2014) | Children           |               |                         | Adults  |                |                       | Total CHIP Enrollment |
|-------------------------|---|--------------------|---------------|-------------------------|---------|----------------|-----------------------|-----------------------|
|                         |   | Medicaid expansion | Separate CHIP | Total children enrolled | Parents | Pregnant women | Total adults enrolled |                       |
| Total                   | —   | 2,481,333          | 5,649,460     | 8,130,793               | 209,324 | 10,149         | 219,473               | 8,350,266             |
| Alabama                 | Separate  | —                  | 113,490       | 113,490                 | —       | —              | —                     | 113,490               |
| Alaska                  | Medicaid Expansion                                | 16,566             | —             | 16,566                  | —       | —              | —                     | 16,566                |
| Arizona                 | Separate  | —                  | 80,238        | 80,238                  | —       | —              | —                     | 80,238                |
| Arkansas                | Combination                                       | 106,413            | 2,888         | 109,301                 | 10,425  | —              | 10,425                | 119,726               |
| California              | Combination                                       | 510,424            | 1,092,859     | 1,603,283               | —       | —              | —                     | 1,603,283             |
| Colorado <sup>2,3</sup> | Combination                                       | —                  | 126,169       | 126,169                 | —       | 4,873          | 4,873                 | 131,042               |
| Connecticut             | Separate  | —                  | 18,999        | 18,999                  | —       | —              | —                     | 18,999                |
| Delaware                | Combination                                       | 79                 | 13,101        | 13,180                  | —       | —              | —                     | 13,180                |
| District of Columbia    | Medicaid Expansion                                | 9,057              | —             | 9,057                   | —       | —              | —                     | 9,057                 |
| Florida                 | Combination                                       | 1,072              | 472,343       | 473,415                 | —       | —              | —                     | 473,415               |
| Georgia                 | Separate  | —                  | 269,906       | 269,906                 | —       | —              | —                     | 269,906               |
| Hawaii                  | Medicaid Expansion                                | 30,979             | —             | 30,979                  | —       | —              | —                     | 30,979                |
| Idaho <sup>4</sup>      | Combination                                       | 19,881             | 25,518        | 45,399                  | 392     | —              | 392                   | 45,791                |
| Illinois                | Combination                                       | 162,134            | 174,963       | 337,097                 | —       | —              | —                     | 337,097               |
| Indiana                 | Combination                                       | 105,655            | 46,760        | 152,415                 | —       | —              | —                     | 152,415               |
| Iowa                    | Combination                                       | 22,159             | 61,511        | 83,670                  | —       | —              | —                     | 83,670                |
| Kansas                  | Separate  | —                  | 76,164        | 76,164                  | —       | —              | —                     | 76,164                |
| Kentucky                | Combination                                       | 51,391             | 32,678        | 84,069                  | —       | —              | —                     | 84,069                |
| Louisiana               | Combination                                       | 140,876            | 9,092         | 149,968                 | —       | —              | —                     | 149,968               |
| Maine                   | Combination                                       | 19,071             | 10,641        | 29,712                  | —       | —              | —                     | 29,712                |
| Maryland                | Medicaid Expansion                                | 135,454            | —             | 135,454                 | —       | —              | —                     | 135,454               |
| Massachusetts           | Combination                                       | 69,113             | 79,606        | 148,719                 | —       | —              | —                     | 148,719               |
| Michigan                | Combination                                       | 19,229             | 70,441        | 89,670                  | —       | —              | —                     | 89,670                |
| Minnesota               | Combination                                       | 91                 | 3,744         | 3,835                   | —       | —              | —                     | 3,835                 |
| Mississippi             | Separate  | —                  | 93,120        | 93,120                  | —       | —              | —                     | 93,120                |
| Missouri                | Combination                                       | 55,017             | 37,901        | 92,918                  | —       | —              | —                     | 92,918                |
| Montana <sup>3</sup>    | Combination                                       | —                  | 31,496        | 31,496                  | —       | —              | —                     | 31,496                |
| Nebraska                | Combination                                       | 53,790             | 1,993         | 55,783                  | —       | —              | —                     | 55,783                |
| Nevada <sup>3</sup>     | Combination                                       | —                  | 20,277        | 20,277                  | —       | —              | —                     | 20,277                |
| New Hampshire           | Medicaid Expansion                                | 19,450             | —             | 19,450                  | —       | —              | —                     | 19,450                |

**TABLE 3, Continued**

| State                 | Program Type <sup>1</sup> (as of January 1, 2014) | Children           |               |                         | Adults  |                |                       | Total CHIP Enrollment |
|-----------------------|---|--------------------|---------------|-------------------------|---------|----------------|-----------------------|-----------------------|
|                       |   | Medicaid expansion | Separate CHIP | Total children enrolled | Parents | Pregnant women | Total adults enrolled |                       |
| New Jersey            | Combination                                       | 90,512             | 116,249       | 206,761                 | 183,717 | 291            | 184,008               | 390,769               |
| New Mexico            | Medicaid Expansion                                | 9,368              | —             | 9,368                   | 14,790  | —              | 14,790                | 24,158                |
| New York <sup>2</sup> | Combination                                       | —                  | 490,114       | 490,114                 | —       | —              | —                     | 490,114               |
| North Carolina        | Combination                                       | 81,656             | 201,916       | 283,572                 | —       | —              | —                     | 283,572               |
| North Dakota          | Combination                                       | 2,331              | 8,950         | 11,281                  | —       | —              | —                     | 11,281                |
| Ohio                  | Medicaid Expansion                                | 286,817            | —             | 286,817                 | —       | —              | —                     | 286,817               |
| Oklahoma              | Combination                                       | 140,373            | 7,538         | 147,911                 | —       | —              | —                     | 147,911               |
| Oregon                | Separate  | —                  | 128,061       | 128,061                 | —       | —              | —                     | 128,061               |
| Pennsylvania          | Separate  | —                  | 267,073       | 267,073                 | —       | —              | —                     | 267,073               |
| Rhode Island          | Combination                                       | 24,508             | 2,069         | 26,577                  | —       | 349            | 349                   | 26,926                |
| South Carolina        | Medicaid Expansion                                | 76,191             | —             | 76,191                  | —       | —              | —                     | 76,191                |
| South Dakota          | Combination                                       | 13,357             | 4,275         | 17,632                  | —       | —              | —                     | 17,632                |
| Tennessee             | Combination                                       | 22,906             | 83,567        | 106,473                 | —       | —              | —                     | 106,473               |
| Texas                 | Separate  | —                  | 1,034,613     | 1,034,613               | —       | —              | —                     | 1,034,613             |
| Utah                  | Separate  | —                  | 63,001        | 63,001                  | —       | —              | —                     | 63,001                |
| Vermont               | Separate  | —                  | 7,393         | 7,393                   | —       | —              | —                     | 7,393                 |
| Virginia              | Combination                                       | 92,690             | 104,221       | 196,911                 | —       | 4,636          | 4,636                 | 201,547               |
| Washington            | Separate  | —                  | 44,073        | 44,073                  | —       | —              | —                     | 44,073                |
| West Virginia         | Separate  | —                  | 37,065        | 37,065                  | —       | —              | —                     | 37,065                |
| Wisconsin             | Combination                                       | 92,723             | 74,569        | 167,292                 | —       | —              | —                     | 167,292               |
| Wyoming               | Separate  | —                  | 8,815         | 8,815                   | —       | —              | —                     | 8,815                 |

**Notes:** Enrollment numbers generally include individuals ever enrolled during the year, even if for a single month; however, in the event individuals were in multiple categories during the year (for example, in Medicaid for the first half of the year but a separate CHIP program for the second half), the individual would only be counted in the most recent category. CHIP-funded coverage of childless adults was prohibited after December 31, 2009. New Jersey and Rhode Island cover targeted low-income pregnant women under a CHIP state plan option; all other CHIP-funded coverage of adults shown in the table was permitted through waivers. Data shown in the table are as of March 4, 2014; states may subsequently revise their current or historical data.

1 Under CHIP, states have the option to use an expansion of Medicaid, a separate CHIP program, or a combination of both approaches. In 2014, all states are eligible to receive CHIP funding for at least some Medicaid-enrolled children due to a mandatory transition of 6- to 18-year-olds between 100 and 133 percent FPL in separate CHIP programs to Medicaid, and a mandatory income disregard equal to 5 percent FPL that effectively raises Medicaid eligibility levels by 5 percentage points. See Table 9 for more information.

2 Colorado data are from fiscal year (FY) 2012.

3 Montana, Nevada, and New York were combination programs in FY 2013 but did not report any Medicaid-expansion enrollees in the CHIP Statistical Enrollment Data System (SEDS). Colorado became a combination program in FY 2013 but had not yet reported any SEDS data for that year as of March 4, 2014; as a result, FY 2012 data shown here do not include Medicaid-expansion enrollees.

4 Data on adults are from FY 2012 for Idaho.

**Sources:** For numbers of children: MACPAC analysis of CHIP Statistical Enrollment Data System (SEDS) from Centers for Medicare & Medicaid Services (CMS) as of March 4, 2014; for numbers of adults: CMS analysis for MACPAC of SEDS as of February 28, 2014, as reported by states; for CHIP program type: MACPAC analysis of CHIP state plan amendments on the CMS website and CMS, *Children's Health Insurance Program: Plan activity as of January 1, 2014*.

**TABLE 4. Child Enrollment in Medicaid-Financed Coverage by State, and CHIP-Financed Coverage by State and Family Income, FY 2013**

| State                 | Medicaid-Financed Children <sup>1</sup> | CHIP-Financed Children<br>(Medicaid-expansion and Separate CHIP Coverage) |              |                            |             |                |             | All incomes      |
|-----------------------|---|---|--------------|----------------------------|-------------|----------------|-------------|------------------|
|                       |   | At or below 200% FPL  |              | From 200% through 250% FPL |             | Above 250% FPL |             |                  |
|                       | All incomes                             | Number  | Percentage   | Number                     | Percentage  | Number         | Percentage  |                  |
| <b>Total</b>          | <b>38,731,044</b>                       | <b>7,223,757</b>  | <b>88.8%</b> | <b>69,169</b>              | <b>8.6%</b> | <b>209,867</b> | <b>2.6%</b> | <b>8,130,793</b> |
| Alabama               | 616,718                                 | 91,633  | 80.7         | 15,453                     | 13.6        | 6,404          | 5.6         | 113,490          |
| Alaska                | 86,926                                  | 16,566  | 100.0        | —                          | —           | —              | —           | 16,566           |
| Arizona               | 913,271                                 | 80,238  | 100.0        | —                          | —           | —              | —           | 80,238           |
| Arkansas              | 513,534                                 | 109,301   | 100.0        | —                          | —           | —              | —           | 109,301          |
| California            | 5,318,080                               | 1,369,661   | 85.4         | 223,271                    | 13.9        | 10,351         | 0.6         | 1,603,283        |
| Colorado <sup>2</sup> | 484,882                                 | 103,468   | 82.0         | 22,701                     | 18.0        | —              | —           | 126,169          |
| Connecticut           | 325,414                                 | 3,577   | 18.8         | 9,646                      | 50.8        | 5,776          | 30.4        | 18,999           |
| Delaware <sup>3</sup> | 96,916                                  | 13,180  | 100.0        | —                          | —           | —              | —           | 13,180           |
| District of Columbia  | 91,712                                  | —   | —            | 9,057                      | 100.0       | —              | —           | 9,057            |
| Florida               | 2,119,324                               | 473,415   | 100.0        | —                          | —           | —              | —           | 473,415          |
| Georgia               | 1,162,529                               | 233,303   | 86.4         | 36,603                     | 13.6        | —              | —           | 269,906          |
| Hawaii                | 138,258                                 | 26,375  | 85.1         | 3,426                      | 11.1        | 1,178          | 3.8         | 30,979           |
| Idaho                 | 211,607                                 | 45,399  | 100.0        | —                          | —           | —              | —           | 45,399           |
| Illinois              | 2,352,202                               | 337,097   | 100.0        | —                          | —           | —              | —           | 337,097          |
| Indiana               | 701,804                                 | 138,324   | 90.8         | 14,091                     | 9.2         | —              | —           | 152,415          |
| Iowa                  | 318,377                                 | 69,836  | 83.5         | 1,752                      | 2.1         | 12,082         | 14.4        | 83,670           |
| Kansas <sup>3</sup>   | 237,026                                 | 69,691  | 91.5         | 6,473                      | 8.5         | —              | —           | 76,164           |
| Kentucky              | 485,286                                 | 84,069  | 100.0        | —                          | —           | —              | —           | 84,069           |
| Louisiana             | 670,729                                 | 145,012   | 96.7         | 4,956                      | 3.3         | —              | —           | 149,968          |
| Maine                 | 175,128                                 | 29,712  | 100.0        | —                          | —           | —              | —           | 29,712           |
| Maryland              | 490,009                                 | 39,279  | 29.0         | 90,793                     | 67.0        | 5,382          | 4.0         | 135,454          |
| Massachusetts         | 544,851                                 | 117,462   | 79.0         | 19,798                     | 13.3        | 11,459         | 7.7         | 148,719          |
| Michigan              | 1,195,649                               | 89,670  | 100.0        | —                          | —           | —              | —           | 89,670           |
| Minnesota             | 505,264                                 | 3,663   | 95.5         | 70                         | 1.8         | 102            | 2.7         | 3,835            |
| Mississippi           | 467,918                                 | 93,120  | 100.0        | —                          | —           | —              | —           | 93,120           |
| Missouri              | 559,265                                 | 79,904  | 86.0         | 9,068                      | 9.8         | 3,946          | 4.2         | 92,918           |
| Montana               | 83,447                                  | 31,496  | 100.0        | —                          | —           | —              | —           | 31,496           |
| Nebraska              | 165,038                                 | 55,783  | 100.0        | —                          | —           | —              | —           | 55,783           |
| Nevada <sup>3</sup>   | 256,109                                 | 20,277  | 100.0        | —                          | —           | —              | —           | 20,277           |

**TABLE 4, Continued**

| <b>Medicaid-Financed Children<sup>1</sup></b> |                    | <b>CHIP-Financed Children<br/>(Medicaid-expansion and Separate CHIP Coverage)</b> |                   |                                   |                   |                       |                   |
|---|--------------------|---|-------------------|-----------------------------------|-------------------|-----------------------|-------------------|
| <b>State</b>                                  | <b>All incomes</b> | <b>At or below 200% FPL</b>   |                   | <b>From 200% through 250% FPL</b> |                   | <b>Above 250% FPL</b> |                   |
|   |                    | <b>Number</b>   | <b>Percentage</b> | <b>Number</b>                     | <b>Percentage</b> | <b>Number</b>         | <b>Percentage</b> |
| New Hampshire                                 | <b>85,562</b>      | 7,339   | 37.7%             | 7,511                             | 38.6%             | 4,600                 | 23.7%             |
| New Jersey                                    | <b>662,198</b>     | 157,727   | 76.3              | 27,636                            | 13.4              | 21,398                | 10.3              |
| New Mexico                                    | <b>380,290</b>     | 4,308   | 46.0              | 5,060                             | 54.0              | —                     | —                 |
| New York                                      | <b>2,309,571</b>   | 289,919   | 59.2              | 104,921                           | 21.4              | 95,274                | 19.4              |
| North Carolina <sup>3</sup>                   | <b>2,517,188</b>   | 283,572   | 100.0             | —                                 | —                 | —                     | —                 |
| North Dakota                                  | <b>50,957</b>      | 11,281  | 100.0             | —                                 | —                 | —                     | —                 |
| Ohio  | <b>1,483,176</b>   | 286,817   | 100.0             | —                                 | —                 | —                     | —                 |
| Oklahoma <sup>3</sup>                         | <b>558,262</b>     | 147,911   | 100.0             | —                                 | —                 | —                     | —                 |
| Oregon  | <b>401,721</b>     | 112,675   | 88.0              | 10,556                            | 8.2               | 4,830                 | 3.8               |
| Pennsylvania                                  | <b>1,309,862</b>   | 225,995   | 84.6              | 29,068                            | 10.9              | 12,010                | 4.5               |
| Rhode Island                                  | <b>112,002</b>     | 23,304  | 87.7              | 3,273                             | 12.3              | —                     | —                 |
| South Carolina <sup>3</sup>                   | <b>582,293</b>     | 76,191  | 100.0             | —                                 | —                 | —                     | —                 |
| South Dakota <sup>3</sup>                     | <b>46,948</b>      | 17,632  | 100.0             | —                                 | —                 | —                     | —                 |
| Tennessee                                     | <b>790,923</b>     | 92,276  | 86.7              | 14,197                            | 13.3              | —                     | —                 |
| Texas <sup>4</sup>                            | <b>3,518,832</b>   | 1,034,613   | 100.0             | —                                 | —                 | —                     | —                 |
| Utah  | <b>283,213</b>     | 63,001  | 100.0             | —                                 | —                 | —                     | —                 |
| Vermont                                       | <b>72,512</b>      | —   | —                 | 3,726                             | 50.4              | 3,667                 | 49.6              |
| Virginia                                      | <b>648,173</b>     | 196,911   | 100.0             | —                                 | —                 | —                     | —                 |
| Washington                                    | <b>768,387</b>     | 11,934  | 27.1              | 20,731                            | 47.0              | 11,408                | 25.9              |
| West Virginia                                 | <b>260,326</b>     | 33,924  | 91.5              | 3,141                             | 8.5               | —                     | —                 |
| Wisconsin                                     | <b>542,731</b>     | 167,101   | 99.9              | 191                               | 0.1               | —                     | —                 |
| Wyoming                                       | <b>58,644</b>      | 8,815   | 100.0             | —                                 | —                 | —                     | —                 |

**Notes:** Enrollment numbers generally include children ever enrolled during the year, even if for a single month; however, in the event children were in multiple categories during the year (for example, in Medicaid for the first half of the year but in a separate CHIP program for the second half), the child would only be counted in the most recent category. The definition in this table for Medicaid-financed children may differ from that used elsewhere in this report. This table includes children with and without disabilities; in tables using Medicaid eligibility categories, children qualifying on the basis of a disability are counted in the disabled category, not the child category. In 2014, 200 percent of the federal poverty level (FPL) is \$23,340 for an individual and \$8,120 for each additional family member in the lower 48 states and the District of Columbia. For additional information, see MACStats Table 19. Data shown in the table are as of March 4, 2014; states may subsequently revise their current or historical data.

<sup>1</sup> MACPAC analysis of Statistical Enrollment Data System (SEDS), as reported by states, found that 99.4 percent of Medicaid-financed children were at or below 200 percent FPL.

<sup>2</sup> Colorado data are from fiscal year (FY) 2012.

<sup>3</sup> In SEDS, Delaware, Nevada, North Carolina, Oklahoma, South Carolina, and South Dakota reported CHIP enrollees above 200 percent FPL, and Kansas reported CHIP enrollees above 250 percent FPL; however, their CHIP programs are reported to only cover individuals at or below these levels. The numbers here were altered to put all of these enrollees at or below 200 (250 in the case of Kansas) percent FPL.

<sup>4</sup> Data on Medicaid-financed children are from FY 2012 for Texas.

**Source:** MACPAC analysis of CHIP Statistical Enrollment Data System (SEDS) data from CMS as of March 4, 2014.

**TABLE 5. Child Enrollment in Separate CHIP Programs by State and Managed Care Participation, FY 2013**

| State                 | Total <sup>1</sup> | Managed Care |            | Fee for Service |            | Primary Care Case Management |            |
|-----------------------|--------------------|--------------|------------|-----------------|------------|------------------------------|------------|
|                       |                    | Number       | Percentage | Number          | Percentage | Number                       | Percentage |
| Total                 | 5,649,460          | 4,528,414    | 80.2%      | 919,723         | 16.3%      | 201,323                      | 3.6%       |
| Alabama               | 113,490            | —            | —          | 113,490         | 100.0      | —                            | —          |
| Alaska                | —                  | —            | —          | —               | —          | —                            | —          |
| Arizona               | 80,238             | 75,609       | 94.2       | 4,629           | 5.8        | —                            | —          |
| Arkansas              | 2,888              | —            | —          | 2,888           | 100.0      | —                            | —          |
| California            | 1,092,859          | 977,885      | 89.5       | 114,974         | 10.5       | —                            | —          |
| Colorado <sup>2</sup> | 126,169            | 126,169      | 100.0      | —               | —          | —                            | —          |
| Connecticut           | 18,999             | —            | —          | 18,999          | 100.0      | —                            | —          |
| Delaware              | 13,101             | 12,940       | 98.8       | —               | —          | 161                          | 1.2        |
| District of Columbia  | —                  | —            | —          | —               | —          | —                            | —          |
| Florida               | 472,343            | 459,381      | 97.3       | 5,414           | 1.1        | 7,548                        | 1.6        |
| Georgia               | 269,906            | 255,890      | 94.8       | 14,016          | 5.2        | —                            | —          |
| Hawaii                | —                  | —            | —          | —               | —          | —                            | —          |
| Idaho                 | 25,518             | —            | —          | —               | —          | 25,518                       | 100.0      |
| Illinois              | 174,963            | 6,156        | 3.5        | 46,265          | 26.4       | 122,542                      | 70.0       |
| Indiana               | 46,760             | 41,212       | 88.1       | 5,548           | 11.9       | —                            | —          |
| Iowa                  | 61,511             | 61,511       | 100.0      | —               | —          | —                            | —          |
| Kansas                | 76,164             | 76,118       | 99.9       | 46              | 0.1        | —                            | —          |
| Kentucky              | 32,678             | 32,558       | 99.6       | 120             | 0.4        | —                            | —          |
| Louisiana             | 9,092              | 1,590        | 17.5       | 4,797           | 52.8       | 2,705                        | 29.8       |
| Maine                 | 10,641             | —            | —          | 3,277           | 30.8       | 7,364                        | 69.2       |
| Maryland              | —                  | —            | —          | —               | —          | —                            | —          |
| Massachusetts         | 79,606             | 29,255       | 36.7       | 29,053          | 36.5       | 21,298                       | 26.8       |
| Michigan              | 70,441             | 62,895       | 89.3       | 7,546           | 10.7       | —                            | —          |
| Minnesota             | 3,744              | 3,138        | 83.8       | 606             | 16.2       | —                            | —          |
| Mississippi           | 93,120             | 93,120       | 100.0      | —               | —          | —                            | —          |
| Missouri              | 37,901             | 14,914       | 39.3       | 22,987          | 60.7       | —                            | —          |
| Montana               | 31,496             | —            | —          | 31,496          | 100.0      | —                            | —          |
| Nebraska              | 1993               | —            | —          | 1,993           | 100.0      | —                            | —          |

**TABLE 5, Continued**

| State          | Managed Care       |           | Primary Care Case Management |                        | Number                     | Percentage     |
|----------------|--------------------|-----------|------------------------------|------------------------|----------------------------|----------------|
|                | Total <sup>1</sup> | Number    | Percentage                   | Fee for Service Number | Fee for Service Percentage |                |
| Nevada         | <b>20,277</b>      | 17,716    | 87.4%                        | 2,561                  | 12.6%                      | —              |
| New Hampshire  | —                  | —         | —                            | —                      | —                          | —              |
| New Jersey     | <b>116,249</b>     | 113,437   | 97.6                         | 2,812                  | 2.4                        | —              |
| New Mexico     | —                  | —         | —                            | —                      | —                          | —              |
| New York       | <b>490,114</b>     | 489,456   | 99.9                         | 658                    | 0.1                        | —              |
| North Carolina | <b>201,916</b>     | —         | —                            | 201,916                | 100.0                      | —              |
| North Dakota   | <b>8,950</b>       | 4,754     | 53.1                         | —                      | —                          | —              |
| Ohio           | —                  | —         | —                            | —                      | —                          | 4,196<br>46.9% |
| Oklahoma       | <b>7,538</b>       | 190       | 2.5                          | 7,348                  | 97.5                       | —              |
| Oregon         | <b>128,061</b>     | 14,950    | 11.7                         | 113,101                | 88.3                       | 10<br>0.0      |
| Pennsylvania   | <b>267,073</b>     | 267,073   | 100.0                        | —                      | —                          | —              |
| Rhode Island   | <b>2,069</b>       | 2,069     | 100.0                        | —                      | —                          | —              |
| South Carolina | —                  | —         | —                            | —                      | —                          | —              |
| South Dakota   | <b>4,275</b>       | —         | —                            | 1,477                  | 34.5                       | —              |
| Tennessee      | <b>83,567</b>      | —         | —                            | 83,567                 | 100.0                      | —              |
| Texas          | <b>1,034,613</b>   | 1,034,613 | 100.0                        | —                      | —                          | —              |
| Utah           | <b>63,001</b>      | 63,001    | 100.0                        | —                      | —                          | —              |
| Vermont        | <b>7,393</b>       | —         | —                            | 411                    | 5.6                        | 6,982<br>94.4  |
| Virginia       | <b>104,221</b>     | 92,284    | 88.5                         | 11,937                 | 11.5                       | —              |
| Washington     | <b>44,073</b>      | 28,352    | 64.3                         | 15,520                 | 35.2                       | 201<br>0.5     |
| West Virginia  | <b>37,065</b>      | —         | —                            | 37,065                 | 100.0                      | —              |
| Wisconsin      | <b>74,569</b>      | 61,363    | 82.3                         | 13,206                 | 17.7                       | —              |
| Wyoming        | <b>8,815</b>       | 8,815     | 100.0                        | —                      | —                          | —              |

**Notes:** Enrollment numbers generally include children ever enrolled during the year; however, in the event children were in multiple categories during the year (for example, in Medicaid for the first half of the year but in a separate CHIP program for the second half), the child would only be counted in the most recent category. Categorizations of the types of delivery system are based on states' definitions and Statistical Enrollment Data System (SEDS) instructions to states. According to SEDS instructions, managed care includes arrangements under which the state contracts with a health maintenance or health insuring organization to provide a comprehensive set of services; enrollees choose a plan and a primary care provider (PCP) who will be responsible for managing their care. Under fee-for-service, providers submit claims to the state and are paid a specific amount for each service performed. Under primary care case management, providers are paid generally on a fee-for-service basis, but PCPs are paid an additional flat monthly fee for each patient assigned to them for case management. Data shown in the table are as of March 4, 2014; states may subsequently revise their current or historical data.

<sup>1</sup> Because this table shows enrollment only in separate CHIP programs, these totals do not include child enrollment in Medicaid-expansion CHIP programs.

<sup>2</sup> Colorado data are from fiscal year (FY) 2012.

**Source:** MACPAC analysis of CHIP Statistical Enrollment Data System (SEDS) data from CMS as of March 4, 2014.

**TABLE 6. Medicaid Spending by State, Category, and Source of Funds, FY 2013 (millions)**

| State                | Total   |         | Benefits |       | State Program Administration |       | Total Medicaid |         | State   |
|----------------------|---------|---------|----------|-------|------------------------------|-------|----------------|---------|---------|
|                      | Federal | State   | Federal  | State | Federal                      | State | Total          | Federal |         |
| Alabama              | \$5,000 | \$3,454 | \$1,546  | \$217 | \$139                        | \$78  | \$5,216        | \$3,592 | \$1,624 |
| Alaska               | 1,341   | 776     | 565      | 105   | 73                           | 33    | 1,446          | 849     | 598     |
| Arizona              | 8,437   | 5,727   | 2,710    | 233   | 159                          | 74    | 8,670          | 5,886   | 2,784   |
| Arkansas             | 4,156   | 2,937   | 1,220    | 272   | 171                          | 101   | 4,428          | 3,108   | 1,320   |
| California           | 61,426  | 31,501  | 29,925   | 4,631 | 2,614                        | 2,017 | 66,057         | 34,115  | 31,942  |
| Colorado             | 5,048   | 2,536   | 2,512    | 267   | 167                          | 99    | 5,315          | 2,703   | 2,612   |
| Connecticut          | 6,415   | 3,243   | 3,172    | 308   | 177                          | 131   | 6,723          | 3,420   | 3,303   |
| Delaware             | 1,558   | 867     | 690      | 98    | 71                           | 27    | 1,655          | 938     | 717     |
| District of Columbia | 2,276   | 1,592   | 684      | 121   | 70                           | 52    | 2,397          | 1,661   | 736     |
| Florida              | 18,411  | 10,742  | 7,670    | 820   | 514                          | 306   | 19,231         | 11,255  | 7,976   |
| Georgia              | 8,888   | 5,889   | 2,999    | 471   | 307                          | 164   | 9,359          | 6,196   | 3,163   |
| Hawaii               | 1,586   | 825     | 761      | 110   | 81                           | 29    | 1,697          | 907     | 790     |
| Idaho                | 1,642   | 1,167   | 475      | 120   | 94                           | 25    | 1,762          | 1,262   | 500     |
| Illinois             | 15,494  | 7,834   | 7,660    | 1,039 | 658                          | 382   | 16,533         | 8,492   | 8,041   |
| Indiana              | 7,931   | 5,349   | 2,582    | 437   | 272                          | 165   | 8,367          | 5,620   | 2,747   |
| Iowa                 | 3,623   | 2,186   | 1,436    | 183   | 131                          | 52    | 3,806          | 2,317   | 1,489   |
| Kansas               | 2,545   | 1,443   | 1,102    | 176   | 114                          | 61    | 2,721          | 1,557   | 1,163   |
| Kentucky             | 5,726   | 4,047   | 1,680    | 209   | 148                          | 61    | 5,935          | 4,195   | 1,740   |
| Louisiana            | 6,889   | 4,514   | 2,375    | 293   | 188                          | 105   | 7,181          | 4,701   | 2,480   |
| Maine                | 2,827   | 1,778   | 1,049    | 132   | 92                           | 41    | 2,959          | 1,870   | 1,089   |
| Maryland             | 7,688   | 3,900   | 3,788    | 365   | 224                          | 141   | 8,053          | 4,124   | 3,929   |
| Massachusetts        | 12,999  | 6,520   | 6,479    | 687   | 418                          | 270   | 13,687         | 6,937   | 6,749   |
| Michigan             | 12,308  | 8,180   | 4,129    | 662   | 413                          | 250   | 12,971         | 8,593   | 4,378   |
| Minnesota            | 8,781   | 4,440   | 4,342    | 563   | 327                          | 236   | 9,344          | 4,766   | 4,577   |
| Mississippi          | 4,709   | 3,484   | 1,224    | 171   | 121                          | 50    | 4,879          | 3,605   | 1,274   |
| Missouri             | 8,863   | 5,504   | 3,359    | 347   | 220                          | 127   | 9,210          | 5,724   | 3,486   |
| Montana              | 997     | 671     | 325      | 78    | 53                           | 25    | 1,075          | 725     | 350     |
| Nebraska             | 1,790   | 1,000   | 790      | 116   | 79                           | 37    | 1,906          | 1,079   | 827     |
| Nevada               | 1,797   | 1,083   | 714      | 121   | 82                           | 39    | 1,919          | 1,165   | 754     |
| New Hampshire        | 1,189   | 603     | 585      | 103   | 70                           | 34    | 1,292          | 673     | 619     |
| New Jersey           | 10,481  | 5,259   | 5,222    | 663   | 381                          | 282   | 11,144         | 5,639   | 5,505   |
| New Mexico           | 3,281   | 2,303   | 978      | 189   | 134                          | 55    | 3,470          | 2,437   | 1,033   |
| New York             | 52,490  | 26,473  | 26,017   | 1,703 | 1,037                        | 667   | 54,193         | 27,510  | 26,683  |
| North Carolina       | 11,722  | 7,719   | 4,003    | 741   | 493                          | 248   | 12,463         | 8,212   | 4,251   |
| North Dakota         | 775     | 411     | 365      | 61    | 41                           | 21    | 836            | 451     | 385     |
| Ohio                 | 16,628  | 10,615  | 6,014    | 609   | 394                          | 214   | 17,237         | 11,009  | 6,228   |

**TABLE 6, Continued**

| State   | Benefits         |                  | State Program Administration |                 |                 | Total Medicaid |                              | State                        |                  |
|---|------------------|------------------|------------------------------|-----------------|-----------------|----------------|------------------------------|------------------------------|------------------|
|   | Total            | Federal          | State                        | Total           | Federal         | State          | Total                        | Federal                      |                  |
| Oklahoma  | \$4,482          | \$2,916          | \$1,566                      | \$270           | \$177           | \$94           | \$4,752                      | \$3,092                      | \$1,660          |
| Oregon  | 5,071            | 3,185            | 1,886                        | 530             | 308             | 221            | 5,600                        | 3,493                        | 2,107            |
| Pennsylvania  | 20,922           | 11,375           | 9,548                        | 777             | 476             | 301            | 21,699                       | 11,850                       | 9,849            |
| Rhode Island  | 1,909            | 988              | 921                          | 109             | 73              | 37             | 2,018                        | 1,061                        | 958              |
| South Carolina  | 4,690            | 3,317            | 1,373                        | 232             | 157             | 75             | 4,922                        | 3,473                        | 1,448            |
| South Dakota  | 758              | 459              | 299                          | 62              | 40              | 22             | 820                          | 499                          | 321              |
| Tennessee   | 8,678            | 5,784            | 2,894                        | 344             | 220             | 124            | 9,022                        | 6,005                        | 3,018            |
| Texas   | 27,752           | 16,596           | 11,156                       | 1,334           | 832             | 502            | 29,086                       | 17,428                       | 11,658           |
| Utah  | 2,087            | 1,454            | 633                          | 142             | 92              | 51             | 2,229                        | 1,546                        | 684              |
| Vermont   | 1,452            | 816              | 636                          | 35              | 30              | 5              | 1,487                        | 846                          | 641              |
| Virginia  | 7,218            | 3,654            | 3,565                        | 387             | 257             | 130            | 7,605                        | 3,911                        | 3,694            |
| Washington  | 7,806            | 3,915            | 3,891                        | 602             | 357             | 244            | 8,407                        | 4,272                        | 4,135            |
| West Virginia   | 3,007            | 2,169            | 838                          | 174             | 120             | 54             | 3,181                        | 2,289                        | 892              |
| Wisconsin   | 7,035            | 4,222            | 2,812                        | 356             | 233             | 123            | 7,391                        | 4,456                        | 2,935            |
| Wyoming   | 547              | 279              | 267                          | 48              | 33              | 15             | 595                          | 312                          | 283              |
| <b>Subtotal (States)</b>  | <b>\$431,130</b> | <b>\$247,698</b> | <b>\$183,432</b>             | <b>\$22,821</b> | <b>\$14,128</b> | <b>\$8,693</b> | <b>\$453,952</b>             | <b>\$261,826</b>             | <b>\$192,126</b> |
| American Samoa  | 25               | 14               | 11                           | 1               | 1               | 0              | 26                           | 14                           | 11               |
| Guam  | 60               | 33               | 27                           | 3               | 2               | 1              | 63                           | 35                           | 28               |
| Northern Mariana Islands  | 31               | 18               | 14                           | 2               | 2               | 0              | 34                           | 20                           | 14               |
| Puerto Rico   | 1,837            | 1,011            | 827                          | 107             | 81              | 26             | 1,944                        | 1,091                        | 853              |
| Virgin Islands  | 25               | 14               | 11                           | 4               | 2               | 1              | 29                           | 16                           | 13               |
| <b>Subtotal (States &amp; Territories)</b>                                    | <b>\$433,110</b> | <b>\$248,788</b> | <b>\$184,322</b>             | <b>\$22,938</b> | <b>\$14,216</b> | <b>\$8,722</b> | <b>\$456,048</b>             | <b>\$263,003</b>             | <b>\$193,045</b> |
| State Medicaid Fraud Control Units (MFCUs)                                    | —                | —                | —                            | 296             | 222             | 74             | 296                          | 222                          | 74               |
| Medicaid survey and certification of nursing and intermediate care facilities | —                | —                | —                            | 307             | 230             | 77             | 307                          | 230                          | 77               |
| Vaccines for Children (VFC) program   | —                | —                | —                            | —               | —               | —              | 3,607                        | 3,607                        | —                |
| <b>Total</b>  | <b>\$433,110</b> | <b>\$248,788</b> | <b>\$184,322</b>             | <b>\$23,541</b> | <b>\$14,668</b> | <b>\$8,873</b> | <b>\$460,258<sup>1</sup></b> | <b>\$267,063<sup>1</sup></b> | <b>\$193,196</b> |

**Notes:** Total federal spending shown here (\$267,063 billion) will differ from total federal outlays shown in fiscal year (FY) 2013 budget documents due to slight differences in the timing of data for the states and the treatment of certain adjustments. Benefits and Administration columns do not sum to Total Medicaid due to the inclusion of VFC in Total Medicaid. Federal spending in the territories is capped; however, they report their total spending regardless of whether they have reached their caps. As a result, federal spending shown here may exceed the amounts actually paid to the territories. State shares for MFCUs and survey and certification are MACPAC estimates based on 75 percent federal match. State-level estimates for these items are available but are not shown here. VFC is authorized in the Medicaid statute but is operated as a separate program. 100 percent federal funding finances the purchase of vaccines for children who are enrolled in Medicaid, uninsured, or privately insured without vaccine coverage. Spending on administration is only for state programs; federal oversight spending is not included. All states had certified their CMS-64 Financial Management Report (FMR) submissions as of February 12, 2014. Figures presented in this table may change if states revise their expenditure data after this date. Zeros indicate amounts less than \$0.5 million that round to zero. Dashes indicate amounts that are true zeroes.

1 Amount exceeds the sum of Benefits and State Program Administration columns due to the inclusion of VFC.

**Sources:** For state and territory spending: MACPAC analysis of CMS-64 Financial Management Report (FMR) net expenditure data as of February 2014; for all other (MFCUs, survey and certification, VFC): Centers for Medicare & Medicaid Services (CMS), Fiscal year 2014 justification of estimates for Appropriations Committees, Baltimore, MD, <http://www.cms.gov/About-CMS/Agency-Information/PerformanceBudget/Downloads/FY2014-CJ-Final.pdf>.

**TABLE 7. Total Medicaid Benefit Spending by State and Category, FY 2013 (millions)**

| State                | Fee for Service |          |           |        |                           |       |       | Home and community-based LTSS |               |      |       | Managed Care and Premium Assistance |       |        | Medicare Premium and Coinsurance Collections |  |  |
|----------------------|-----------------|----------|-----------|--------|---------------------------|-------|-------|-------------------------------|---------------|------|-------|-------------------------------------|-------|--------|--|--|--|
|                      | Total           | Hospital | Physician | Dental | Other practitioner center | Acute | Other | Drugs                         | Institutional | LTSS | \$460 | \$116                               | \$253 | \$39   |  |  |  |
| Alabama              | \$5,000         | \$1,891  | \$360     | \$85   | \$42                      | \$84  | \$481 | \$294                         | \$972         |      | 373   | 0                                   | 23    | -16    |  |  |  |
| Alaska               | 1,341           | 303      | 106       | 62     | 20                        | 185   | 99    | 25                            | 160           |      | 6,301 | 171                                 |       | 0      |  |  |  |
| Arizona              | 8,437           | 1,467    | 32        | 4      | 5                         | 122   | 257   | 5                             | 68            |      | 478   | 19                                  | 296   | -50    |  |  |  |
| Arkansas             | 4,156           | 990      | 298       | 73     | 19                        | 113   | 798   | 159                           | 965           |      | 8,881 | 16,162                              | 2,269 | -478   |  |  |  |
| California           | 61,426          | 16,291   | 827       | 402    | 93                        | 2,720 | 7,634 | 740                           | 5,885         |      | 872   | 624                                 | 100   | -44    |  |  |  |
| Colorado             | 5,048           | 1,713    | 358       | 122    | -                         | 131   | 313   | 166                           | 695           |      |       |                                     |       | -310   |  |  |  |
| Connecticut          | 6,415           | 1,721    | 314       | 155    | 101                       | 241   | 432   | 306                           | 1,738         |      | 1,351 | 2                                   | 364   | -5     |  |  |  |
| Delaware             | 1,558           | 51       | 12        | 33     | 1                         | 40    | 64    | 60                            | 38            |      | 101   | 1,130                               | 34    | -13    |  |  |  |
| District of Columbia | 2,276           | 359      | 46        | 29     | 2                         | 142   | 122   | 61                            | 327           |      | 462   | 702                                 | 36    | -150   |  |  |  |
| Florida              | 18,411          | 5,104    | 1,231     | 257    | 40                        | 223   | 1,585 | 565                           | 3,299         |      | 1,522 | 3,412                               | 1,324 | -73    |  |  |  |
| Georgia              | 8,888           | 2,199    | 375       | 44     | 35                        | 9     | 766   | 239                           | 1,420         |      | 907   | 2,642                               | 324   | -44    |  |  |  |
| Hawaii               | 1,586           | 118      | 1         | 20     | 0                         | 25    | 4     | -0                            | 9             |      | 1,288 | 58                                  |       | -30    |  |  |  |
| Idaho                | 1,642           | 506      | 79        | 0      | 11                        | 160   | 215   | 56                            | 290           |      | 266   | 48                                  | 42    | -165   |  |  |  |
| Illinois             | 15,494          | 6,498    | 813       | 178    | 108                       | 323   | 1,366 | 374                           | 2,972         |      | 1,675 | 964                                 | 388   | -37    |  |  |  |
| Indiana              | 7,931           | 1,858    | 277       | 170    | 10                        | 353   | 267   | 349                           | 1,996         |      | 935   | 1,589                               | 163   | -258   |  |  |  |
| Iowa                 | 3,623           | 793      | 196       | 58     | 21                        | 71    | 347   | 105                           | 910           |      | 760   | 305                                 | 142   | -60    |  |  |  |
| Kansas               | 2,545           | 328      | 47        | 12     | 3                         | 13    | 79    | -17                           | 243           |      | 422   | 1,366                               | 83    | -33    |  |  |  |
| Kentucky             | 5,726           | 457      | 49        | 2      | 3                         | 106   | 314   | 32                            | 1,055         |      | 618   | 2,970                               | 215   | -96    |  |  |  |
| Louisiana            | 6,889           | 2,202    | 317       | 112    | -                         | 106   | 357   | 182                           | 1,453         |      | 843   | 1,311                               | 265   | -86    |  |  |  |
| Maine                | 2,827           | 997      | 103       | 29     | 49                        | 255   | 282   | 57                            | 439           |      | 435   | 5                                   | 236   | -6     |  |  |  |
| Maryland             | 7,688           | 993      | 121       | 18     | 50                        | 793   | 132   | 1,322                         | 1,044         |      | 2,965 | 249                                 |       | -3     |  |  |  |
| Massachusetts        | 12,999          | 2,120    | 337       | 192    | 19                        | 322   | 1,949 | 241                           | 1,749         |      | 2,077 | 3,741                               | 419   | -167   |  |  |  |
| Michigan             | 12,308          | 1,722    | 278       | 81     | 8                         | 207   | 167   | 263                           | 1,798         |      | 962   | 6,491                               | 411   | -78    |  |  |  |
| Minnesota            | 8,781           | 603      | 177       | 29     | 182                       | 39    | 491   | 103                           | 998           |      | 2,194 | 3,925                               | 177   | -138   |  |  |  |
| Mississippi          | 4,709           | 1,660    | 216       | 6      | 25                        | 88    | 386   | 125                           | 1,123         |      | 296   | 607                                 | 204   | -28    |  |  |  |
| Missouri             | 8,863           | 2,981    | 38        | 15     | 11                        | 487   | 837   | 655                           | 1,319         |      | 1,174 | 1,116                               | 318   | -88    |  |  |  |
| Montana              | 997             | 268      | 50        | 25     | 16                        | 15    | 184   | 31                            | 191           |      | 185   | 7                                   | 34    | -10    |  |  |  |
| Nebraska             | 1,790           | 216      | 38        | 34     | 5                         | 72    | 78    | 73                            | 434           |      | 341   | 436                                 | 107   | -43    |  |  |  |
| Nevada               | 1,797           | 539      | 98        | 28     | 13                        | 17    | 194   | 55                            | 254           |      | 163   | 353                                 | 110   | -26    |  |  |  |
| New Hampshire        | 1,189           | 156      | 56        | 20     | 12                        | 36    | 252   | 33                            | 332           |      | 276   | 0                                   | 30    | -14    |  |  |  |
| New Jersey           | 10,481          | 1,740    | 46        | 11     | 3                         | 179   | 598   | 66                            | 2,961         |      | 1,056 | 3,616                               | 331   | -125   |  |  |  |
| New Mexico           | 3,281           | 366      | 51        | 13     | 41                        | 35    | 48    | -94                           | 31            |      | 325   | 2,399                               | 80    | -14    |  |  |  |
| New York             | 52,490          | 8,760    | 346       | 114    | 240                       | 1,419 | 3,199 | -1,281                        | 10,670        |      | 8,626 | 21,032                              | 1,296 | -1,931 |  |  |  |
| North Carolina       | 11,722          | 3,461    | 896       | 305    | 86                        | 221   | 1,227 | 739                           | 1,660         |      | 948   | 1,948                               | 425   | -193   |  |  |  |
| North Dakota         | 775             | 139      | 51        | 11     | 8                         | 10    | 41    | 23                            | 312           |      | 173   | 5                                   | 11    | -9     |  |  |  |
| Ohio                 | 16,628          | 2,494    | 339       | 53     | 27                        | 54    | 748   | 183                           | 3,875         |      | 2,317 | 6,333                               | 381   | -175   |  |  |  |

**TABLE 7, Continued**

| State   | Fee for Service  |                 |                 |                |                           |                 | Home and community-based LTSS |                |                 | Managed Care and Premium Assistance |                  |                 | Medicare Premiums and Coinsurance Collections |       |        |        |
|---|------------------|-----------------|-----------------|----------------|---------------------------|-----------------|-------------------------------|----------------|-----------------|-------------------------------------|------------------|-----------------|---|-------|--------|--------|
|   | Total            | Hospital        | Physician       | Dental         | Other practitioner center | Other health    | acute                         | Drugs          | Institutional   | LTSS                                | \$511            | \$192           | \$133   | \$396 | \$148  | -\$314 |
| Oklahoma  | \$4,482          | \$1,544         | \$479           | \$123          | \$38                      | \$389           | \$345                         | \$297          | \$746           | \$511                               | \$192            | \$133           | \$396   | \$148 | -\$314 |        |
| Oregon  | 5,071            | 348             | 24              | 1              | 23                        | 57              | 534                           | 66             | 354             | 1,159                               | 2,396            | 148             | 40  | -40   |        |        |
| Pennsylvania                                      | 20,922           | 1,726           | 151             | 43             | 4                         | 111             | 261                           | -37            | 4,850           | 3,217                               | 10,198           | 567             | -169  |       |        |        |
| Rhode Island                                      | 1,909            | 351             | 11              | 10             | 1                         | 23              | 572                           | 1              | 346             | 2                                   | 564              | 40              | -11   |       |        |        |
| South Carolina                                    | 4,690            | 1,156           | 214             | 88             | 26                        | 202             | 288                           | 74             | 774             | 470                                 | 1,441            | 173             | -216  |       |        |        |
| South Dakota                                      | 758              | 188             | 62              | 14             | 2                         | 90              | 53                            | 27             | 167             | 133                                 | 2                | 28              | -8  |       |        |        |
| Tennessee   | 8,678            | 1,171           | 27              | 166            | 1                         | 42              | 217                           | 290            | 284             | 700                                 | 5,478            | 340             | -39   |       |        |        |
| Texas   | 27,752           | 4,918           | 1,130           | 93             | 240                       | 35              | 2,855                         | 283            | 3,565           | 2,149                               | 12,044           | 1,025           | -587  |       |        |        |
| Utah  | 2,087            | 458             | 99              | 42             | 3                         | 12              | 113                           | 46             | 247             | 224                                 | 848              | 37              | -43   |       |        |        |
| Vermont   | 1,452            | 44              | 2               | 0              | 0                         | 1               | 1,361                         | -67            | 116             | 8                                   | 3                | 7               | -21   |       |        |        |
| Virginia  | 7,218            | 1,011           | 178             | 139            | 35                        | 52              | 980                           | 27             | 1,292           | 1,229                               | 2,118            | 228             | -73   |       |        |        |
| Washington  | 7,806            | 1,033           | 101             | 134            | 38                        | 447             | 800                           | 40             | 883             | 1,553                               | 2,823            | 319             | -366  |       |        |        |
| West Virginia                                     | 3,007            | 588             | 147             | 56             | 14                        | 31              | 242                           | 103            | 716             | 572                                 | 440              | 115             | -17   |       |        |        |
| Wisconsin   | 7,035            | 743             | 57              | 45             | 24                        | 296             | 604                           | 321            | 1,042           | 799                                 | 2,921            | 253             | -71   |       |        |        |
| Wyoming   | 547              | 123             | 48              | 13             | 18                        | 28              | 27                            | 19             | 135             | 130                                 | 0                | 13              | -8  |       |        |        |
| <b>Subtotal</b>                                   | <b>\$431,130</b> | <b>\$89,465</b> | <b>\$11,676</b> | <b>\$3,872</b> | <b>\$1,743</b>            | <b>\$10,490</b> | <b>\$36,229</b>               | <b>\$6,599</b> | <b>\$69,478</b> | <b>\$56,488</b>                     | <b>\$137,398</b> | <b>\$14,795</b> | <b>-\$7,103</b>                               |       |        |        |
| American Samoa                                    | 25               | 51              | -               | -              | -                         | -               | -                             | -26            | 1               | -                                   | -                | -               | -   | -     |        |        |
| Guam  | 60               | 14              | 6               | 1              | 0                         | 0               | 23                            | 13             | 1               | 0                                   | -                | 1               | -   |       |        |        |
| N. Mariana Islands                                | 31               | 12              | -               | 2              | -                         | 6               | 6                             | 3              | -               | 1                                   | -                | 0               | -   |       |        |        |
| Puerto Rico                                       | 1,837            | -               | -               | -              | -                         | -               | -                             | 40             | -               | -                                   | 1,798            | -               | -   |       |        |        |
| Virgin Islands                                    | 25               | 12              | 2               | 0              | -                         | 5               | 1                             | 3              | 2               | -                                   | -                | 0               | -   |       |        |        |
| <b>Total</b>                                      | <b>\$433,110</b> | <b>\$89,555</b> | <b>\$11,684</b> | <b>\$3,875</b> | <b>\$1,743</b>            | <b>\$10,501</b> | <b>\$36,273</b>               | <b>\$6,618</b> | <b>\$69,481</b> | <b>\$56,489</b>                     | <b>\$139,196</b> | <b>\$14,797</b> | <b>-\$7,103</b>                               |       |        |        |
| <b>Percent of Total, Exclusive of Collections</b> | <b>-</b>         | <b>20.3%</b>    | <b>2.7%</b>     | <b>0.9%</b>    | <b>0.4%</b>               | <b>2.4%</b>     | <b>8.2%</b>                   | <b>1.5%</b>    | <b>15.8%</b>    | <b>12.8%</b>                        | <b>31.6%</b>     | <b>3.4%</b>     | <b>-</b>                                      |       |        |        |

**Notes:** Includes federal and state funds. Service category definitions and spending amounts shown here may differ from other Centers for Medicare & Medicaid Services (CMS) data sources, such as the Medicaid Statistical Information System (MSIS). The specific services included in each category have changed over time and therefore may not be directly comparable to earlier editions of MSIS. LTSS is long-term services and supports. Hospital includes inpatient, outpatient, critical access hospital, and emergency hospital services, as well as related disproportionate share hospital (DSH) payments. Physician includes physician and surgical services, both regular payments and those associated with the primary care physician payment increase. Other practitioner includes nurse midwife, nurse practitioner, and other. Clinic and health center includes non-hospital outpatient clinic, rural health clinic, federally qualified health center, and freestanding birth center. Other acute includes lab/X-ray, sterilizations, abortions, Early and Periodic Screening, Diagnostic, and Treatment (EPSDT) screenings; emergency services for unauthorized aliens; non-emergency transportation; physical, occupational, speech, and hearing therapy; prosthetics, dentures, and eyeglasses; preventive services; school-based services; school-based services; diagnostic screening and preventive services; rehabilitative services; hospice; and other care not otherwise categorized. Drugs are net of rebates. Institutional LTSS includes nursing facility, intermediate care facility for individuals with intellectual disabilities, and mental health facility. Home and community-based services includes home health, waiver and state plan services, and personal care. Managed care and premium assistance includes comprehensive and limited-benefit managed care plans, primary care case management, and programs of All-inclusive Care for the Elderly (PACE); comprehensive plans account for about 90 percent of spending in the managed care category. Managed care also includes rebates for drugs provided by managed care plans and managed care payments associated with the primary care physician payment increase. Community First Choice option, and preventive services with USPSTF Grade A or B and ACIP vaccines. Collections includes third-party liability, estate, and other recoveries. All states had certified their CMS-64 Financial Management Report (FMR) submissions as of February 12, 2014. Figures presented in this table may change if states revise their expenditure data after this date. Zeros indicate amounts less than \$0.5 million that round to zero. Dashes indicate amounts that are true zeroes.

**Source:** MACPAC analysis of CMS-64 Financial Management Report (FMR) net expenditure data as of February 2014.

**TABLE 8. CHIP Spending by State, FY 2013 (millions)**

| State                | Total CHIP <sup>1</sup> |         |        | Medicaid-expansion CHIP programs |         |        | Benefits |         |        | Separate CHIP programs and adult coverage waivers |         |       | State Program Administration |         |       | 2105(g) Spending <sup>1</sup> Federal |         |       |   |
|----------------------|-------------------------|---------|--------|----------------------------------|---------|--------|----------|---------|--------|---|---------|-------|------------------------------|---------|-------|---------------------------------------|---------|-------|---|
|                      | Total                   | Federal | State  | Total                            | Federal | State  | Total    | Federal | State  | Total   | Federal | State | Total                        | Federal | State | Total                                 | Federal | State |   |
| Alabama              | \$193.4                 | \$150.8 | \$42.6 | —                                | —       | —      | \$186.5  | \$145.4 | \$41.1 | \$6.9   | \$5.4   | \$1.5 | —                            | —       | —     | —                                     | —       | —     |   |
| Alaska               | 32.8                    | 21.3    | 11.5   | \$31.5                           | \$20.5  | \$11.0 | —        | —       | —      | 1.3   | 0.8     | 0.4   | 0.4                          | 0.4     | 0.4   | 0.4                                   | 0.4     | 0.4   | — |
| Arizona              | 73.9                    | 56.1    | 17.7   | —                                | —       | —      | 70.6     | 53.7    | 17.0   | 3.3   | 2.5     | 0.8   | —                            | —       | —     | —                                     | —       | —     | — |
| Arkansas             | 122.8                   | 95.4    | 27.4   | 91.1                             | 72.1    | 19.0   | 26.0     | 19.1    | 6.9    | 5.6   | 4.1     | 1.5   | —                            | —       | —     | —                                     | —       | —     | — |
| California           | 2,126.8                 | 1,382.4 | 744.4  | 1,046.9                          | 680.5   | 366.4  | 985.4    | 640.6   | 344.9  | 94.4  | 61.4    | 33.0  | —                            | —       | —     | —                                     | —       | —     | — |
| Colorado             | 227.3                   | 147.7   | 79.5   | 12.1                             | 7.9     | 4.2    | 205.8    | 133.8   | 72.0   | 9.4   | 6.1     | 3.3   | —                            | —       | —     | —                                     | —       | —     | — |
| Connecticut          | 28.0                    | 35.2    | -7.2   | —                                | —       | —      | 25.2     | 16.4    | 8.8    | 2.8   | 1.8     | 1.0   | \$17.0                       | —       | —     | —                                     | —       | —     | — |
| Delaware             | 24.6                    | 17.0    | 7.6    | 0.3                              | 0.2     | 0.1    | 21.8     | 15.1    | 6.8    | 2.4   | 1.7     | 0.8   | —                            | —       | —     | —                                     | —       | —     | — |
| District of Columbia | 18.4                    | 14.5    | 3.9    | 18.2                             | 14.4    | 3.8    | —        | —       | —      | 0.2   | 0.1     | 0.0   | —                            | —       | —     | —                                     | —       | —     | — |
| Florida              | 520.7                   | 367.5   | 153.2  | 3.4                              | 2.4     | 1.0    | 465.6    | 328.6   | 137.0  | 51.7  | 36.5    | 15.2  | —                            | —       | —     | —                                     | —       | —     | — |
| Georgia              | 413.3                   | 313.6   | 99.7   | —                                | —       | —      | 383.2    | 290.8   | 92.4   | 30.1  | 22.9    | 7.3   | —                            | —       | —     | —                                     | —       | —     | — |
| Hawaii               | 40.3                    | 26.6    | 13.7   | 37.1                             | 24.4    | 12.7   | —        | —       | —      | 3.2   | 2.1     | 1.1   | —                            | —       | —     | —                                     | —       | —     | — |
| Idaho                | 60.7                    | 48.3    | 12.4   | 23.4                             | 18.6    | 4.8    | 35.4     | 28.1    | 7.2    | 2.0   | 1.6     | 0.4   | —                            | —       | —     | —                                     | —       | —     | — |
| Illinois             | 517.7                   | 336.4   | 181.3  | 148.0                            | 95.8    | 52.1   | 332.1    | 216.1   | 116.0  | 37.6  | 24.4    | 13.1  | —                            | —       | —     | —                                     | —       | —     | — |
| Indiana              | 157.4                   | 121.2   | 36.2   | 102.7                            | 79.1    | 23.6   | 48.4     | 37.2    | 11.1   | 6.4   | 4.9     | 1.5   | —                            | —       | —     | —                                     | —       | —     | — |
| Iowa                 | 134.2                   | 96.2    | 38.0   | 29.2                             | 20.9    | 8.3    | 96.7     | 69.3    | 27.3   | 8.3   | 5.9     | 2.3   | —                            | —       | —     | —                                     | —       | —     | — |
| Kansas               | 75.5                    | 52.5    | 23.0   | —                                | —       | —      | 69.0     | 48.0    | 21.0   | 6.5   | 4.5     | 2.0   | —                            | —       | —     | —                                     | —       | —     | — |
| Kentucky             | 184.8                   | 146.7   | 38.1   | 116.7                            | 92.6    | 24.0   | 64.6     | 51.3    | 13.3   | 3.5   | 2.8     | 0.7   | —                            | —       | —     | —                                     | —       | —     | — |
| Louisiana            | 203.3                   | 148.1   | 55.2   | 172.6                            | 125.7   | 46.8   | 18.3     | 13.3    | 5.0    | 12.5  | 9.1     | 3.4   | —                            | —       | —     | —                                     | —       | —     | — |
| Maine                | 37.2                    | 27.5    | 9.7    | 21.9                             | 16.2    | 5.7    | 13.8     | 10.2    | 3.6    | 1.6   | 1.2     | 0.4   | —                            | —       | —     | —                                     | —       | —     | — |
| Maryland             | 258.4                   | 168.0   | 90.4   | 245.5                            | 159.5   | 85.9   | —        | —       | —      | 13.0  | 8.4     | 4.5   | —                            | —       | —     | —                                     | —       | —     | — |
| Massachusetts        | 573.7                   | 372.9   | 200.8  | 292.8                            | 190.3   | 102.5  | 226.6    | 147.3   | 79.3   | 54.3  | 35.3    | 19.0  | —                            | —       | —     | —                                     | —       | —     | — |
| Michigan             | 147.1                   | 112.5   | 34.7   | 19.4                             | 14.8    | 4.6    | 124.4    | 95.1    | 29.3   | 3.3   | 2.5     | 0.8   | —                            | —       | —     | —                                     | —       | —     | — |
| Minnesota            | 19.5                    | 32.2    | -12.7  | 0.1                              | 0.0     | 0.0    | 19.1     | 12.5    | 6.6    | 0.4   | 0.3     | 0.1   | 19.4                         | —       | —     | —                                     | —       | —     | — |
| Mississippi          | 207.5                   | 168.9   | 38.6   | —                                | —       | —      | 205.2    | 167.1   | 38.2   | 2.3   | 1.9     | 0.4   | —                            | —       | —     | —                                     | —       | —     | — |
| Missouri             | 170.0                   | 124.1   | 45.8   | 113.9                            | 83.1    | 30.8   | 43.8     | 32.0    | 11.7   | 12.3  | 9.0     | 3.3   | —                            | —       | —     | —                                     | —       | —     | — |
| Montana              | 91.5                    | 69.7    | 21.8   | 21.6                             | 16.5    | 5.1    | 65.1     | 49.6    | 15.5   | 4.8   | 3.6     | 1.1   | —                            | —       | —     | —                                     | —       | —     | — |
| Nebraska             | 70.1                    | 48.2    | 21.9   | 59.8                             | 41.1    | 18.7   | 7.1      | 4.9     | 2.2    | 3.2   | 2.2     | 1.0   | —                            | —       | —     | —                                     | —       | —     | — |
| Nevada               | 37.2                    | 26.8    | 10.5   | 1.8                              | 1.3     | 0.5    | 33.2     | 23.9    | 9.3    | 2.3   | 1.6     | 0.6   | —                            | —       | —     | —                                     | —       | —     | — |
| New Hampshire        | 16.7                    | 14.9    | 1.9    | 16.3                             | 10.6    | 5.7    | —        | —       | —      | 0.4   | 0.3     | 0.1   | 4.0                          | —       | —     | —                                     | —       | —     | — |
| New Jersey           | 958.0                   | 586.6   | 371.5  | 194.4                            | 126.4   | 68.1   | 660.3    | 397.9   | 262.4  | 103.3   | 62.3    | 41.1  | —                            | —       | —     | —                                     | —       | —     | — |
| New Mexico           | 144.9                   | 110.0   | 35.0   | 67.1                             | 52.6    | 14.5   | 75.7     | 55.8    | 19.9   | 2.1   | 1.5     | 0.5   | —                            | —       | —     | —                                     | —       | —     | — |

**TABLE 8, Continued**

| State              | Total CHIP <sup>1</sup> |                  |                  | Medicaid-expansion CHIP programs |                  |                  | Separate CHIP programs and adult coverage waivers |                  |                  | State Program Administration |                |                | 2105(g) Spending <sup>1</sup> Federal |         |
|--------------------|-------------------------|------------------|------------------|----------------------------------|------------------|------------------|---|------------------|------------------|------------------------------|----------------|----------------|---------------------------------------|---------|
|                    | Total                   | Federal          | State            | Total                            | Federal          | State            | Total   | Federal          | State            | Total                        | Federal        | State          | Total                                 | Federal |
| New York           | \$959.9                 | \$624.0          | \$335.9          | \$291.0                          | \$189.1          | \$101.8          | \$658.4   | \$428.0          | \$230.4          | \$10.5                       | \$6.8          | \$3.7          | -                                     | -       |
| North Carolina     | 398.0                   | 302.0            | 96.1             | 78.4                             | 59.5             | 18.9             | 304.1   | 230.7            | 73.4             | 15.5                         | 11.8           | 3.8            | -                                     | -       |
| North Dakota       | 26.6                    | 17.7             | 8.9              | 12.0                             | 8.0              | 4.0              | 13.4  | 8.9              | 4.4              | 1.3                          | 0.8            | 0.4            | -                                     | -       |
| Ohio               | 381.3                   | 284.1            | 97.2             | 376.4                            | 280.5            | 95.9             | -   | -                | -                | 4.8                          | 3.6            | 1.2            | -                                     | -       |
| Oklahoma           | 172.6                   | 129.1            | 43.5             | 159.0                            | 119.0            | 40.1             | 9.7   | 7.2              | 2.4              | 3.8                          | 2.9            | 1.0            | -                                     | -       |
| Oregon             | 209.4                   | 154.3            | 55.0             | -                                | -                | -                | 188.4   | 138.9            | 49.5             | 20.9                         | 15.4           | 5.5            | -                                     | -       |
| Pennsylvania       | 428.0                   | 291.1            | 136.9            | -                                | -                | -                | 420.3   | 285.9            | 134.5            | 7.7                          | 5.2            | 2.4            | -                                     | -       |
| Rhode Island       | 81.1                    | 53.7             | 27.4             | 67.9                             | 45.0             | 22.9             | 11.1  | 7.3              | 3.8              | 2.1                          | 1.4            | 0.7            | -                                     | -       |
| South Carolina     | 132.5                   | 105.1            | 27.4             | 120.9                            | 95.8             | 25.0             | -   | -                | -                | 11.6                         | 9.2            | 2.4            | -                                     | -       |
| South Dakota       | 24.6                    | 17.0             | 7.6              | 17.8                             | 12.3             | 5.5              | 6.4   | 4.4              | 2.0              | 0.4                          | 0.3            | 0.1            | -                                     | -       |
| Tennessee          | 259.6                   | 198.0            | 61.6             | 43.7                             | 33.4             | 10.4             | 209.5   | 159.8            | 49.7             | 6.4                          | 4.9            | 1.5            | -                                     | -       |
| Texas              | 1,285.0                 | 918.8            | 366.2            | 40.4                             | 28.7             | 11.7             | 1,173.9   | 839.5            | 334.4            | 70.7                         | 50.6           | 20.1           | -                                     | -       |
| Utah               | 68.6                    | 54.0             | 14.6             | -                                | -                | -                | 62.6  | 49.3             | 13.3             | 6.0                          | 4.7            | 1.3            | -                                     | -       |
| Vermont            | 9.0                     | 13.0             | -4.0             | -                                | -                | -                | 8.3   | 5.8              | 2.6              | 0.7                          | 0.5            | 0.2            | \$6.8                                 | -       |
| Virginia           | 301.0                   | 195.7            | 105.4            | 122.2                            | 79.4             | 42.8             | 166.3   | 108.1            | 58.2             | 12.5                         | 8.1            | 4.4            | -                                     | -       |
| Washington         | 122.9                   | 95.5             | 27.3             | 2.2                              | 1.4              | 0.8              | 116.0   | 75.4             | 40.5             | 4.7                          | 3.1            | 1.7            | 15.6                                  | -       |
| West Virginia      | 57.9                    | 46.5             | 11.3             | -                                | -                | -                | 53.4  | 43.0             | 10.5             | 4.4                          | 3.6            | 0.9            | -                                     | -       |
| Wisconsin          | 140.3                   | 106.2            | 34.1             | 58.7                             | 42.1             | 16.6             | 69.9  | 50.2             | 19.7             | 11.7                         | 8.4            | 3.3            | 5.5                                   | -       |
| Wyoming            | 16.4                    | 10.7             | 5.7              | -                                | -                | -                | 15.7  | 10.3             | 5.5              | 0.7                          | 0.5            | 0.2            | -                                     | -       |
| <b>Subtotal</b>    | <b>\$12,962.4</b>       | <b>\$9,056.2</b> | <b>\$3,906.2</b> | <b>\$4,278.4</b>                 | <b>\$2,961.8</b> | <b>\$1,316.6</b> | <b>\$7,996.2</b>                                  | <b>\$5,555.6</b> | <b>\$2,440.6</b> | <b>\$687.8</b>               | <b>\$470.5</b> | <b>\$217.3</b> | <b>\$68.3</b>                         |         |
| American Samoa     | 1.6                     | 1.3              | 0.3              | 1.6                              | 1.3              | 0.3              | -   | -                | -                | -                            | -              | -              | -                                     | -       |
| Guam               | 6.3                     | 4.5              | 1.8              | 6.3                              | 4.5              | 1.8              | -   | -                | -                | -                            | -              | -              | -                                     | -       |
| N. Mariana Islands | 1.1                     | 0.9              | 0.1              | 1.1                              | 0.9              | 0.1              | -   | -                | -                | -                            | -              | -              | -                                     | -       |
| Puerto Rico        | 194.9                   | 133.5            | 61.4             | 194.9                            | 133.5            | 61.4             | -   | -                | -                | -                            | -              | -              | -                                     | -       |
| Virgin Islands     | -                       | -                | -                | -                                | -                | -                | -   | -                | -                | -                            | -              | -              | -                                     | -       |
| <b>Total</b>       | <b>\$13,166.3</b>       | <b>\$9,196.5</b> | <b>\$3,969.8</b> | <b>\$4,482.3</b>                 | <b>\$3,102.0</b> | <b>\$1,380.3</b> | <b>\$7,996.2</b>                                  | <b>\$5,555.6</b> | <b>\$2,440.6</b> | <b>\$687.8</b>               | <b>\$470.5</b> | <b>\$217.3</b> | <b>\$68.3</b>                         |         |

**Notes:** Components may not add to total due to rounding. As shown in Table 3, some states have waivers under Section 1115 of the Social Security Act that use CHIP funds to provide coverage for adults (pregnant women and parents). Federal CHIP spending on administration is generally limited to 10 percent of a state's total federal CHIP spending for the year. States with a Medicaid-expansion CHIP program may elect to receive reimbursement for administrative spending from Medicaid rather than CHIP funds; Medicaid funds are not shown in this table.

1. Section 2105(g) of the Social Security Act permits 11 qualifying states to use CHIP funds to pay the difference between the regular Medicaid matching rate and the enhanced CHIP matching rate for Medicaid-enrolled, Medicaid-financed children whose family income exceeds 133 percent of the federal poverty level. Although these are CHIP funds, they effectively reduce state spending on children in Medicaid and do not require a state match within the CHIP program. In cases where the sum of 2105(g) federal CHIP spending (for Medicaid enrollees) and regular federal CHIP spending (for CHIP enrollees) exceeds total spending for CHIP enrollees, states are shown in this table as having negative state CHIP spending (Connecticut, Minnesota, and Vermont).

**Source:** MACPAC analysis of Medicaid and CHIP Budget Expenditure System (MBES/CBES) data from the Centers for Medicare & Medicaid Services as of February 2014.

**TABLE 9. Medicaid and CHIP Income Eligibility Levels as a Percentage of the Federal Poverty Level for Children and Pregnant Women by State, January 2014**

Medicaid coverage of children under age 19 with incomes below states' eligibility levels in effect as of March 31, 1997, continues to be financed by Medicaid. Any expansion above those levels—through expansions of Medicaid or through separate CHIP programs—is generally financed by CHIP. Adult pregnant women can receive Medicaid- or CHIP-funded services through regular state plan eligibility pathways or Section 1115 waivers; in addition, the unborn children of pregnant women may receive CHIP-funded coverage under a state plan option. Deemed newborns are infants up to age 1 who are deemed eligible for Medicaid or CHIP—with no separate application or eligibility determination required—if their mother was enrolled at the time of their birth.

| State                   | Medicaid Coverage               |                             |                                 |                             |                                 |                             | CHIP Program Type <sup>2</sup><br>(as of January 1,<br>2014) | Separate CHIP<br>Coverage  |                                 | Medicaid/CHIP<br>Coverage<br><br>Pregnant women<br>and deemed<br>newborns <sup>4</sup> |  |  |
|-------------------------|---------------------------------|-----------------------------|---------------------------------|-----------------------------|---------------------------------|-----------------------------|--|----------------------------|---------------------------------|--|--|--|
|                         | Infants under age 1             |                             | Age 1 through 5                 |                             | Age 6 through 18                |                             |  | Birth<br>through<br>age 18 | Unborn<br>children <sup>3</sup> |  |  |  |
|                         | Medicaid<br>funded <sup>1</sup> | CHIP<br>funded <sup>1</sup> | Medicaid<br>funded <sup>1</sup> | CHIP<br>funded <sup>1</sup> | Medicaid<br>funded <sup>1</sup> | CHIP<br>funded <sup>1</sup> |  |                            |                                 |  |  |  |
| Alabama                 | 141%                            | 146%                        | 141%                            | 146%                        | 107%                            | 146%                        | Separate   | 317%                       | —                               | 146%   |  |  |
| Alaska                  | 159                             | 208                         | 159                             | 208                         | 124                             | 208                         | Medicaid Expansion   | —                          | —                               | 205  |  |  |
| Arizona                 | 147                             | 152                         | 141                             | 146                         | 104                             | 138                         | Separate   | 205 <sup>5</sup>           | —                               | 161  |  |  |
| Arkansas                | 142                             | 216                         | 142                             | 216                         | 107                             | 216                         | Combination  | —                          | 216%                            | 214  |  |  |
| California <sup>6</sup> | 208                             | 266                         | 142                             | 266                         | 108                             | 266                         | Combination  | 321/416 <sup>7</sup>       | 313                             | 213  |  |  |
| Colorado                | 142                             | 147                         | 142                             | 147                         | 108                             | 147                         | Combination  | 265                        | —                               | 200/265  |  |  |
| Connecticut             | 196                             | 201                         | 196                             | 201                         | 196                             | 201                         | Separate   | 323                        | —                               | 263  |  |  |
| Delaware                | 194                             | 217                         | 142                             | 147                         | 110                             | 138                         | Combination  | 217                        | —                               | 217  |  |  |
| District of Columbia    | 206                             | 324                         | 146                             | 324                         | 112                             | 324                         | Medicaid Expansion   | —                          | —                               | 324  |  |  |
| Florida                 | 192                             | 211                         | 140                             | 145                         | 112                             | 138                         | Combination  | 215                        | —                               | 196  |  |  |
| Georgia                 | 205                             | 210                         | 149                             | 154                         | 113                             | 138                         | Separate   | 252                        | —                               | 225  |  |  |
| Hawaii                  | 191                             | 313                         | 139                             | 313                         | 105                             | 313                         | Medicaid Expansion   | —                          | —                               | 196  |  |  |
| Idaho                   | 142                             | 147                         | 142                             | 147                         | 107                             | 138                         | Combination  | 190                        | —                               | 138  |  |  |
| Illinois                | 142                             | 147                         | 142                             | 147                         | 108                             | 147                         | Combination  | 318                        | 205                             | 205  |  |  |
| Indiana                 | 157                             | 213                         | 141                             | 163                         | 106                             | 163                         | Combination  | 255                        | —                               | 213  |  |  |
| Iowa                    | 240                             | 380                         | 167                             | 172                         | 122                             | 172                         | Combination  | 307                        | —                               | 380  |  |  |
| Kansas                  | 166                             | 171                         | 149                             | 154                         | 113                             | 138                         | Separate   | 250                        | —                               | 171  |  |  |
| Kentucky                | 195                             | 200                         | 142                             | 164                         | 109                             | 164                         | Combination  | 218                        | —                               | 200  |  |  |
| Louisiana               | 142                             | 217                         | 142                             | 217                         | 108                             | 217                         | Combination  | 255                        | 205                             | 138  |  |  |
| Maine                   | 191                             | 196                         | 140                             | 162                         | 132                             | 162                         | Combination  | 213                        | —                               | 214  |  |  |
| Maryland                | 194                             | 322                         | 138                             | 322                         | 109                             | 322                         | Medicaid Expansion   | —                          | —                               | 264  |  |  |
| Massachusetts           | 185                             | 205                         | 133                             | 155                         | 114                             | 155                         | Combination  | 305                        | 205                             | 205  |  |  |

**TABLE 9, Continued**

| State                        | Medicaid Coverage                                      |  |   |                             | CHIP Program Type <sup>2</sup><br>(as of January 1,<br>2014) | Birth<br>through<br>age 18 | Unborn<br>children <sup>3</sup> | Medicaid/CHIP<br>Coverage |
|------------------------------|--|--|---|-----------------------------|--|----------------------------|---------------------------------|---------------------------|
|                              | Infants under age 1<br>Medicaid<br>funded <sup>1</sup> | Age 1 through 5<br>Medicaid<br>funded <sup>1</sup> | Age 6 through 18<br>Medicaid<br>funded <sup>1</sup> | CHIP<br>funded <sup>1</sup> |  |                            |                                 |                           |
| Michigan                     | 195%   | 200%   | 143%  | 165%                        | 109%   | 165%                       | Combination                     | 217%<br>200%              |
| Minnesota                    | 275  | 288 <sup>8</sup>                                   | 275   | 280                         | 275  | 280                        | Combination                     | -<br>283                  |
| Mississippi                  | 194  | 199  | 143   | 148                         | 107  | 138                        | Separate                        | -<br>199                  |
| Missouri                     | 196  | 201  | 148   | 153                         | 110  | 153                        | Combination                     | 214<br>201                |
| Montana                      | 143  | 148  | 143   | 148                         | 109  | 148                        | Combination                     | -<br>162                  |
| Nebraska                     | 162  | 218  | 147   | 218                         | 111  | 218                        | Combination                     | -<br>199                  |
| Nevada <sup>9</sup>          | 159  | 164  | 159   | 164                         | 122  | 138                        | Combination                     | 202<br>199                |
| New Hampshire                | 196  | 323  | 196   | 323                         | 196  | 323                        | Medicaid Expansion              | -<br>164                  |
| New Jersey                   | 194  | 199  | 142   | 147                         | 107  | 147                        | Combination                     | -<br>201                  |
| New Mexico                   | 200  | 305  | 200   | 305                         | 138  | 245                        | Medicaid Expansion              | -<br>199/205              |
| New York                     | 196  | 223  | 149   | 154                         | 110  | 154                        | Combination                     | -<br>255                  |
| North Carolina               | 194  | 215  | 141   | 215                         | 107  | 138                        | Combination                     | -<br>223                  |
| North Dakota <sup>10</sup>   | 147  | 152  | 147   | 152                         | 111  | 138                        | Combination                     | -<br>201                  |
| Ohio                         | 141  | 211  | 141   | 211                         | 107  | 211                        | Medicaid Expansion              | -<br>152                  |
| Oklahoma                     | 169  | 210  | 151   | 210                         | 115  | 210                        | Combination                     | -<br>205                  |
| Oregon                       | 185  | 190  | 133   | 138                         | 133  | 138                        | Separate                        | -<br>138                  |
| Pennsylvania                 | 215  | 220  | 157   | 162                         | 119  | 138                        | Separate                        | -<br>190/305              |
| Rhode Island                 | 261  | 266  | 261   | 266                         | 109  | 266                        | Combination                     | -<br>220                  |
| South Carolina <sup>11</sup> | 194  | 213  | 143   | 213                         | 107  | 213                        | Medicaid Expansion              | -<br>195/258              |
| South Dakota                 | 177  | 187  | 177   | 187                         | 124  | 187                        | Combination                     | -<br>199                  |
| Tennessee <sup>12</sup>      | 195  | 200  | 142   | 147                         | 109  | 138                        | Combination                     | -<br>138                  |
| Texas <sup>13</sup>          | 198  | 203  | 144   | 149                         | 100  | 138                        | Separate                        | -<br>200                  |
| Utah <sup>14</sup>           | 139  | 144  | 139   | 144                         | 105  | 138                        | Separate                        | -<br>203                  |
| Vermont <sup>15</sup>        | 237  | 318  | 237   | 318                         | 237  | 318                        | Separate                        | -<br>144                  |
| Virginia                     | 143  | 148  | 143   | 148                         | 109  | 148                        | Combination                     | -<br>213                  |
| Washington                   | 207  | 212  | 207   | 212                         | 207  | 212                        | Separate                        | -<br>148                  |
| West Virginia                | 158  | 163  | 141   | 146                         | 108  | 138                        | Separate                        | -<br>163                  |
| Wisconsin                    | 188  | 306  | 186   | 191                         | 101  | 156                        | Combination                     | -<br>306                  |
| Wyoming                      | 154  | 159  | 154   | 159                         | 119  | 138                        | Separate                        | -<br>159                  |

**TABLE 9, Continued**

**Notes:** In 2014, 100 percent of the federal poverty level (FPL) in the lower 48 states and the District of Columbia is \$11,670 for an individual and \$4,060 for each additional family member. For additional information, see MACStats Table 19. When determining Medicaid and CHIP eligibility prior to 2014, states had the flexibility to disregard income sources and amounts of their choosing. Beginning in 2014, uniform modified adjusted gross income (MAGI) rules must be used to determine Medicaid and CHIP eligibility for most non-disabled children and adults under age 65, including the groups shown in this table. As a result, states are now required to use MAGI-converted eligibility levels that account for the change in income-counting rules. The eligibility levels shown in this table reflect these MAGI-converted levels plus a mandatory income disregard equal to 5 percent FPL that effectively raises eligibility levels by 5 percentage points. Under federal regulations, the 5 percent disregard applies to an individual's determination of eligibility for Medicaid and CHIP overall, rather than for particular eligibility groups within Medicaid or CHIP. All information is based on state decisions as of February 26, 2014.

- 1 The eligibility levels listed under Medicaid funded are generally the Medicaid eligibility thresholds as of March 31, 1997. Many states had different eligibility levels for children age 6 through 13 and age 14 through 18 in 1997; in such cases, this table shows the 1997 levels for children age 6 through 13. The eligibility levels listed under CHIP funded are the income levels to which Medicaid has expanded with CHIP funding since its creation in 1997. In 2014, all states are eligible to receive CHIP funding for at least some Medicaid-enrolled children due to a mandatory transition of 6- to 18-year-olds between 100 and 133 percent FPL in separate CHIP programs to Medicaid, and a mandatory income disregard equal to 5 percent FPL that effectively raises Medicaid eligibility levels by 5 percentage points. In addition, Section 2105(g) of the Social Security Act permits 11 qualifying states to use CHIP funds to pay the difference between the regular Medicaid matching rate and the enhanced CHIP matching rate for Medicaid-enrolled, Medicaid-financed children whose family income exceeds 133 percent FPL (see MACStats Table 8 for states that currently claim CHIP funds under this provision).
- 2 Under CHIP, states have the option to use an expansion of Medicaid, a separate CHIP program, or a combination of both approaches. Although all states will have at least some Medicaid-enrolled children who are eligible for CHIP funding as of 2014 due to the implementation of ACA requirements, 14 states are still categorized as separate programs in this table because they did not have approved state plan amendments on the Centers for Medicare & Medicaid Services (CMS) website indicating whether they will characterize themselves as combination states.
- 3 MAGI-converted eligibility levels for the unborn child option under CHIP were not readily available for Illinois, Louisiana, Massachusetts, Michigan, Oklahoma, Oregon, Tennessee, Texas, and Wisconsin. Converted levels may or may not differ from those shown here, depending in part on whether the state used a gross income counting methodology (similar to MAGI) for determining eligibility prior to 2014.
- 4 Pregnant women can be covered with Medicaid or CHIP funding. Under CHIP, coverage can be through a state plan option for targeted low-income pregnant women or through a Section 1115 waiver. When two values are shown in this column, the first is for Medicaid and the second is for CHIP.
- 5 Although Arizona's separate CHIP program up to 200 percent FPL (KidsCare) has been closed to new enrollment since January 2010, thousands of children were added to the state's CHIP-funded coverage through the state's KidsCare II waiver, which was in effect from May 2012 through January 2014.
- 6 During 2013, California transitioned most of its separate CHIP children into a Medicaid-expansion CHIP program.
- 7 California has a separate CHIP program in three counties that covers children up to 321 percent FPL and in one county up to 416 percent FPL.
- 8 In Minnesota, infants are defined as being under age 2. Only infants are eligible for the Medicaid-expansion CHIP program.
- 9 Nevada's CHIP-funded Medicaid levels include children who became eligible for Medicaid when the state eliminated the Medicaid asset test.
- 10 North Dakota's CHIP-funded Medicaid levels include children who became eligible for Medicaid when the state eliminated the Medicaid asset test.
- 11 South Carolina's CHIP-funded Medicaid levels may include children who will become eligible for Medicaid due to the mandatory elimination of Medicaid asset tests in 2014.
- 12 Tennessee covers children with CHIP-funded Medicaid, called TennCare Standard, but this Section 1115 waiver is currently capped except for children who roll over from traditional Medicaid. This includes children with a family income above Medicaid income levels, but at or below 216 percent FPL, who are losing TennCare Medicaid eligibility.
- 13 Texas's CHIP-funded Medicaid levels may include children who will become eligible for Medicaid due to the mandatory elimination of Medicaid asset tests in 2014.
- 14 Utah's CHIP-funded Medicaid levels may include children who will become eligible for Medicaid due to the mandatory elimination of Medicaid asset tests in 2014.
- 15 Vermont covers children from 238 percent FPL up to 317 percent FPL with CHIP-funded Medicaid if they have other insurance, and with separate CHIP if they are uninsured.

**Sources:** MACPAC communication with the Centers for Medicare & Medicaid Services (CMS) and MACPAC analysis of: CMS, *Medicaid moving forward 2014*; CMS, *State Medicaid and CHIP income eligibility standards effective January 1, 2014* (For MAGI groups, based on state decisions as of February 26, 2014); CMS, *Children's Health Insurance Program: Plan activity as of January 1, 2014*; CHIP state plan amendments on the CMS website; and state websites.

MACstats

**TABLE 10. Medicaid Income Eligibility Levels as a Percentage of the Federal Poverty Level for Non-Aged, Non-Disabled, Non-Pregnant Adults by State, January 2014**

States are required to provide Medicaid coverage for parents (and their dependent children), at a minimum, at their 1996 Aid to Families with Dependent Children eligibility levels. Under regular Medicaid state plan rules, states may opt to cover additional parents (via Section 1931 of the Social Security Act) and other adults under age 65 who are not pregnant, not eligible for Medicare, and have incomes at or below 138 percent of the federal poverty level (via Section 1902(a)(10)(A)(i)(VIII) of the Social Security Act). States may also provide coverage under Section 1115 waivers, which allow them to operate their Medicaid programs without regard to certain statutory requirements. As noted in this table, the covered benefits under these waivers may be more limited than those provided under regular state plan rules and may not be available to all individuals at the income levels shown.

| State                | Parents of Dependent Children <sup>1</sup> | Other Adults <sup>2</sup> | Medicaid Expansion State <sup>3</sup> |
|----------------------|--|---------------------------|---------------------------------------|
| Alabama              | 18%  | —                         | No                                    |
| Alaska               | 136  | — <sup>4</sup>            | No                                    |
| Arizona              | 138  | 138%                      | Yes                                   |
| Arkansas             | 138  | 138                       | Yes                                   |
| California           | 138  | 138                       | Yes                                   |
| Colorado             | 138  | 138                       | Yes                                   |
| Connecticut          | 201  | 138                       | Yes                                   |
| Delaware             | 138  | 138                       | Yes                                   |
| District of Columbia | 221  | 215                       | Yes                                   |
| Florida              | 36   | — <sup>4</sup>            | No                                    |
| Georgia              | 41   | —                         | No                                    |
| Hawaii               | 138  | 138                       | Yes                                   |
| Idaho                | 29 <sup>5</sup>                            | — <sup>6</sup>            | No                                    |
| Illinois             | 138  | 138                       | Yes                                   |
| Indiana              | 25 <sup>5</sup>                            | — <sup>6</sup>            | No                                    |
| Iowa                 | 138  | 138                       | Yes                                   |
| Kansas               | 38   | —                         | No                                    |
| Kentucky             | 138  | 138                       | Yes                                   |
| Louisiana            | 24 <sup>5</sup>                            | — <sup>6</sup>            | No                                    |
| Maine                | 105  | — <sup>4, 6</sup>         | No                                    |
| Maryland             | 138  | 138                       | Yes                                   |
| Massachusetts        | 138  | 138 <sup>4</sup>          | Yes                                   |
| Michigan             | 138  | 138                       | Yes <sup>7</sup>                      |
| Minnesota            | 205  | 205                       | Yes                                   |
| Mississippi          | 29   | —                         | No                                    |
| Missouri             | 24 <sup>5</sup>                            | — <sup>6</sup>            | No                                    |
| Montana              | 53   | — <sup>6</sup>            | No                                    |
| Nebraska             | 64   | —                         | No                                    |
| Nevada               | 138  | 138                       | Yes                                   |

**TABLE 10, Continued**

| <b>State</b>   | <b>Parents of<br/>Dependent Children<sup>1</sup></b> | <b>Other Adults<sup>2</sup></b> | <b>Medicaid Expansion State<sup>3</sup></b> |
|----------------|--|---------------------------------|---|
| New Hampshire  | 75%  | —                               | No  |
| New Jersey     | 138  | 138%                            | Yes   |
| New Mexico     | 138  | 138                             | Yes   |
| New York       | 138  | 138 <sup>4</sup>                | Yes   |
| North Carolina | 51   | — <sup>4</sup>                  | No  |
| North Dakota   | 138  | 138                             | Yes   |
| Ohio           | 138  | 138                             | Yes   |
| Oklahoma       | 48 <sup>5</sup>                                      | — <sup>6</sup>                  | No  |
| Oregon         | 138  | 138                             | Yes   |
| Pennsylvania   | 38   | — <sup>4</sup>                  | No  |
| Rhode Island   | 138  | 138                             | Yes   |
| South Carolina | 67   | —                               | No  |
| South Dakota   | 64   | —                               | No  |
| Tennessee      | 111  | —                               | No  |
| Texas          | 20   | —                               | No  |
| Utah           | 56 <sup>5</sup>                                      | — <sup>6</sup>                  | No  |
| Vermont        | 138  | 138                             | Yes   |
| Virginia       | 54   | —                               | No  |
| Washington     | 138  | 138                             | Yes   |
| West Virginia  | 138  | 138                             | Yes   |
| Wisconsin      | 95 <sup>5</sup>                                      | 100                             | No  |
| Wyoming        | 62   | —                               | No  |

**Notes:** In 2014, 100 percent of the federal poverty level (FPL) in the lower 48 states and the District of Columbia is \$11,670 for an individual and \$4,060 for each additional family member. For additional information, see MACStats Table 19. When determining Medicaid and CHIP eligibility prior to 2014, states had the flexibility to disregard income sources and amounts of their choosing. Beginning in 2014, uniform modified adjusted gross income (MAGI) rules must be used to determine Medicaid and CHIP eligibility for most non-disabled children and adults under age 65, including the groups shown in this table. As a result, states are now required to use MAGI-converted eligibility levels that account for the change in income-counting rules. The eligibility levels shown in this table reflect these MAGI-converted levels plus a mandatory income disregard equal to 5 percent FPL that effectively raises eligibility levels by 5 percentage points. Under federal regulations, the 5 percent disregard applies to an individual's determination of eligibility for Medicaid and CHIP overall, rather than for particular eligibility groups within Medicaid or CHIP. All information is based on state decisions as of February 26, 2014.

**1** In states that use dollar amounts rather than percentages of the FPL to determine eligibility for parents, those amounts were converted to a percent of the FPL for 2013, and the highest percentage was selected to reflect eligibility level for the group.

**2** Includes coverage under the new adult group (Section 1902(a)(10)(A)(i)(VIII) of the Social Security Act) for individuals under age 65 who are not pregnant, not eligible for Medicare, and have incomes at or below 138 percent FPL.

**3** Medicaid expansion states are those that have opted to cover adults under Section 1902(a)(10)(A)(i)(VIII) of the Social Security Act.

**4** The state covers some 19- and 20-year-olds at income levels not shown in the table: Alaska (129 percent FPL), Florida (31 percent FPL), Maine (156 percent FPL), Massachusetts (150 percent FPL), New York (150 percent FPL), North Carolina (46 percent FPL), and Pennsylvania (33 percent FPL).

**5** Reflects parent coverage under the Medicaid state plan. The state has some additional coverage above state plan eligibility standards through a Section 1115 demonstration or a pending demonstration proposal. The demonstration includes limitations on eligibility or benefits, is not offered to all residents of the state, or includes an enrollment cap.

**6** The state has a Section 1115 demonstration or a pending demonstration proposal that provides Medicaid coverage to some low-income adults. The demonstration includes limitations on eligibility or benefits, is not offered to all residents of the state, or includes an enrollment cap.

**7** Michigan has a Medicaid expansion as of April 1, 2014.

**Source:** MACPAC communication with the Centers for Medicare & Medicaid Services (CMS) and MACPAC analysis of CMS, *State Medicaid and CHIP income eligibility standards effective January 1, 2014* (For MAGI groups, based on state decisions as of February 26, 2014).

**TABLE 11. Medicaid Income Eligibility Levels as a Percentage of the Federal Poverty Level for Individuals Age 65 and Older and Persons with Disabilities by State, 2014**

In most states, enrollment in the Supplemental Security Income (SSI) program for individuals age 65 and older and persons with disabilities automatically qualifies them for Medicaid. However, 11 (10 as of June 2014) 209(b) states may use more restrictive criteria than SSI when determining Medicaid eligibility. In all states, additional people with low incomes or high medical expenses may be covered, at the state's option, through poverty level, medically needy, special income level, and other eligibility pathways.

| State                      | State Eligibility |                | 209(b)             |                            | Medically<br>Needy <sup>3</sup> | Special Income<br>Level <sup>4</sup> |
|----------------------------|-------------------|----------------|--------------------|----------------------------|---------------------------------|--------------------------------------|
|                            | Type <sup>1</sup> | SSI Recipients | Eligibility Levels | Poverty Level <sup>2</sup> |                                 |                                      |
| Alabama                    | 1634              | 74%            | —                  | —                          | —                               | 222%                                 |
| Alaska <sup>5</sup>        | SSI Criteria      | 59             | —                  | —                          | —                               | 178                                  |
| Arizona                    | 1634              | 74             | —                  | 100%                       | —                               | 222                                  |
| Arkansas                   | 1634              | 74             | —                  | 80 (Aged only)             | 11%                             | 222                                  |
| California                 | 1634              | 74             | —                  | 100                        | 62                              | —                                    |
| Colorado                   | 1634              | 74             | —                  | —                          | —                               | 222                                  |
| Connecticut                | 209(b)            | —              | 63%                | —                          | 63                              | 222                                  |
| Delaware                   | 1634              | 74             | —                  | —                          | —                               | 185                                  |
| District of Columbia       | 1634              | 74             | —                  | 100                        | 64                              | 222                                  |
| Florida                    | 1634              | 74             | —                  | 88                         | 19                              | 222                                  |
| Georgia                    | 1634              | 74             | —                  | —                          | 33                              | 222                                  |
| Hawaii                     | 209(b)            | —              | 64                 | 100                        | 42                              | —                                    |
| Idaho                      | SSI Criteria      | 74             | —                  | —                          | —                               | 222                                  |
| Illinois                   | 209(b)            | —              | 100                | 100                        | 100                             | —                                    |
| Indiana <sup>6</sup>       | 1634              | 74             | —                  | 100                        | —                               | 222                                  |
| Iowa                       | 1634              | 74             | —                  | —                          | 50                              | 222                                  |
| Kansas                     | SSI Criteria      | 74             | —                  | —                          | 49                              | 222                                  |
| Kentucky                   | 1634              | 74             | —                  | —                          | 22                              | 222                                  |
| Louisiana                  | 1634              | 74             | —                  | 74                         | 10                              | 222                                  |
| Maine                      | 1634              | 74             | —                  | 100                        | 32                              | 222                                  |
| Maryland                   | 1634              | 74             | —                  | —                          | 36                              | 222                                  |
| Massachusetts <sup>7</sup> | 1634              | 74             | —                  | 100 (Aged)/133 (Disabled)  | 54                              | 222                                  |
| Michigan                   | 1634              | 74             | —                  | 100                        | 42                              | 222                                  |
| Minnesota                  | 209(b)            | —              | 75                 | 100                        | 75                              | 222                                  |
| Mississippi                | 1634              | 74             | —                  | —                          | —                               | 222                                  |
| Missouri                   | 209(b)            | —              | 84                 | 85                         | 84                              | 130                                  |
| Montana                    | 1634              | 74             | —                  | —                          | 64                              | —                                    |
| Nebraska                   | SSI Criteria      | 74             | —                  | 100                        | 40                              | —                                    |
| Nevada                     | SSI Criteria      | 74             | —                  | —                          | —                               | 222                                  |

**TABLE 11, Continued**

| <b>State</b>   | <b>State Eligibility Type<sup>1</sup></b> | <b>SSI Recipients</b> | <b>Eligibility Levels</b> | <b>Poverty Level<sup>2</sup></b> | <b>Medically Needy<sup>3</sup></b> | <b>Special Income Level<sup>4</sup></b> |
|----------------|---|-----------------------|---------------------------|----------------------------------|------------------------------------|---|
| New Hampshire  | 209(b)                                    | —                     | 76%                       | —                                | —                                  | 222%                                    |
| New Jersey     | 1634                                      | 74%                   | —                         | 100%                             | 38                                 | 222                                     |
| New Mexico     | 1634                                      | 74                    | —                         | —                                | —                                  | 222                                     |
| New York       | 1634                                      | 74                    | —                         | 83                               | 83                                 | —                                       |
| North Carolina | 1634                                      | 74                    | —                         | 100                              | 25                                 | —                                       |
| North Dakota   | 209(b)                                    | —                     | 82                        | —                                | 82                                 | —                                       |
| Ohio           | 209(b)                                    | —                     | 61                        | —                                | 61                                 | 222                                     |
| Oklahoma       | 209(b)                                    | —                     | 77                        | 100                              | 77                                 | 222                                     |
| Oregon         | SSI Criteria                              | 74                    | —                         | —                                | —                                  | 222                                     |
| Pennsylvania   | 1634                                      | 74                    | —                         | 100                              | 44                                 | 222                                     |
| Rhode Island   | 1634                                      | 74                    | —                         | 100                              | 88                                 | 222                                     |
| South Carolina | 1634                                      | 74                    | —                         | 100                              | —                                  | 222                                     |
| South Dakota   | 1634                                      | 74                    | —                         | —                                | —                                  | 222                                     |
| Tennessee      | 1634                                      | 74                    | —                         | —                                | —                                  | 222                                     |
| Texas          | 1634                                      | 74                    | —                         | —                                | —                                  | 222                                     |
| Utah           | SSI Criteria                              | 74                    | —                         | 100                              | 99                                 | 222                                     |
| Vermont        | 1634                                      | 74                    | —                         | —                                | 110                                | 222                                     |
| Virginia       | 209(b)                                    | —                     | 74                        | 80                               | 46                                 | 222                                     |
| Washington     | 1634                                      | 74                    | —                         | —                                | 73                                 | 222                                     |
| West Virginia  | 1634                                      | 74                    | —                         | —                                | 21                                 | 222                                     |
| Wisconsin      | 1634                                      | 74                    | —                         | —                                | 61                                 | 222                                     |
| Wyoming        | 1634                                      | 74                    | —                         | —                                | —                                  | 222                                     |

**Notes:** In 2014, the federal poverty level (100 percent FPL) is \$11,670 for an individual and \$4,060 for each additional family member in the lower 48 states and the District of Columbia. For additional information, see MACStats Table 19. Eligibility levels shown here apply to countable income; for some eligibility pathways, states may use various income disregards that result in different amounts of countable income. The eligibility levels listed in this table are for individuals; the eligibility levels for couples differ for certain categories.

**1** Both Section 1634 and SSI-criteria states use SSI criteria for Medicaid eligibility. In Section 1634 states, the federal eligibility determination process for SSI automatically qualifies an individual for Medicaid; in SSI-criteria states, individuals must submit information to the state for a separate eligibility determination. Section 209(b) states may use eligibility criteria more restrictive than the SSI program but may not use more restrictive criteria than those in effect in the state on January 1, 1972; if they do not have a separate medically needy standard, they must also allow individuals with higher incomes to spend down to the 209(b) income level shown here by deducting incurred medical expenses from the amount of income that is counted for Medicaid eligibility purposes. Indiana is 209(b) state until June 2014, at which point it will become a 1634 state.

**2** Under the poverty level option, states may choose to provide Medicaid coverage to persons who are aged or disabled and whose income is above the SSI or 209(b) level, but at or below the FPL.

**3** Under the medically needy option, individuals with higher incomes can spend down to the medically needy income level shown here by deducting incurred medical expenses from the amount of income that is counted for Medicaid eligibility purposes. Five states (Connecticut, Louisiana, Michigan, Vermont, and Virginia) have a medically needy income standard that varies by location. In these instances, the highest income standard is listed.

**4** Under the special income level option, states have the option to provide Medicaid benefits to people who require at least 30 days of nursing home or other institutional care and have incomes up to 300 percent of the SSI benefit rate (which is about 222 percent FPL in 2014). The income standard listed in this column may be for institutional services, home and community-based waiver services, or both.

**5** The dollar amount that equals the upper income eligibility level for SSI does not vary by state; however, the dollar amount that equals the FPL is higher in Alaska (see MACStats Table 19), resulting in a lower percentage.

**6** Indiana is a 209(b) state until June 2014, at which point it will become a 1634 state. The state's poverty level group is also effective as of June 2014.

**7** Massachusetts provides medically needy coverage for individuals age 65 and older and those who are eligible on the basis of a disability, but the rules for counting income and spend-down expenses vary for these groups.

**Sources:** MACPAC analysis of eligibility information from state websites and Medicaid state plans as of February 2014.

**TABLE 12. Mandatory and Optional Medicaid Benefits**

Although mandatory and optional Medicaid benefits are listed in federal statute, the breadth of coverage (i.e., amount, duration, and scope) varies by state. When designing a benefit, states may elect to place no limits on a benefit, or they may choose to limit a benefit by requiring prior approval of the service, restricting the place of service, or employing utilization controls or dollar caps. For example, while most states cover dental services, and some even cover annual dental exams, others limit this benefit to trauma care or emergency treatment for pain relief and infection, require that services be provided in a specific setting (such as an emergency room), require that certain services have prior approval, or place dollar caps on the total amount of services an enrollee can receive each year. The result is that the same benefit can be designed and implemented in a number of different ways across states.

The table on the following page lists mandatory and optional Medicaid benefits that are described in federal statute or regulations. No single source of information currently provides an up-to-date, comprehensive picture of the optional benefits covered by states and the circumstances under which a given benefit is covered. Readers may instead refer to a number of sources including, for example:

- ▶ Centers for Medicare & Medicaid Services, U.S. Department of Health and Human Services, *State Medicaid benefits matrix*, December 2010 and January 2011. <https://www.cms.gov/Medicare/Health-Plans/SpecialNeedsPlans/Downloads/StateMedicaidBenefitsMatrix042011.zip>.
- ▶ Kaiser Family Foundation, *Medicaid benefits: Online database*. <http://medicaidbenefits.kff.org/>.
- ▶ Kaiser Commission on Medicaid and the Uninsured, *Coverage of preventive services for adults in Medicaid*, September 2012. <http://www.kff.org/medicaid/upload/8359.pdf>.
- ▶ S. Wilensky, and E. Gray, *Coverage of Medicaid preventive services for adults – A national review*, The George Washington University, November 2012. <http://sphhs.gwu.edu/departments/healthpolicy/publications/coverage.pdf>.
- ▶ Substance Abuse and Mental Health Services Administration (SAMHSA), U.S. Department of Health and Human Services, *State profiles of mental health and substance abuse services in Medicaid*, January 2005. <http://store.samhsa.gov/product/State-Profiles-of-Mental-Health-and-Substance-Abuse-Services-in-Medicaid/NMH05-0202>; and SAMHSA, *Behavioral health, United States, 2012*. <http://www.samhsa.gov/data/2012BehavioralHealthUS/2012-BHUS.pdf>.
- ▶ Assistant Secretary for Planning and Evaluation, U.S. Department of Health and Human Services, *Understanding Medicaid home and community-based services: A primer*, 2010 edition. <http://aspe.hhs.gov/daltcp/reports/2010/primer10.pdf>.

**TABLE 12, Continued**

| <b>Mandatory Medicaid Benefits</b>  |
|---|
| ► Inpatient hospital services   |
| ► Outpatient hospital services  |
| ► Physician services  |
| ► Early and Periodic Screening, Diagnostic, and Treatment (EPSDT) services for individuals under age 21 (screening, vision, dental, and hearing services and any medically necessary service listed in the Medicaid statute, including optional services that are not otherwise covered by a state) |
| ► Family planning services and supplies   |
| ► Federally qualified health center services  |
| ► Freestanding birth center services  |
| ► Home health services  |
| ► Laboratory and X-ray services   |
| ► Nursing facility services (for ages 21 and over)  |
| ► Nurse midwife services (to the extent authorized to practice under state law or regulation)   |
| ► Certified pediatric or family nurse practitioner services (to the extent authorized to practice under state law or regulation)  |
| ► Rural health clinic services  |
| ► Tobacco cessation counseling and pharmacotherapy for pregnant women   |
| ► Non-emergency transportation to medical care <sup>1</sup>   |

  

| <b>Optional Medicaid Benefits</b>  |
|--|
| ► Prescribed drugs   |
| ► Intermediate care facility services for individuals with intellectual disabilities                                   |
| ► Clinic services  |
| ► Occupational therapy services  |
| ► Optometry services   |
| ► Physical therapy services  |
| ► Targeted case management services  |
| ► Prosthetic devices   |
| ► Hospice services   |
| ► Inpatient psychiatric services for individuals under age 21  |
| ► Dental services  |
| ► Eyeglasses   |
| ► Speech, hearing, and language disorder services  |
| ► Inpatient hospital and nursing facility services for individuals age 65 or older in institutions for mental diseases |
| ► Emergency hospital services in a hospital not meeting certain Medicare or Medicaid requirements <sup>2</sup>         |
| ► Dentures   |
| ► Personal care services   |
| ► Private duty nursing services  |
| ► Program of All-inclusive Care for the Elderly (PACE) services  |
| ► Chiropractic services  |
| ► Critical access hospital services  |
| ► Respiratory care for ventilator-dependent individuals  |
| ► Primary care case management services  |
| ► Services furnished in a religious nonmedical health care institution   |
| ► Tuberculosis-related services  |
| ► Home and community-based services  |
| ► Health homes for enrollees with chronic conditions   |
| ► Other licensed practitioners' services   |
| ► Other diagnostic, screening, preventive, and rehabilitative services   |

**Notes:**

1 Federal regulations require states to provide transportation services; they may do so as an administrative function or as part of the Medicaid benefits package.

2 Federal regulations define these services as being those that are necessary to prevent the death or serious impairment of the health of the recipient and, because of the threat to life, necessitate the use of the most accessible hospital available that is equipped to furnish the services, even if the hospital does not currently meet Medicare's participation requirements or the definition of inpatient or outpatient hospital services under Medicaid rules.

**Source:** Centers for Medicare & Medicaid Services, Medicaid benefits, as of February 2014. <http://www.medicaid.gov/Medicaid-CHIP-Program-Information/By-Topics/Benefits/Medicaid-Benefits.html>.

MACstats

**TABLE 13. Maximum Allowable Medicaid Premiums and Cost Sharing, FY 2014**

| <b>Exemptions from Premiums and Cost Sharing</b>              | <b>At or Below 100% FPL</b>   | <b>From 100% through 150% FPL</b>   | <b>Above 150% FPL</b>                            |
|---|---|---|--|
| Exempt Populations  | Populations exempt from most types of cost sharing include most children under age 18, pregnant women, beneficiaries receiving hospice care, certain beneficiaries in institutions such as nursing facilities and intermediate care facilities, American Indians who are furnished a Medicaid item or service through an Indian Health Service provider or through a contract health service referral, and individuals eligible for Medicaid under the Breast and Cervical Cancer Act pathway. Except for certain pregnant women above 150% FPL, these populations are also exempt from premiums. |   |  |
| Exempt Services   | Emergency services, family planning services and supplies, preventive services for children regardless of family income, pregnancy-related services, and services related to provider-preventable conditions are excluded from cost sharing.  |   |  |
| <b>Aggregate Limit on Allowable Premiums and Cost Sharing</b> | The total amount of premiums and cost sharing incurred by all individuals in a Medicaid household may not exceed 5% of the family's monthly or quarterly income.  |   |  |
| <b>Allowable Premiums</b>                                     |   |   |  |
| Specified populations   | Up to \$20 per month for individuals eligible under a medically needy pathway.<br>Sliding scale based on income for individuals eligible under certain disability pathways for children and working adults.   | Same as rules at or below 150% FPL for medically needy and disability pathways.<br>Up to 10% of amount by which income exceeds 150% FPL for certain pregnant women. |  |
| All other populations   | Not permitted   | No specific limit   |  |
| <b>Allowable Cost Sharing</b>                                 |   |   |  |
| Outpatient services   | Up to \$4.00  | Up to 10% of the amount the Medicaid agency pays  | Up to 20% of the amount the Medicaid agency pays |
| Inpatient stays   | Up to \$75.00   | Up to 10% of the amount the Medicaid agency pays  | Up to 20% of the amount the Medicaid agency pays |
| Non-emergency use of the emergency department                 |   | Up to \$8.00  | No specific limit                                |
| Prescribed drugs  | Preferred drugs: Up to \$4.00<br>Non-preferred: Up to \$8.00  | Preferred drugs: Up to \$4.00<br>Non-preferred: Up to 20% of the amount the Medicaid agency pays  |  |

**Notes:** In 2014, the federal poverty level (100 percent FPL) is \$11,670 for an individual and \$4,060 for each additional family member in the lower 48 states and the District of Columbia. FY is fiscal year. For additional information, see MACStats Table 19. Beginning October 1, 2015, maximum allowable cost-sharing amounts will be increased annually by the percentage increase in the medical care component of the Consumer Price Index for All Urban Consumers (CPI-U). This table does not reflect amounts that states may have implemented under a Section 1115 waiver.

**Sources:** Centers for Medicare & Medicaid Services, U.S. Department of Health and Human Services, Medicaid and Children's Health Insurance Programs: Essential health benefits in alternative benefit plans, eligibility notices, fair hearing and appeal processes, and premiums and cost sharing; Exchanges: Eligibility and enrollment; Final rule, *Federal Register* 78 (July 15): 42160, 2013. <http://www.gpo.gov/fdsys/pkg/FR-2013-07-15/pdf/2013-16271.pdf>.

**TABLE 14. Federal Medical Assistance Percentages (FMAPs) and Enhanced FMAPs (E-FMAPs) by State, Selected Periods in FY 2011–FY 2015**

| State                  | FMAPs for Medicaid                       |   |         |         | E-FMAPs for CHIP     |                      |         |         |         |         |
|------------------------|--|---|---------|---------|----------------------|----------------------|---------|---------|---------|---------|
|                        | First quarter<br>of FY 2011 <sup>1</sup> | Fourth quarter<br>of FY 2011 <sup>1</sup> | FY 2012 | FY 2013 | FY 2014 <sup>2</sup> | FY 2015 <sup>2</sup> | FY 2012 | FY 2013 | FY 2014 | FY 2015 |
| Alabama                | 78.00%                                   | 68.54%                                    | 68.62%  | 68.53%  | 68.12%               | 68.99%               | 78.03%  | 77.97%  | 77.68%  | 78.29%  |
| Alaska                 | 62.46                                    | 50.00                                     | 50.00   | 50.00   | 50.00                | 50.00                | 65.00   | 65.00   | 65.00   | 65.00   |
| Arizona                | 75.93                                    | 65.85                                     | 67.30   | 65.68   | 67.23                | 68.46                | 77.11   | 75.98   | 77.06   | 77.92   |
| Arkansas               | 81.18                                    | 71.37                                     | 70.71   | 70.17   | 70.10                | 70.88                | 79.50   | 79.12   | 79.07   | 79.62   |
| California             | 61.59                                    | 50.00                                     | 50.00   | 50.00   | 50.00                | 50.00                | 65.00   | 65.00   | 65.00   | 65.00   |
| Colorado               | 61.59                                    | 50.00                                     | 50.00   | 50.00   | 50.00                | 50.00                | 65.00   | 65.00   | 65.00   | 65.71   |
| Connecticut            | 61.59                                    | 50.00                                     | 50.00   | 50.00   | 50.00                | 50.00                | 65.00   | 65.00   | 65.00   | 65.00   |
| Delaware               | 64.38                                    | 53.15                                     | 54.17   | 55.67   | 55.31                | 53.63                | 67.92   | 68.97   | 68.72   | 67.54   |
| District of Columbia   | 79.29                                    | 70.00                                     | 70.00   | 70.00   | 70.00                | 70.00                | 79.00   | 79.00   | 79.00   | 79.00   |
| Florida                | 67.64                                    | 55.45                                     | 56.04   | 58.08   | 58.79                | 59.72                | 69.23   | 70.66   | 71.15   | 71.80   |
| Georgia                | 75.16                                    | 65.33                                     | 66.16   | 65.56   | 65.93                | 66.94                | 76.31   | 75.89   | 76.15   | 76.86   |
| Hawaii                 | 67.35                                    | 51.79                                     | 50.48   | 51.86   | 51.85                | 52.23                | 65.34   | 66.30   | 66.30   | 66.56   |
| Idaho                  | 79.18                                    | 68.85                                     | 70.23   | 71.00   | 71.64                | 71.75                | 79.16   | 79.70   | 80.15   | 80.23   |
| Illinois               | 61.88                                    | 50.20                                     | 50.00   | 50.00   | 50.00                | 50.76                | 65.00   | 65.00   | 65.00   | 65.53   |
| Indiana                | 76.21                                    | 66.52                                     | 66.96   | 67.16   | 66.92                | 66.52                | 76.87   | 77.01   | 76.84   | 76.56   |
| Iowa                   | 72.55                                    | 62.63                                     | 60.71   | 59.59   | 57.93                | 55.54                | 72.50   | 71.71   | 70.55   | 68.88   |
| Kansas                 | 69.68                                    | 59.05                                     | 56.91   | 56.51   | 56.91                | 56.63                | 69.84   | 69.56   | 69.84   | 69.64   |
| Kentucky               | 80.61                                    | 71.49                                     | 71.18   | 70.55   | 69.83                | 69.94                | 79.83   | 79.39   | 78.88   | 78.96   |
| Louisiana <sup>3</sup> | 81.48                                    | 68.04                                     | 69.78   | 65.51   | 62.11                | 62.05                | 72.76   | 72.87   | 72.69   | 73.44   |
| Maine                  | 74.86                                    | 63.80                                     | 63.27   | 62.57   | 61.55                | 61.88                | 74.29   | 73.80   | 73.09   | 73.32   |
| Maryland               | 61.59                                    | 50.00                                     | 50.00   | 50.00   | 50.00                | 50.00                | 65.00   | 65.00   | 65.00   | 65.00   |
| Massachusetts          | 61.59                                    | 50.00                                     | 50.00   | 50.00   | 50.00                | 50.00                | 65.00   | 65.00   | 65.00   | 65.00   |
| Michigan               | 75.57                                    | 65.79                                     | 66.14   | 66.39   | 66.32                | 65.54                | 76.30   | 76.47   | 76.42   | 75.88   |
| Minnesota              | 61.59                                    | 50.00                                     | 50.00   | 50.00   | 50.00                | 50.00                | 65.00   | 65.00   | 65.00   | 65.00   |
| Mississippi            | 84.86                                    | 74.73                                     | 74.18   | 73.43   | 73.05                | 73.58                | 81.93   | 81.40   | 81.14   | 81.51   |
| Missouri               | 74.43                                    | 63.29                                     | 63.45   | 61.37   | 62.03                | 63.45                | 74.42   | 72.96   | 73.42   | 74.42   |
| Montana                | 77.99                                    | 66.81                                     | 66.11   | 66.00   | 66.33                | 65.90                | 76.28   | 76.20   | 76.43   | 76.13   |
| Nebraska               | 68.76                                    | 58.44                                     | 56.64   | 55.76   | 54.74                | 53.27                | 69.65   | 69.03   | 68.32   | 67.29   |
| Nevada                 | 63.93                                    | 51.61                                     | 56.20   | 59.74   | 63.10                | 64.36                | 69.34   | 71.82   | 74.17   | 75.05   |
| New Hampshire          | 61.59                                    | 50.00                                     | 50.00   | 50.00   | 50.00                | 50.00                | 65.00   | 65.00   | 65.00   | 65.00   |
| New Jersey             | 61.59                                    | 50.00                                     | 50.00   | 50.00   | 50.00                | 50.00                | 65.00   | 65.00   | 65.00   | 65.00   |
| New Mexico             | 80.49                                    | 69.78                                     | 69.36   | 69.07   | 69.20                | 69.65                | 78.55   | 78.35   | 78.44   | 78.76   |
| New York               | 61.59                                    | 50.00                                     | 50.00   | 50.00   | 50.00                | 50.00                | 65.00   | 65.00   | 65.00   | 65.00   |
| North Carolina         | 74.98                                    | 64.71                                     | 65.28   | 65.51   | 65.78                | 65.88                | 75.70   | 75.86   | 76.05   | 76.12   |
| North Dakota           | 69.95                                    | 60.35                                     | 55.40   | 52.27   | 50.00                | 68.78                | 66.59   | 65.00   | 65.00   | 65.00   |
| Ohio                   | 73.71                                    | 63.69                                     | 64.15   | 63.58   | 63.02                | 62.64                | 74.91   | 74.51   | 74.11   | 73.85   |

**TABLE 14, Continued**

| State                    | FMAPS for Medicaid                       |   |         |         |                      |                      | E-FMAPs for CHIP |         |         |         |
|--------------------------|--|---|---------|---------|----------------------|----------------------|------------------|---------|---------|---------|
|                          | First quarter<br>of FY 2011 <sup>1</sup> | Fourth quarter<br>of FY 2011 <sup>1</sup> | FY 2012 | FY 2013 | FY 2014 <sup>2</sup> | FY 2015 <sup>2</sup> | FY 2012          | FY 2013 | FY 2014 | FY 2015 |
| Oklahoma                 | 76.73%                                   | 64.94%                                    | 63.88%  | 64.00%  | 64.02%               | 62.30%               | 74.72%           | 74.80%  | 74.81%  | 73.61%  |
| Oregon                   | 72.97                                    | 62.85                                     | 62.91   | 62.44   | 63.14                | 64.06                | 74.04            | 73.71   | 74.20   | 74.84   |
| Pennsylvania             | 66.58                                    | 55.64                                     | 55.07   | 54.28   | 53.52                | 51.82                | 68.55            | 68.00   | 67.46   | 66.27   |
| Rhode Island             | 64.22                                    | 52.97                                     | 52.12   | 51.26   | 50.11                | 50.00                | 66.48            | 65.88   | 65.08   | 65.00   |
| South Carolina           | 79.58                                    | 70.04                                     | 70.24   | 70.43   | 70.57                | 70.64                | 79.17            | 79.30   | 79.40   | 79.45   |
| South Dakota             | 70.80                                    | 61.25                                     | 59.13   | 56.19   | 53.54                | 51.64                | 71.39            | 69.33   | 67.48   | 66.15   |
| Tennessee                | 75.62                                    | 65.85                                     | 66.36   | 66.13   | 65.29                | 64.99                | 76.45            | 76.29   | 75.70   | 75.49   |
| Texas                    | 70.94                                    | 60.56                                     | 58.22   | 59.30   | 58.69                | 58.05                | 70.75            | 71.51   | 71.08   | 70.64   |
| Utah                     | 80.78                                    | 71.13                                     | 70.99   | 69.61   | 70.34                | 70.56                | 79.69            | 78.73   | 79.24   | 79.39   |
| Vermont                  | 69.96                                    | 58.71                                     | 57.58   | 56.04   | 55.11                | 54.01                | 70.31            | 69.23   | 68.58   | 67.81   |
| Virginia                 | 61.59                                    | 50.00                                     | 50.00   | 50.00   | 50.00                | 50.00                | 65.00            | 65.00   | 65.00   | 65.00   |
| Washington               | 62.94                                    | 50.00                                     | 50.00   | 50.00   | 50.00                | 50.03                | 65.00            | 65.00   | 65.00   | 65.02   |
| West Virginia            | 83.05                                    | 73.24                                     | 72.62   | 72.04   | 71.09                | 71.35                | 80.83            | 80.43   | 79.76   | 79.95   |
| Wisconsin                | 70.63                                    | 60.16                                     | 60.53   | 59.74   | 59.06                | 58.27                | 72.37            | 71.82   | 71.34   | 70.79   |
| Wyoming                  | 61.59                                    | 50.00                                     | 50.00   | 50.00   | 50.00                | 50.00                | 65.00            | 65.00   | 65.00   | 65.00   |
| American Samoa           | 50.00                                    | 55.00                                     | 55.00   | 55.00   | 55.00                | 55.00                | 68.50            | 68.50   | 68.50   | 68.50   |
| Guam                     | 50.00                                    | 55.00                                     | 55.00   | 55.00   | 55.00                | 55.00                | 68.50            | 68.50   | 68.50   | 68.50   |
| Northern Mariana Islands | 50.00                                    | 55.00                                     | 55.00   | 55.00   | 55.00                | 55.00                | 68.50            | 68.50   | 68.50   | 68.50   |
| Puerto Rico              | 50.00                                    | 55.00                                     | 55.00   | 55.00   | 55.00                | 55.00                | 68.50            | 68.50   | 68.50   | 68.50   |
| Virgin Islands           | 50.00                                    | 55.00                                     | 55.00   | 55.00   | 55.00                | 55.00                | 68.50            | 68.50   | 68.50   | 68.50   |

**Notes:** The federal government's share of most Medicaid service costs is determined by the federal medical assistance percentage (FMAP), with some exceptions. For Medicaid administrative costs, the federal share does not vary by state and is generally 50 percent. The enhanced FMAP determines the federal share of both service and administrative costs for CHIP subject to the availability of funds from a state's federal allotments for CHIP.

FMAPs for Medicaid are generally calculated based on a formula that compares each state's per capita income relative to U.S. per capita income and provides a higher federal match for states with lower per capita incomes, subject to a statutory minimum (50 percent) and maximum (83 percent). The formula for a given state is:  $FMAP = 1 - ((State \ per \ capita \ income \ squared / U.S. \ per \ capita \ income \ squared) \times 0.45)$

Medicaid exceptions to this formula include the District of Columbia (set in statute at 70 percent) and the territories (set in statute at 55 percent). Other Medicaid exceptions apply to certain services, providers, or situations (e.g., services provided through an Indian Health Service facility receive an FMAP of 100 percent). Enhanced FMAPs for CHIP are calculated by reducing the state share under regular FMAPs for Medicaid by 30 percent.

1 From the first quarter of fiscal year (FY) 2009 through the third quarter of FY 2011, subject to certain requirements, states received a temporary FMAP increase (PL. 111-5 and PL. 111-226). Under the formula used to calculate the temporary increase, states reached their highest FMAPs by the first quarter of FY 2011 (shown here). The temporary increase then phased down in the second and third quarters of FY 2011. FMAPs returned to their regular formula levels in the fourth quarter of FY 2011. The temporary increase did not apply to CHIP.

2 For certain newly eligible individuals under the Medicaid expansion beginning in 2014, there is an increased FMAP (100 percent in 2014 through 2016, phasing down to 90 percent in 2020 and subsequent years). An increased FMAP is also available for certain states that previously expanded eligibility to low-income parents and non-pregnant adults without children prior to enactment of the Patient Protection and Affordable Care Act (ACA, PL. 111-148, as amended). (See §§ 1905(y) and (z) of the Social Security Act.)

3 Louisiana receives a disaster-recovery state FMAP adjustment for the fourth quarter of FY 2011, and FY 2012-FY 2014 (section 1905(aa) of the Social Security Act). PL. 112-96 and PL. 112-141 revised the disaster relief formula, effective October 1, 2012. As a result, the FY 2013 disaster-recovery FMAP adjustment for Louisiana that was published in the *Federal Register* on November 30, 2011 has been revised. No state qualifies for a disaster-recovery FMAP adjustment in FY 2015.

**Source:** *Federal Register* notices from the U.S. Department of Health and Human Services.

**TABLE 15. Medicaid as a Share of States' Total Budgets and State-Funded Budgets, State FY 2012**

| State                             | Total Budget (Including State and Federal Funds) |  | Dollars (millions) |                                    | Dollars (millions) |                                    | State-Funded Budget  |                  |
|-----------------------------------|--|--|--------------------|------------------------------------|--------------------|------------------------------------|--|------------------|
|                                   | Dollars (millions)                               | Total spending as a share of total budget <sup>1</sup> | Medicaid           | Elementary and secondary education | Medicaid           | Elementary and secondary education | State-funded spending as a share of state-funded budget <sup>1</sup> | Higher education |
| All states                        | \$1,644,020                                      | 23.7%  | 20.0%              | 10.5%                              | \$1,127,809        | 14.8%                              | 24.1%  | 13.4%            |
| Alabama                           | 24,178   | 23.3   | 20.9               | 20.1                               | 14,870             | 12.3                               | 27.5   | 24.2             |
| Alaska                            | 11,789   | 11.6   | 13.4               | 9.3                                | 8,772              | 6.5                                | 15.3   | 10.5             |
| Arizona                           | 28,540   | 32.0   | 19.0               | 13.5                               | 16,241             | 20.5                               | 26.0   | 18.8             |
| Arkansas                          | 20,688   | 21.4   | 16.3               | 16.2                               | 14,410             | 8.9                                | 19.2   | 23.1             |
| California                        | 199,424  | 21.6   | 19.9               | 7.0                                | 126,361            | 13.1                               | 26.5   | 7.2              |
| Colorado                          | 28,777   | 20.7   | 25.3               | 9.0                                | 21,086             | 16.0                               | 31.4   | 10.2             |
| Connecticut                       | 27,558   | 21.4   | 13.9               | 10.3                               | 24,927             | 23.6                               | 13.2   | 9.9              |
| Delaware                          | 8,942  | 15.9   | 24.6               | 4.5                                | 7,165              | 8.9                                | 27.3   | 4.6              |
| District of Columbia <sup>2</sup> | —  | —  | —                  | —                                  | —                  | —                                  | —  | —                |
| Florida                           | 62,989   | 30.6   | 18.8               | 7.1                                | 38,374             | 21.7                               | 25.2   | 11.3             |
| Georgia                           | 41,127   | 20.3   | 24.0               | 18.7                               | 28,658             | 9.6                                | 26.6   | 26.6             |
| Hawaii                            | 11,494   | 12.3   | 15.6               | 11.3                               | 9,562              | 6.3                                | 15.8   | 13.3             |
| Idaho                             | 6,267  | 27.2   | 25.7               | 8.1                                | 3,885              | 16.5                               | 33.9   | 13.0             |
| Illinois                          | 65,730   | 19.7   | 15.8               | 5.5                                | 46,323             | 14.6                               | 14.7   | 7.2              |
| Indiana                           | 26,305   | 27.3   | 32.9               | 6.5                                | 17,033             | 14.5                               | 43.5   | 10.0             |
| Iowa                              | 18,940   | 19.6   | 16.8               | 25.0                               | 12,389             | 13.2                               | 22.1   | 33.7             |
| Kansas                            | 14,396   | 18.6   | 25.8               | 16.9                               | 10,243             | 11.5                               | 31.7   | 17.1             |
| Kentucky                          | 25,649   | 22.5   | 19.8               | 25.7                               | 16,962             | 10.0                               | 24.5   | 33.5             |
| Louisiana                         | 27,073   | 26.7   | 18.4               | 9.9                                | 16,457             | 14.4                               | 23.5   | 15.3             |
| Maine                             | 8,106  | 28.8   | 13.1               | 3.4                                | 5,457              | 16.3                               | 19.2   | 5.0              |
| Maryland                          | 34,877   | 21.5   | 19.5               | 14.5                               | 25,819             | 14.4                               | 22.6   | 18.3             |
| Massachusetts                     | 59,271   | 20.7   | 10.7               | 9.3                                | 43,114             | 12.8                               | 12.0   | 12.8             |
| Michigan                          | 47,286   | 26.1   | 27.2               | 4.1                                | 29,737             | 14.0                               | 36.8   | 6.2              |
| Minnesota                         | 31,329   | 27.6   | 23.8               | 9.7                                | 23,159             | 18.2                               | 28.8   | 13.1             |
| Mississippi                       | 18,386   | 23.4   | 16.9               | 16.8                               | 10,441             | 10.8                               | 22.2   | 27.8             |
| Missouri                          | 23,364   | 35.0   | 22.6               | 4.7                                | 15,825             | 24.9                               | 26.5   | 6.9              |
| Montana                           | 5,919  | 16.8   | 15.5               | 9.8                                | 3,788              | 8.5                                | 19.6   | 14.1             |
| Nebraska                          | 9,877  | 16.7   | 15.3               | 23.5                               | 6,889              | 10.4                               | 16.2   | 28.1             |

**TABLE 15, Continued**

| State          | Total Budget (Including State and Federal Funds) |   | State-Funded Budget |   |
|----------------|--|---|---------------------|---|
|                | Dollars<br>(millions)                            | Total spending as a<br>share of total budget <sup>1</sup> | Medicaid            | State-funded spending as a<br>share of state-funded budget <sup>1</sup> |
|                |  | Elementary and<br>secondary education                     | Higher<br>education | Dollars<br>(millions)   |
| Nevada         | \$7,623  | 25.4%   | 23.6%               | 9.7%  |
| New Hampshire  | 4,975  | 23.9  | 23.5                | 2.7   |
| New Jersey     | 48,612   | 21.6  | 24.7                | 7.8   |
| New Mexico     | 14,164   | 24.7  | 19.7                | 19.3  |
| New York       | 133,504  | 29.4  | 19.8                | 7.6   |
| North Carolina | 46,567   | 24.7  | 23.2                | 9.0   |
| North Dakota   | 6,027  | 12.1  | 13.8                | 17.7  |
| Ohio           | 57,921   | 24.4  | 20.6                | 4.2   |
| Oklahoma       | 20,931   | 23.9  | 16.5                | 23.1  |
| Oregon         | 27,014   | 18.2  | 14.0                | 2.5   |
| Pennsylvania   | 66,948   | 33.2  | 18.4                | 2.8   |
| Rhode Island   | 7,907  | 25.0  | 14.2                | 13.2  |
| South Carolina | 22,088   | 21.7  | 15.9                | 21.0  |
| South Dakota   | 3,698  | 20.9  | 14.3                | 17.7  |
| Tennessee      | 30,419   | 30.7  | 17.7                | 12.8  |
| Texas          | 92,963   | 30.1  | 28.7                | 15.8  |
| Utah           | 11,822   | 17.5  | 24.7                | 11.9  |
| Vermont        | 5,017  | 25.3  | 31.1                | 1.8   |
| Virginia       | 43,425   | 16.2  | 16.0                | 13.1  |
| Washington     | 34,943   | 12.1  | 22.9                | 17.8  |
| West Virginia  | 21,821   | 12.7  | 10.8                | 14.1  |
| Wisconsin      | 41,324   | 16.5  | 16.7                | 14.1  |
| Wyoming        | 6,026  | 9.5   | 3.9                 | 5.5   |

**Notes:** FY is fiscal year. Total budget includes federal and all other funds. State-funded budget includes state general funds, other state funds, and bonds. Medicaid, elementary and secondary education, and higher education represent the largest total budget shares among functions broken out separately by the National Association of State Budget Officers (NASBO). Functions not shown here are transportation, corrections, public assistance, and all other. Medicaid spending amounts exclude administrative costs but include Medicare Part D phased-down state contribution (also referred to as clawback) payments.

<sup>1</sup> Total and state-funded budget shares should be viewed with caution because they reflect varying state practices. For example, Connecticut reports all of its Medicaid spending as state-funded spending due to the direct deposit of federal funds into the State Treasury. In addition, some functions—particularly elementary and secondary education—may be partially funded outside of the state budget by local governments.

<sup>2</sup> NASBO does not collect information for the District of Columbia.  
**Sources:** National Association of State Budget Officers (NASBO), *State expenditure report: Examining fiscal 2011-2013 state spending*, December 2013. <http://www.nasbo.org/sites/default/files/State%20Expenditure%20Report%20Fiscal%202011-2013%20Data%29.pdf>.

**TABLE 16. National Health Expenditures by Type and Payer, 2012**

| <b>Type of Expenditure</b>   | <b>Dollars (billions)</b> |                 |               |                                       |   |   |
|--|---------------------------|-----------------|---------------|---------------------------------------|---|---|
|  | <b>Total</b>              | <b>Medicaid</b> | <b>CHIP</b>   | <b>Private<br/>Medicare insurance</b> | <b>Other<br/>health<br/>insurance<sup>1</sup></b> | <b>Other<br/>third party<br/>payers<sup>2</sup></b> |
| <b>National health expenditures</b>                                | <b>\$2,793.4</b>          | <b>\$421.2</b>  | <b>\$12.6</b> | <b>\$572.5</b>                        | <b>\$917.0</b>                                    | <b>\$91.1</b>                                       |
| Hospital   | <b>882.3</b>              | <b>156.4</b>    | <b>3.4</b>    | <b>239.8</b>                          | <b>320.9</b>                                      | <b>49.6</b>   |
| Physician and clinical   | <b>565.0</b>              | <b>45.6</b>     | <b>3.2</b>    | <b>128.1</b>                          | <b>258.3</b>                                      | <b>20.7</b>   |
| Dental   | <b>110.9</b>              | <b>7.3</b>      | <b>1.3</b>    | <b>0.4</b>                            | <b>53.4</b>                                       | <b>1.3</b>  |
| Other professional   | <b>76.4</b>               | <b>4.7</b>      | <b>0.2</b>    | <b>16.9</b>                           | <b>28.2</b>                                       | —   |
| Home health  | <b>77.8</b>               | <b>28.9</b>     | <b>0.0</b>    | <b>33.8</b>                           | <b>5.6</b>  | <b>1.0</b>  |
| Other non-durable medical products                                 | <b>53.7</b>               | —               | —             | <b>3.1</b>                            | —   | —   |
| Prescription drugs   | <b>263.3</b>              | <b>19.6</b>     | <b>1.5</b>    | <b>68.2</b>                           | <b>117.0</b>                                      | <b>7.7</b>  |
| Durable medical equipment  | <b>41.3</b>               | <b>4.6</b>      | <b>0.1</b>    | <b>8.2</b>                            | <b>4.7</b>  | —   |
| Nursing care facilities and continuing care retirement communities | <b>151.5</b>              | <b>46.3</b>     | <b>0.0</b>    | <b>34.4</b>                           | <b>12.0</b>                                       | <b>4.4</b>  |
| Other health, residential, and personal care                       | <b>138.2</b>              | <b>72.9</b>     | <b>0.9</b>    | <b>5.1</b>                            | <b>6.9</b>  | <b>3.2</b>  |
| Administration   | <b>197.9</b>              | <b>34.9</b>     | <b>2.0</b>    | <b>34.5</b>                           | <b>109.9</b>                                      | <b>3.3</b>  |
| Public health activity   | <b>75.0</b>               | —               | —             | —                                     | —   | <b>75.0</b>   |
| Investment   | <b>160.0</b>              | —               | —             | —                                     | —   | <b>160.0</b>  |

**TABLE 16, Continued**

| Type of Expenditure  | Share of Total |              |             |                    |                                     |                                       |               |
|--|----------------|--------------|-------------|--------------------|-------------------------------------|---------------------------------------|---------------|
|  | Total          | Medicaid     | CHIP        | Medicare insurance | Other health insurance <sup>1</sup> | Other third party payers <sup>2</sup> | Out of pocket |
| <b>National health expenditures</b>                                | <b>100%</b>    | <b>15.1%</b> | <b>0.5%</b> | <b>20.5%</b>       | <b>32.8%</b>                        | <b>3.3%</b>                           | <b>16.1%</b>  |
| Hospital   | 100            | 17.7         | 0.4         | 27.2               | 36.4                                | 5.6                                   | 9.3           |
| Physician and clinical   | 100            | 8.1          | 0.6         | 22.7               | 45.7                                | 3.7                                   | 9.6           |
| Dental   | 100            | 6.6          | 1.2         | 0.3                | 48.1                                | 1.2                                   | 0.5           |
| Other professional   | 100            | 6.2          | 0.3         | 22.2               | 37.0                                | —                                     | 9.0           |
| Home health  | 100            | 37.2         | 0.0         | 43.4               | 7.2                                 | 1.3                                   | 3.1           |
| Other non-durable medical products                                 | 100            | —            | —           | 5.8                | —                                   | —                                     | 0.0           |
| Prescription drugs   | 100            | 7.5          | 0.6         | 25.9               | 44.4                                | 2.9                                   | 0.9           |
| Durable medical equipment  | 100            | 11.1         | 0.3         | 19.9               | 11.4                                | —                                     | 1.4           |
| Nursing care facilities and continuing care retirement communities | 100            | 30.6         | 0.0         | 22.7               | 7.9                                 | 2.9                                   | 7.3           |
| Other health, residential, and personal care                       | 100            | 52.7         | 0.7         | 3.7                | 5.0                                 | 2.3                                   | 30.2          |
| Administration   | 100            | 17.6         | 1.0         | 17.4               | 55.5                                | 1.6                                   | 6.8           |
| Public health activity   | 100            | —            | —           | —                  | —                                   | —                                     | 100.0         |
| Investment   | 100            | —            | —           | —                  | —                                   | —                                     | —             |

**Notes:** Figures for nursing care facilities and continuing retirement communities and other health, residential, and personal care reflect new data and methods as of 2011. In prior releases, Medicaid accounted for about 20 percent of nursing home expenditures and about three-quarters of other personal health care expenditures. Other professional includes services provided in establishments operated by health practitioners other than physicians and dentists, including those provided by private-duty nurses, chiropractors, podiatrists, optometrists, and speech therapists, among others. Other non-durable medical products includes the retail sales of non-prescription drugs and medical sundries. Durable medical equipment includes retail sales of items such as contact lenses, eyeglasses, and other ophthalmic products, hearing aids, wheelchairs, and medical equipment rentals. Nursing care facilities and continuing care retirement communities includes nursing and rehabilitative services provided in freestanding nursing home facilities that are generally provided for an extended period of time by registered or licensed practical nurses and other staff. Other health, residential, and personal care includes spending for Medicaid home and community-based waivers, care provided in residential facilities for people with intellectual disabilities or mental health and substance abuse disorders, ambulance services, school health, and worksite health care. Administration category includes the administrative cost of health care programs (e.g., Medicare and Medicaid) and the net cost of private health insurance (administrative costs, as well as additions to reserves, rate credits and dividends, premium taxes, and plan profits or losses). Zeroes indicate amounts less than \$0.05 billion or 0.05 percent that round to zero. Dashes indicate amounts that are true zeroes.

1 U.S. Department of Defense and U.S. Department of Veterans' Affairs.

2 Includes all other public and private programs and expenditures except for out-of-pocket amounts.

**Sources:** Office of the Actuary (OACT), Centers for Medicare & Medicaid Services, National health expenditures by type of service and source of funds: *Calendar years 1960-2012*, January 2014. <http://www.cms.gov/Research-Statistics-Data-and-Systems/Statistics-Trends-and-Reports/NationalHealthExpendData/Downloads/NHE2012.zip>. OACT, *National health expenditure accounts: Methodology paper, 2012*, 2014. <http://www.cms.gov/Research-Statistics-Data-and-Systems/Statistics-Trends-and-Reports/NationalHealthExpendData/Downloads/dsm-12.pdf>.

**TABLE 17. Historical and Projected National Health Expenditures by Payer for Selected Years, 1970–2022**

|                   | Total | Medicaid and CHIP | Medicare | Private insurance | Other health insurance <sup>1</sup> | Other third party payers <sup>2</sup> | Out of pocket |
|-------------------|-------|-------------------|----------|-------------------|-------------------------------------|---------------------------------------|---------------|
| <b>Historical</b> |       |                   |          |                   |                                     |                                       |               |
| 1970              | \$75  | \$5               | \$8      | \$15              | \$3                                 | \$18                                  | \$25          |
| 1975              | 134   | 13                | 16       | 30                | 6                                   | 30                                    | 37            |
| 1980              | 256   | 26                | 37       | 69                | 10                                  | 55                                    | 58            |
| 1985              | 445   | 41                | 72       | 131               | 15                                  | 89                                    | 96            |
| 1990              | 724   | 74                | 110      | 234               | 21                                  | 146                                   | 139           |
| 1995              | 1,027 | 145               | 184      | 327               | 27                                  | 198                                   | 146           |
| 2000              | 1,377 | 203               | 225      | 459               | 33                                  | 255                                   | 202           |
| 2005              | 2,035 | 317               | 340      | 703               | 57                                  | 351                                   | 267           |
| 2006              | 2,167 | 315               | 404      | 740               | 62                                  | 369                                   | 277           |
| 2007              | 2,303 | 335               | 433      | 778               | 66                                  | 397                                   | 294           |
| 2008              | 2,412 | 355               | 468      | 808               | 72                                  | 408                                   | 301           |
| 2009              | 2,504 | 387               | 500      | 833               | 79                                  | 405                                   | 301           |
| 2010              | 2,599 | 410               | 520      | 860               | 84                                  | 420                                   | 306           |
| 2011              | 2,693 | 420               | 546      | 889               | 89                                  | 433                                   | 316           |
| 2012              | 2,793 | 434               | 572      | 917               | 91                                  | 451                                   | 328           |
| <b>Projected</b>  |       |                   |          |                   |                                     |                                       |               |
| 2013              | 2,915 | 450               | 604      | 962               | 101                                 | 468                                   | 329           |
| 2014              | 3,093 | 503               | 635      | 1,036             | 108                                 | 487                                   | 324           |
| 2015              | 3,273 | 545               | 669      | 1,100             | 114                                 | 511                                   | 334           |
| 2016              | 3,458 | 586               | 715      | 1,156             | 122                                 | 536                                   | 342           |
| 2017              | 3,660 | 622               | 767      | 1,215             | 130                                 | 570                                   | 356           |
| 2018              | 3,889 | 655               | 828      | 1,287             | 140                                 | 609                                   | 371           |
| 2019              | 4,142 | 698               | 886      | 1,374             | 149                                 | 646                                   | 390           |
| 2020              | 4,416 | 747               | 955      | 1,459             | 160                                 | 683                                   | 412           |
| 2021              | 4,702 | 796               | 1,029    | 1,549             | 171                                 | 722                                   | 434           |
| 2022              | 5,009 | 847               | 1,123    | 1,636             | 183                                 | 761                                   | 458           |

**TABLE 17, Continued**

|                   | <b>Total</b> | <b>Medicaid and CHIP</b> | <b>Medicare</b> | <b>Private insurance</b> | <b>Other health insurance<sup>1</sup></b> | <b>Other third party payers<sup>2</sup></b> | <b>Out of pocket</b> |
|-------------------|--------------|--------------------------|-----------------|--------------------------|---|---|----------------------|
| <b>Historical</b> |              |                          |                 |                          |   |   |                      |
| 1970              | 100%         | 7.1%                     | 10.2%           | 20.6%                    | 4.4%                                      | 24.2%                                       | 33.4%                |
| 1975              | 100          | 10.1                     | 12.2            | 22.8                     | 4.5                                       | 22.5  | 28.0                 |
| 1980              | 100          | 10.2                     | 14.6            | 27.0                     | 3.8                                       | 21.6  | 22.8                 |
| 1985              | 100          | 9.2                      | 16.2            | 29.5                     | 3.4                                       | 20.1  | 21.6                 |
| 1990              | 100          | 10.2                     | 15.2            | 32.3                     | 3.0                                       | 20.2  | 19.1                 |
| 1995              | 100          | 14.1                     | 17.9            | 31.8                     | 2.6                                       | 19.3  | 14.2                 |
| 2000              | 100          | 14.8                     | 16.3            | 33.3                     | 2.4                                       | 18.5  | 14.6                 |
| 2005              | 100          | 15.6                     | 16.7            | 34.5                     | 2.8                                       | 17.3  | 13.1                 |
| 2006              | 100          | 14.5                     | 18.6            | 34.2                     | 2.8                                       | 17.0  | 12.8                 |
| 2007              | 100          | 14.6                     | 18.8            | 33.8                     | 2.9                                       | 17.3  | 12.8                 |
| 2008              | 100          | 14.7                     | 19.4            | 33.5                     | 3.0                                       | 16.9  | 12.5                 |
| 2009              | 100          | 15.4                     | 20.0            | 33.3                     | 3.2                                       | 16.2  | 12.0                 |
| 2010              | 100          | 15.8                     | 20.0            | 33.1                     | 3.2                                       | 16.1  | 11.8                 |
| 2011              | 100          | 15.6                     | 20.3            | 33.0                     | 3.3                                       | 16.1  | 11.7                 |
| 2012              | 100          | 15.5                     | 20.5            | 32.8                     | 3.3                                       | 16.1  | 11.7                 |
| <b>Projected</b>  |              |                          |                 |                          |   |   |                      |
| 2013              | 100          | 15.4                     | 20.7            | 33.0                     | 3.5                                       | 16.1  | 11.3                 |
| 2014              | 100          | 16.3                     | 20.5            | 33.5                     | 3.5                                       | 15.8  | 10.5                 |
| 2015              | 100          | 16.7                     | 20.4            | 33.6                     | 3.5                                       | 15.6  | 10.2                 |
| 2016              | 100          | 17.0                     | 20.7            | 33.4                     | 3.5                                       | 15.5  | 9.9                  |
| 2017              | 100          | 17.0                     | 21.0            | 33.2                     | 3.6                                       | 15.6  | 9.7                  |
| 2018              | 100          | 16.8                     | 21.3            | 33.1                     | 3.6                                       | 15.7  | 9.5                  |
| 2019              | 100          | 16.8                     | 21.4            | 33.2                     | 3.6                                       | 15.6  | 9.4                  |
| 2020              | 100          | 16.9                     | 21.6            | 33.0                     | 3.6                                       | 15.5  | 9.3                  |
| 2021              | 100          | 16.9                     | 21.9            | 32.9                     | 3.6                                       | 15.4  | 9.2                  |
| 2022              | 100          | 16.9                     | 22.4            | 32.7                     | 3.7                                       | 15.2  | 9.1                  |

**Notes:** Historical data were released in 2014; projected data were released in 2013 and may therefore reflect different assumptions than those used to produce the current historical data.

1 U.S. Department of Defense and U.S. Department of Veterans' Affairs.

2 Includes all other public and private programs and expenditures except for out-of-pocket amounts.

**Sources:** For historical: Office of the Actuary (OACT), Centers for Medicare & Medicaid Services, National health expenditures by type of service and source of funds: Calendar years 1960–2012, January 2014, <http://www.cms.gov/Research-Statistics-Data-and-Systems/Statistics-Trends-and-Reports/NationalHealthExpendData/Downloads/NHE2012.zip>. For projected: MACPAC communication with OACT, February 2014, and OACT, National health expenditure (NHE) amounts by type of expenditure and source of funds: Calendar years 1970–2022 in projections format, September 2013, <http://www.cms.gov/Research-Statistics-Data-and-Systems/Statistics-Trends-and-Reports/NationalHealthExpendData/Downloads/nhe65-22.zip>.

**TABLE 18. Characteristics of Non-Institutionalized Individuals by Source of Health Insurance, 2013**

|   | All Ages          |         |                   |                    | Age 0–18          |         |                   |                    |
|---|-------------------|---------|-------------------|--------------------|-------------------|---------|-------------------|--------------------|
|   | Total<br>all ages | Private | Medicaid/<br>CHIP | Medicare Uninsured | Total age<br>0–18 | Private | Medicaid/<br>CHIP | Medicare Uninsured |
| <b>Within Age Group<sup>1</sup></b>       |                   |         |                   |                    |                   |         |                   |                    |
| Number of People (millions)               | 310.2             | 185.2   | 52.1              | 48.1               | 45.3              | 78.0    | 41.5              | 29.1               |
| Share of Population                       | 100%              | 59.7%*  | 16.8%             | 15.5%*             | 14.6%*            | 100%    | 53.2%*            | 37.3%              |
| <b>Within Insurance Coverage Type</b>     |                   |         |                   |                    |                   |         |                   |                    |
| <b>Gender (%)</b>                         |                   |         |                   |                    |                   |         |                   |                    |
| Male                                      | 48.8*             | 48.8*   | 44.4              | 44.0               | 54.4*             | 51.1    | 51.4              | 50.5               |
| Female                                    | 51.2*             | 51.2*   | 55.6              | 56.0               | 45.6*             | 48.9    | 48.6              | 49.5               |
| <b>Family Income (%)<sup>2</sup></b>      |                   |         |                   |                    |                   |         |                   |                    |
| <100% of poverty                          | 15.1*             | 3.8*    | 47.1              | 11.7*              | 27.2*             | 21.6*   | 3.1*              | 47.5               |
| 100–199% of poverty                       | 19.1*             | 10.7*   | 32.4              | 24.5*              | 33.5              | 21.8*   | 11.2*             | 35.1               |
| 200+ % of poverty                         | 65.8*             | 85.6*   | 20.5              | 63.8*              | 39.3*             | 56.6*   | 85.7*             | 17.3               |
| <b>Race/Ethnicity (%)</b>                 |                   |         |                   |                    |                   |         |                   |                    |
| Hispanic                                  | 17.1*             | 10.2*   | 29.3              | 7.5*               | 34.5*             | 23.8*   | 12.5*             | 36.4               |
| White, non-Hispanic                       | 63.2*             | 72.8*   | 41.6              | 78.4*              | 44.5              | 53.5*   | 68.5*             | 34.7               |
| Black, non-Hispanic                       | 12.0*             | 9.0*    | 21.1              | 9.4*               | 14.3*             | 13.8*   | 9.1*              | 21.2               |
| Other races and multiple races            | 7.7               | 7.9     | 8.0               | 4.8*               | 6.8               | 8.9     | 9.9*              | 7.7                |
| <b>Health Status (%)</b>                  |                   |         |                   |                    |                   |         |                   |                    |
| Excellent or very good                    | 66.2*             | 73.5*   | 59.7              | 40.7*              | 57.6              | 83.7*   | 89.9*             | 75.7               |
| Good                                      | 23.6*             | 20.4*   | 25.3              | 32.1*              | 30.7*             | 14.3*   | 9.2*              | 20.8               |
| Fair or poor                              | 10.1*             | 6.1*    | 15.0              | 27.2*              | 11.7*             | 2.0*    | 0.9*              | 3.5                |
| <b>Place of Residence (%)<sup>3</sup></b> |                   |         |                   |                    |                   |         |                   |                    |
| Large MSA                                 | 53.7              | 55.1    | 51.4              | 47.8               | 52.5              | 53.8    | 56.3*             | 50.6               |
| Small MSA                                 | 30.5              | 30.5    | 29.6              | 30.8               | 29.7              | 30.9    | 31.1              | 30.3               |
| Not in MSA                                | 15.8*             | 14.4*   | 19.1              | 21.4               | 17.8              | 15.3*   | 12.7*             | 19.1               |
|   |                   |         |                   |                    |                   |         | –†                | 18.1               |

**TABLE 18, Continued**

|                                       | Age 19–64          |         |                   | Age 65 and Over          |         |                   |
|---------------------------------------|--------------------|---------|-------------------|--------------------------|---------|-------------------|
|                                       | Total age<br>19–64 | Private | Medicaid/<br>CHIP | Total age<br>65 and over | Private | Medicaid/<br>CHIP |
| <b>Within Age Group<sup>1</sup></b>   |                    |         |                   |                          |         |                   |
| Number of People (millions)           | 189.2              | 122.0   | 19.3              | 7.2                      | 39.0    | 43.0              |
| Share of Population                   | 100%               | 64.5%*  | 10.2%             | 3.8%*                    | 20.6%*  | 100%              |
| <b>Within Insurance Coverage Type</b> |                    |         |                   |                          |         |                   |
| Gender (%)                            |                    |         |                   |                          |         |                   |
| Male                                  | 49.0*              | 48.8*   | 37.2              | 45.4*                    | 55.1*   | 44.1*             |
| Female                                | 51.0*              | 51.2*   | 62.8              | 54.6*                    | 44.9*   | 55.9*             |
| Family Income (%) <sup>2</sup>        |                    |         |                   |                          |         |                   |
| <100% of poverty                      | 13.8*              | 4.1*    | 48.2              | 30.0*                    | 26.9*   | 8.6*              |
| 100–199% of poverty                   | 17.3*              | 9.5*    | 28.9              | 35.7*                    | 33.6*   | 22.1*             |
| 200+ % of poverty                     | 68.9*              | 86.4*   | 22.9              | 34.3*                    | 39.5*   | 69.3*             |
| Race/Ethnicity (%)                    |                    |         |                   |                          |         |                   |
| Hispanic                              | 16.5*              | 10.6*   | 20.5              | 10.3*                    | 33.6*   | 7.5*              |
| White, non-Hispanic                   | 63.6*              | 71.7*   | 49.6              | 68.1*                    | 45.2*   | 79.1*             |
| Black, non-Hispanic                   | 12.1*              | 9.6*    | 21.7              | 16.2*                    | 14.7*   | 8.4*              |
| Other races and multiple races        | 7.7                | 8.1     | 8.2               | 5.4*                     | 6.6     | 5.0*              |
| Health Status (%)                     |                    |         |                   |                          |         |                   |
| Excellent or very good                | 63.9*              | 71.8*   | 42.4              | 16.4*                    | 55.0*   | 44.8*             |
| Good                                  | 25.4*              | 22.2*   | 30.6              | 28.4                     | 32.2    | 32.6              |
| Fair or poor                          | 10.7*              | 6.0*    | 27.0              | 55.2*                    | 12.8*   | 22.6*             |
| Place of Residence (%) <sup>3</sup>   |                    |         |                   |                          |         |                   |
| Large MSA                             | 54.7               | 56.4    | 51.9              | 44.5*                    | 52.4    | 49.3              |
| Small MSA                             | 30.4               | 30.2    | 29.4              | 32.5                     | 29.8    | 30.1*             |
| Not in MSA                            | 15.0*              | 13.4*   | 18.7              | 23.0                     | 17.8    | 20.6              |

**TABLE 18, Continued****Notes:**

- 1 Sum of health insurance coverage types may not add to total for each age group because individuals may have multiple sources of coverage and because not all types of coverage (e.g., military) are displayed. Insurance coverage is measured at the time of the interview. Private health insurance coverage excludes plans that paid for only one type of service, such as accidents or dental care. Medicaid/CHIP also includes persons covered by other public programs, excluding Medicare (e.g., other state-sponsored health plans); nevertheless, as discussed in Table 1, survey data tend to report lower Medicaid/CHIP enrollment than administrative data. Individuals were defined as uninsured if they did not have any private health insurance, Medicare, Medicaid/CHIP, state-sponsored or other government-sponsored health plans, or a military plan. Individuals were also defined as uninsured if they had only Indian Health Service coverage or had only a private plan that paid for one type of service, such as accidents or dental care.
- 2 For numerous reasons, poverty status shown here may differ from levels calculated by state Medicaid and CHIP programs. While these survey results show coverage as of the time of the survey in 2013, family income is for the prior year, 2012. In 2012, 100 percent of poverty using the U.S. Census Bureau's poverty threshold was \$18,284 for a family of three. The poverty threshold differs from the federal poverty guidelines used for Medicaid and CHIP eligibility determinations. (The family income results shown here exclude the 9.9 percent of respondents with unknown poverty status.) In addition, data from surveys such as the National Health Interview Survey tend to include more income and more relatives as part of the family unit, compared to how income is counted for Medicaid and CHIP.
- 3 MSA is a metropolitan statistical area with a population size of 50,000 or more persons. Large MSAs have a population size of 1,000,000 or more; small MSAs have a population size between 50,000 and 1,000,000.

† Sample size is not sufficient to support published estimates.

\* Difference from Medicaid/CHIP is statistically significant at the 0.05 level.

**Source:** National Center for Health Statistics (NCHS) analysis for MACPAC of National Health Interview Survey (NHIS) data, January 2014; the estimates for 2013 are based on data collected from January through June, based on household interviews of a sample of the civilian non-institutionalized population.

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**TABLE 19. Income as a Percentage of the Federal Poverty Level (FPL) for Various Family Sizes, 2014**

| States                  | Lower    | Annual      |          |          |          | Monthly                                  |               |          |          |
|-------------------------|----------|-------------|----------|----------|----------|--|---------------|----------|----------|
|                         |          | Family size |          |          |          | Amount for each additional family member |               |          |          |
|                         |          | 1           | 2        | 3        | 4        | States                                   | 100% FPL      | 100% FPL | 100% FPL |
| <b>48 states and DC</b> | 100% FPL | \$11,670    | \$15,730 | \$19,790 | \$23,850 | \$4,060                                  | \$973         | \$1,311  | \$1,649  |
|                         | 133% FPL | 15,521      | 20,921   | 26,321   | 31,721   | 5,400                                    | 1,293         | 1,743    | 2,193    |
|                         | 138% FPL | 16,105      | 21,707   | 27,310   | 32,913   | 5,603                                    | 1,342         | 1,809    | 2,276    |
|                         | 150% FPL | 17,505      | 23,595   | 29,685   | 35,775   | 6,090                                    | 1,459         | 1,966    | 2,474    |
|                         | 185% FPL | 21,590      | 29,101   | 36,612   | 44,123   | 7,511                                    | 1,85% FPL     | 1,799    | 2,425    |
|                         | 200% FPL | 23,340      | 31,460   | 39,580   | 47,700   | 8,120                                    | 200% FPL      | 1,945    | 2,622    |
|                         | 250% FPL | 29,175      | 39,325   | 49,475   | 59,625   | 10,150                                   | 250% FPL      | 2,431    | 3,277    |
|                         | 300% FPL | 35,010      | 47,190   | 59,370   | 71,550   | 12,180                                   | 300% FPL      | 2,918    | 3,933    |
|                         | 400% FPL | 46,680      | 62,920   | 79,160   | 95,400   | 16,240                                   | 400% FPL      | 3,890    | 5,243    |
| <b>Alaska</b>           | 100% FPL | \$14,580    | \$19,660 | \$24,740 | \$29,820 | \$5,080                                  | <b>Alaska</b> | \$1,215  | \$1,638  |
|                         | 133% FPL | 19,391      | 26,148   | 32,904   | 39,661   | 6,756                                    | 100% FPL      | \$2,062  | \$2,485  |
|                         | 138% FPL | 20,120      | 27,131   | 34,141   | 41,152   | 7,010                                    | 133% FPL      | 1,616    | 2,179    |
|                         | 150% FPL | 21,870      | 29,490   | 37,110   | 44,730   | 7,620                                    | 138% FPL      | 1,677    | 2,261    |
|                         | 185% FPL | 26,973      | 36,371   | 45,769   | 55,167   | 9,398                                    | 150% FPL      | 1,823    | 2,458    |
|                         | 200% FPL | 29,160      | 39,320   | 49,480   | 59,640   | 10,160                                   | 185% FPL      | 2,248    | 3,031    |
|                         | 250% FPL | 36,450      | 49,150   | 61,850   | 74,550   | 12,700                                   | 200% FPL      | 2,430    | 3,277    |
|                         | 300% FPL | 43,740      | 58,980   | 74,220   | 89,460   | 15,240                                   | 250% FPL      | 3,038    | 4,096    |
|                         | 400% FPL | 58,320      | 78,640   | 98,960   | 119,280  | 20,320                                   | 300% FPL      | 3,645    | 4,915    |
|                         |          |             |          |          |          |  | 400% FPL      | 4,860    | 6,553    |
| <b>Hawaii</b>           | 100% FPL | \$13,420    | \$18,090 | \$22,760 | \$27,430 | \$4,670                                  | <b>Hawaii</b> | \$1,118  | \$1,508  |
|                         | 133% FPL | 17,849      | 24,060   | 30,271   | 36,482   | 6,211                                    | 100% FPL      | \$1,897  | \$2,286  |
|                         | 138% FPL | 18,520      | 24,964   | 31,409   | 37,853   | 6,445                                    | 133% FPL      | 1,487    | 2,005    |
|                         | 150% FPL | 20,130      | 27,135   | 34,140   | 41,145   | 7,005                                    | 138% FPL      | 1,543    | 2,080    |
|                         | 185% FPL | 24,827      | 33,467   | 42,106   | 50,746   | 8,640                                    | 150% FPL      | 1,678    | 2,261    |
|                         | 200% FPL | 26,840      | 36,180   | 45,520   | 54,860   | 9,340                                    | 185% FPL      | 2,069    | 2,789    |
|                         | 250% FPL | 33,550      | 45,225   | 56,900   | 68,575   | 11,675                                   | 200% FPL      | 2,237    | 3,015    |
|                         | 300% FPL | 40,260      | 54,270   | 68,280   | 82,290   | 14,010                                   | 250% FPL      | 2,796    | 3,769    |
|                         | 400% FPL | 53,680      | 72,360   | 91,040   | 109,720  | 18,680                                   | 300% FPL      | 3,355    | 4,523    |
|                         |          |             |          |          |          |  | 400% FPL      | 4,473    | 6,030    |

**Notes:** The FPLs shown here are based on the U.S. Department of Health and Human Services 2014 federal poverty guidelines. These differ slightly from the U.S. Census Bureau's federal poverty thresholds, which are used mainly for statistical purposes. The separate poverty guidelines for Alaska and Hawaii reflect Office of Economic Opportunity administrative practice beginning in the 1966–1970 period.

**Source:** U.S. Department of Health and Human Services (HHS), Annual update of the HHS poverty guidelines, *Federal Register* 78 (January 22): 3593, 2014.

**TABLE 20. Supplemental Payments by State and Category, FY 2013 (millions)**

| State                | Inpatient and Outpatient Hospitals <sup>1</sup> |                               |                         | Mental Health Facilities <sup>2</sup> |              |                         |
|----------------------|---|-------------------------------|-------------------------|---------------------------------------|--------------|-------------------------|
|                      | DSH payments                                    | Non-DSH supplemental payments | Total Medicaid payments | Supplemental payments as % of total   | DSH payments | Total Medicaid payments |
| All states           | \$13,427.8                                      | \$20,598.8                    | \$89,465.4              | 38.0%                                 | \$2,949.5    | \$6,524.0               |
| Alabama              | 470.9   | 343.2                         | 1,891.5                 | 43.0                                  | —            | 67.5                    |
| Alaska               | 7.6   | —                             | 302.6                   | 2.5                                   | 14.1         | 32.3                    |
| Arizona              | 145.1   | 846.3                         | 1,466.7                 | 67.6                                  | 28.0         | 30.2                    |
| Arkansas             | 61.0  | 314.8                         | 990.0                   | 38.0                                  | —            | 159.9                   |
| California           | 2,119.5   | 5,641.3                       | 16,290.6                | 47.6                                  | 0.2          | 531.5                   |
| Colorado             | 194.2   | 787.1                         | 1,712.8                 | 57.3                                  | —            | 6.2                     |
| Connecticut          | 167.3   | 95.7                          | 1,721.2                 | 15.3                                  | 105.6        | 179.6                   |
| Delaware             | 5.2   | —                             | 50.8                    | 10.3                                  | 5.6          | 6.5                     |
| District of Columbia | 49.9  | —                             | 359.3                   | 13.9                                  | 6.5          | 14.0                    |
| Florida              | 241.9   | 993.9                         | 5,104.2                 | 24.2                                  | 93.1         | 141.9                   |
| Georgia              | 430.0   | 125.2                         | 2,198.9                 | 25.2                                  | —            | 24.3                    |
| Hawaii               | 25.0  | 81.7                          | 117.9                   | 90.4                                  | —            | —                       |
| Idaho                | 23.7  | 35.0                          | 505.7                   | 11.6                                  | —            | 1.6                     |
| Illinois             | 371.6   | 1,881.9                       | 6,498.3                 | 34.7                                  | 75.5         | 226.7                   |
| Indiana              | 333.5   | 201.8                         | 1,858.0                 | 28.8                                  | 4.0          | 50.1                    |
| Iowa                 | 54.6  | 32.5                          | 792.8                   | 11.0                                  | —            | 20.5                    |
| Kansas               | 51.3  | 21.8                          | 328.0                   | 22.3                                  | 25.3         | 43.9                    |
| Kentucky             | 178.9   | 17.8                          | 456.6                   | 43.1                                  | 37.3         | 44.9                    |
| Louisiana            | 652.0   | 918.9                         | 2,202.1                 | 71.3                                  | 114.8        | 117.2                   |
| Maine                | —   | 4.7                           | 997.1                   | 0.5                                   | 37.5         | 99.8                    |
| Maryland             | 41.9  | 47.7                          | 993.3                   | 9.0                                   | 92.4         | 184.9                   |
| Massachusetts        | —   | 591.9                         | 2,120.2                 | 27.9                                  | —            | 109.3                   |
| Michigan             | 388.0   | 622.9                         | 1,722.1                 | 58.7                                  | —            | 22.6                    |
| Minnesota            | 46.1  | 70.0                          | 603.1                   | 19.3                                  | 0.2          | 86.9                    |
| Mississippi          | 218.0   | 490.5                         | 1,660.4                 | 42.7                                  | —            | 74.2                    |
| Missouri             | 496.2   | 121.6                         | 2,980.5                 | 20.7                                  | 207.2        | 230.4                   |
| Montana              | 17.7  | 1.5                           | 268.2                   | 7.2                                   | —            | 18.1                    |
| Nebraska             | 43.7  | —                             | 216.1                   | 20.2                                  | 1.6          | 18.9                    |
| Nevada               | 81.4  | 120.0                         | 539.1                   | 37.4                                  | —            | 45.4                    |

**TABLE 20, Continued**

| State                   | Inpatient and Outpatient Hospitals <sup>1</sup> |                               |                         | Mental Health Facilities <sup>2</sup> |              |                         |
|-------------------------|---|-------------------------------|-------------------------|---------------------------------------|--------------|-------------------------|
|                         | DSH payments                                    | Non-DSH supplemental payments | Total Medicaid payments | Supplemental payments as % of total   | DSH payments | Total Medicaid payments |
| New Hampshire           | \$18.6  | —                             | \$156.3                 | 11.9%                                 | \$22.4       | \$30.0                  |
| New Jersey              | 940.7   | \$259.1                       | 1,739.5                 | 69.0                                  | 357.4        | 452.5                   |
| New Mexico <sup>0</sup> | 25.2  | 123.1                         | 365.8                   | 40.5                                  | —            | 2.7                     |
| New York <sup>5</sup>   | 2,766.9   | -854.7                        | 8,760.0                 | 21.8                                  | 656.5        | 1,129.9                 |
| North Carolina          | 308.9   | 1,374.5                       | 3,460.8                 | 48.6                                  | 308.5        | 350.1                   |
| North Dakota            | 0.5   | 2.0                           | 138.5                   | 1.8                                   | 0.7          | 7.6                     |
| Ohio <sup>6</sup>       | 555.7   | 568.4                         | 2,493.6                 | 45.1                                  | 93.4         | 721.0                   |
| Oklahoma                | 41.2  | 442.1                         | 1,544.0                 | 31.3                                  | 0.5          | 72.8                    |
| Oregon                  | 56.6  | 93.3                          | 348.3                   | 43.0                                  | 20.0         | 23.0                    |
| Pennsylvania            | 534.5   | 350.0                         | 1,726.1                 | 51.2                                  | 312.6        | 401.3                   |
| Rhode Island            | 129.8   | 11.6                          | 350.7                   | 40.3                                  | —            | 5.6                     |
| South Carolina          | 405.0   | 108.3                         | 1,156.1                 | 44.4                                  | 52.2         | 102.0                   |
| South Dakota            | 0.7   | 2.8                           | 187.7                   | 1.9                                   | 0.8          | 4.0                     |
| Tennessee               | 80.3  | 969.5                         | 1,171.3                 | 89.6                                  | —            | 33.9                    |
| Texas                   | 106.3   | 2,014.1                       | 4,918.2                 | 43.1                                  | 120.5        | 141.3                   |
| Utah                    | 27.9  | 183.4                         | 457.6                   | 46.2                                  | 0.9          | 13.3                    |
| Vermont <sup>5</sup>    | 37.4  | -0.0                          | 44.3                    | 84.5                                  | —            | 0.0                     |
| Virginia                | 179.3   | 270.6                         | 1,011.0                 | 44.5                                  | 7.2          | 141.9                   |
| Washington              | 238.6   | —                             | 1,033.4                 | 23.1                                  | 128.2        | 153.3                   |
| West Virginia           | 56.5  | 229.7                         | 588.2                   | 48.7                                  | 18.9         | 106.9                   |
| Wisconsin               | 0.6   | 24.9                          | 743.2                   | 3.4                                   | —            | 27.9                    |
| Wyoming                 | 0.5   | 16.7                          | 122.5                   | 14.0                                  | —            | 13.4                    |

**TABLE 20, Continued. Supplemental Payments by State and Category, FY 2013 (millions)**

| State                 | Nursing Facilities and ICFs-ID <sup>3</sup> |                            |   | Physician and Other Practitioners <sup>4</sup> |                            |   |
|-----------------------|---|----------------------------|---|--|----------------------------|---|
|                       | Non-DSH<br>supplemental<br>payments         | Total Medicaid<br>payments | Supplemental<br>payments<br>as of total | Non-DSH<br>supplemental<br>payments            | Total Medicaid<br>payments | Supplemental<br>payments<br>as of total |
| All states            | \$2,393.8                                   | \$62,953.8                 | 3.8%                                    | \$846.3  | \$13,163.5                 | 6.4%                                    |
| Alabama               | —   | 904.7                      | —                                       | —  | 382.3                      | —                                       |
| Alaska                | —   | 128.2                      | —                                       | —  | 125.7                      | —                                       |
| Arizona               | 2.8   | 37.3                       | 7.5                                     | —  | 34.8                       | —                                       |
| Arkansas              | —   | 804.6                      | —                                       | 33.3   | 316.1                      | 10.5                                    |
| California            | 290.1                                       | 5,353.6                    | 5.4                                     | —  | 916.5                      | —                                       |
| Colorado              | 91.1  | 688.3                      | 13.2                                    | 14.2   | 357.7                      | 4.0                                     |
| Connecticut           | —   | 1,557.9                    | —                                       | —  | 414.8                      | —                                       |
| Delaware              | —   | 31.6                       | —                                       | —  | 12.6                       | —                                       |
| District of Columbia  | —   | 312.6                      | —                                       | —  | 46.9                       | —                                       |
| Florida               | 11.0  | 3,156.7                    | 0.3                                     | 79.0   | 1,265.3                    | 6.2                                     |
| Georgia               | 144.3                                       | 1,395.9                    | 10.3                                    | 33.5   | 409.3                      | 8.2                                     |
| Hawaii                | —   | 8.8                        | —                                       | —  | 1.2                        | —                                       |
| Idaho                 | 81.5  | 288.4                      | 28.3                                    | —  | 89.9                       | —                                       |
| Illinois              | —   | 2,745.0                    | —                                       | —  | 894.7                      | —                                       |
| Indiana               | 461.6                                       | 1,946.3                    | 23.7                                    | 109.9  | 286.8                      | 38.3                                    |
| Iowa                  | —   | 889.6                      | —                                       | —  | 210.0                      | —                                       |
| Kansas                | 2.3   | 198.6                      | 1.2                                     | 15.6   | 49.9                       | 31.3                                    |
| Kentucky              | 0.4   | 1,010.0                    | 0.0                                     | 6.2  | 50.7                       | 12.3                                    |
| Louisiana             | —   | 1,336.3                    | —                                       | 42.5   | 316.7                      | 13.4                                    |
| Maine                 | —   | 339.1                      | —                                       | —  | 131.9                      | —                                       |
| Maryland              | —   | 1,137.5                    | —                                       | —  | 107.6                      | —                                       |
| Massachusetts         | 1.4   | 1,640.2                    | 0.1                                     | 28.0   | 355.6                      | 7.9                                     |
| Michigan              | 339.6                                       | 1,775.0                    | 19.1                                    | 125.0  | 283.3                      | 44.1                                    |
| Minnesota             | —   | 910.6                      | —                                       | 21.7   | 332.0                      | 6.5                                     |
| Mississippi           | 62.5  | 1,049.0                    | 6.0                                     | —  | 218.5                      | —                                       |
| Missouri              | —   | 1,089.1                    | —                                       | —  | 47.9                       | —                                       |
| Montana               | —   | 173.1                      | —                                       | —  | 65.2                       | —                                       |
| Nebraska              | —   | 414.9                      | —                                       | —  | 42.3                       | —                                       |
| Nevada                | —   | 208.3                      | —                                       | 3.4  | 109.1                      | 3.1                                     |
| New Hampshire         | —   | 302.0                      | —                                       | —  | 63.2                       | —                                       |
| New Jersey            | —   | 2,508.5                    | —                                       | —  | 48.2                       | —                                       |
| New Mexico            | —   | 28.1                       | —                                       | 14.1   | 91.1                       | 15.5                                    |
| New York <sup>5</sup> | 172.5                                       | 9,540.3                    | 1.8                                     | 32.6   | 585.5                      | 5.6                                     |

**TABLE 20, Continued**

| State                | Nursing Facilities and ICFs-ID <sup>3</sup> |                         |                                   | Physician and Other Practitioners <sup>4</sup> |                         |                                   |
|----------------------|---|-------------------------|-----------------------------------|--|-------------------------|-----------------------------------|
|                      | Non-DSH supplemental payments               | Total Medicaid payments | Supplemental payments as of total | Non-DSH supplemental payments                  | Total Medicaid payments | Supplemental payments as of total |
| North Carolina       | —   | \$1,309.9               | —                                 | \$67.3   | \$912.6                 | 7.4%                              |
| North Dakota         | \$1.7                                       | 304.6                   | 0.6%                              | —  | 55.2                    | —                                 |
| Ohio <sup>6</sup>    | -82.1                                       | 3,153.6                 | -2.6                              | —  | 365.1                   | —                                 |
| Oklahoma             | —   | 673.4                   | —                                 | 0.0  | 513.9                   | 0.0                               |
| Oregon               | —   | 331.3                   | —                                 | —  | 45.3                    | —                                 |
| Pennsylvania         | 713.6                                       | 4,448.9                 | 16.0                              | —  | 153.9                   | —                                 |
| Rhode Island         | —   | 339.9                   | —                                 | —  | 11.6                    | —                                 |
| South Carolina       | 22.2  | 671.8                   | 3.3                               | 32.8   | 237.4                   | 13.8                              |
| South Dakota         | —   | 163.1                   | —                                 | —  | 64.2                    | —                                 |
| Tennessee            | —   | 250.3                   | —                                 | —  | 27.7                    | —                                 |
| Texas                | —   | 3,424.2                 | —                                 | 83.1   | 1,358.2                 | 6.1                               |
| Utah                 | 5.0   | 233.7                   | 2.2                               | 27.9   | 102.0                   | 27.3                              |
| Vermont <sup>5</sup> | 0.1   | 116.2                   | 0.1                               | —  | 1.9                     | —                                 |
| Virginia             | 4.1   | 1,149.6                 | 0.4                               | 24.1   | 213.4                   | 11.3                              |
| Washington           | —   | 729.2                   | —                                 | 24.2   | 138.6                   | 17.5                              |
| West Virginia        | —   | 608.7                   | —                                 | 28.0   | 158.0                   | 17.7                              |
| Wisconsin            | 39.6  | 1,013.7                 | 3.9                               | —  | 78.4                    | —                                 |
| Wyoming              | 28.6  | 121.4                   | 23.6                              | —  | 62.7                    | —                                 |

**Notes:** Includes federal and state funds. Excludes payments made under managed care arrangements. All amounts in this table are as reported by states in CMS-64 data during the fiscal year to obtain federal matching funds; they include expenditures for the current fiscal year and adjustments to expenditures for prior fiscal years that may be positive or negative. Amounts reported by states for any given category (e.g., inpatient hospital) sometimes show substantial annual fluctuations. The Centers for Medicare & Medicaid Services (CMS) only began to require separate reporting of non-disproportionate share hospital (DSH) supplemental payments in fiscal year (FY) 2010 and is continuing to work with states to standardize this reporting. As a result, the information presented may not reflect a consistent classification of supplemental payment spending across states. Reporting is expected to improve over time. All states had certified their CMS-64 Financial Management Report (FMR) submissions as of February 12, 2014. Figures presented in this table may change if states revise their expenditure data after this date. Zeros indicate amounts less than 0.05 million that round to zero. Dashes indicate amounts that are true zeroes.

1 Includes inpatient, outpatient, critical access hospital, and emergency hospital categories in the CMS-64 data. The CMS-64 instructions to states note that DSH payments are those made in accordance with Section 1923 of the Social Security Act. Non-DSH supplemental payments are described in the CMS-64 instructions to states as those made in addition to the standard fee schedule or other standard payment for a given service. They include payments made under institutional upper payment limit rules and payments to hospitals for graduate medical education.

2 Includes inpatient psychiatric services for individuals age 65 or older in an institution for mental diseases. The CMS-64 instructions to states note that DSH payments are those made in accordance with Section 1923 of the Social Security Act. States are not instructed to break out non-DSH supplemental payments for mental health facilities.

3 Includes nursing facility and intermediate care facility for persons with intellectual disabilities (ICFs-ID). Non-DSH supplemental payments are described in the CMS-64 instructions to states as payments that are made in addition to the standard fee schedule or other standard payment for a given service, including payments made under institutional upper payment limit rules.

4 Includes the physician and other practitioner categories in CMS-64 data, excludes additional categories (e.g., dental, nurse midwife, nurse practitioner) for which states are not instructed to break out supplemental payments. The CMS-64 instructions to states describe supplemental payments as those that are made in addition to the standard fee schedule payment. Unlike for institutional providers, there is not a regulatory upper payment limit for physicians and other practitioners.

5 New York and Vermont reported negative non-DSH supplemental payments for inpatient hospitals.

6 Ohio reported negative non-DSH supplemental payments for ICFs-ID, creating a negative percentage.

**Source:** MACPAC analysis of CMS-64 Financial Management Report (FMR) net expenditure data as of February 2014.

**TABLE 21. Federal CHIP Allotments, FY 2013 and FY 2014 (millions)**

| State                | FY 2013<br>CHIP<br>Allotments | FY 2014<br>Allotment Increase<br>Factor | FY 2014 Federal CHIP<br>Allotments |
|----------------------|-------------------------------|---|------------------------------------|
|                      | A                             | B                                       | C = A x B                          |
| Alabama              | \$162.8                       | 1.0627                                  | \$173.1                            |
| Alaska               | 20.6                          | 1.0627                                  | 21.8                               |
| Arizona              | 25.4                          | 1.0650                                  | 27.0                               |
| Arkansas             | 103.1                         | 1.0636                                  | 109.7                              |
| California           | 1,296.0                       | 1.0627                                  | 1,377.3                            |
| Colorado             | 131.8                         | 1.0658                                  | 140.5                              |
| Connecticut          | 41.3                          | 1.0627                                  | 43.9                               |
| Delaware             | 15.7                          | 1.0637                                  | 16.7                               |
| District of Columbia | 14.9                          | 1.0969                                  | 16.3                               |
| Florida              | 359.0                         | 1.0647                                  | 382.3                              |
| Georgia              | 282.7                         | 1.0642                                  | 300.9                              |
| Hawaii               | 25.8                          | 1.0641                                  | 27.5                               |
| Idaho                | 36.0                          | 1.0627                                  | 38.2                               |
| Illinois             | 275.6                         | 1.0627                                  | 292.8                              |
| Indiana              | 144.9                         | 1.0627                                  | 153.9                              |
| Iowa                 | 92.5                          | 1.0627                                  | 98.3                               |
| Kansas               | 55.4                          | 1.0627                                  | 58.9                               |
| Kentucky             | 147.9                         | 1.0627                                  | 157.2                              |
| Louisiana            | 171.9                         | 1.0643                                  | 182.9                              |
| Maine                | 31.5                          | 1.0627                                  | 33.5                               |
| Maryland             | 160.5                         | 1.0627                                  | 170.5                              |
| Massachusetts        | 330.9                         | 1.0627                                  | 351.6                              |
| Michigan             | 54.8                          | 1.0627                                  | 58.2                               |
| Minnesota            | 32.1                          | 1.0627                                  | 34.1                               |
| Mississippi          | 176.9                         | 1.0627                                  | 188.0                              |
| Missouri             | 122.9                         | 1.0627                                  | 130.7                              |
| Montana              | 59.4                          | 1.0627                                  | 63.1                               |
| Nebraska             | 42.5                          | 1.0666                                  | 45.3                               |
| Nevada               | 31.5                          | 1.0650                                  | 33.5                               |
| New Hampshire        | 18.2                          | 1.0627                                  | 19.3                               |
| New Jersey           | 640.2                         | 1.0627                                  | 680.3                              |
| New Mexico           | 124.2                         | 1.0627                                  | 132.0                              |
| New York             | 579.8                         | 1.0627                                  | 616.1                              |
| North Carolina       | 304.2                         | 1.0642                                  | 323.7                              |
| North Dakota         | 17.3                          | 1.0853                                  | 18.8                               |
| Ohio                 | 336.1                         | 1.0627                                  | 357.1                              |

**TABLE 21, Continued**

| State              | FY 2013<br>CHIP<br>Allotments | FY 2014<br>Allotment Increase<br>Factor | FY 2014 Federal CHIP<br>Allotments |
|--------------------|-------------------------------|---|------------------------------------|
|                    | A                             | B                                       | C = A x B                          |
| Oklahoma           | \$114.2                       | 1.0678                                  | \$121.9                            |
| Oregon             | 143.9                         | 1.0627                                  | 152.9                              |
| Pennsylvania       | 305.7                         | 1.0627                                  | 324.9                              |
| Rhode Island       | 39.5                          | 1.0627                                  | 42.0                               |
| South Carolina     | 98.3                          | 1.0658                                  | 104.7                              |
| South Dakota       | 19.4                          | 1.0681                                  | 20.8                               |
| Tennessee          | 200.2                         | 1.0635                                  | 212.9                              |
| Texas              | 891.5                         | 1.0721                                  | 955.8                              |
| Utah               | 62.5                          | 1.0696                                  | 66.8                               |
| Vermont            | 13.0                          | 1.0627                                  | 13.9                               |
| Virginia           | 186.6                         | 1.0630                                  | 198.3                              |
| Washington         | 96.9                          | 1.0654                                  | 103.3                              |
| West Virginia      | 48.3                          | 1.0627                                  | 51.3                               |
| Wisconsin          | 103.0                         | 1.0627                                  | 109.5                              |
| Wyoming            | 10.8                          | 1.0705                                  | 11.5                               |
| <b>Subtotal</b>    | <b>\$8,799.9</b>              |   | <b>\$9,365.7</b>                   |
| American Samoa     | 1.3                           | 1.0627                                  | 1.4                                |
| Guam               | 4.5                           | 1.0627                                  | 4.8                                |
| N. Mariana Islands | 0.9                           | 1.0627                                  | 1.0                                |
| Puerto Rico        | 132.7                         | 1.0627                                  | 141.0                              |
| Virgin Islands     | —                             | 1.0627                                  | —                                  |
| <b>Total</b>       | <b>\$8,939.4</b>              |   | <b>\$9,513.9</b>                   |

**Notes:** For even-numbered years (e.g., fiscal year (FY) 2014), federal CHIP allotments are calculated as the sum of last year's allotment and any shortfall payments (e.g., contingency funds), increased by a state-specific growth factor. In FY 2013, there were no contingency fund payments. For even-numbered years, a state can also have its allotment increased to reflect a CHIP eligibility or benefits expansion; some states have applied for these allotment increases, but the Centers for Medicare & Medicaid Services (CMS) has not named them nor finalized their additional allotment amounts, if any.

**Source:** MACPAC communication with the Centers for Medicare & Medicaid Services (CMS), February 2014.

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**TABLE 22. Federal CHIPRA Bonus Payments (millions)**

| State           | FY 2009 CHIPRA bonus payments |                               |                               |                               |   |         | FY 2013 Outreach and Enrollment Efforts Among 23 States Receiving CHIPRA Bonus Payments |    |    |    |    |    |   |
|-----------------|-------------------------------|-------------------------------|-------------------------------|-------------------------------|---|---------|---|----|----|----|----|----|---|
|                 | FY 2009 CHIPRA bonus payments | FY 2010 CHIPRA bonus payments | FY 2011 CHIPRA bonus payments | FY 2012 CHIPRA bonus payments | Preliminary FY 2013 CHIPRA bonus payments | \$307.3 | 15  | 22 | 23 | 23 | 17 | 13 | 5 |
| Total           | \$37.1                        | \$167.2                       | \$303.5                       | \$318.3                       | \$307.3                                   | 11.5    | ✓   | ✓  | ✓  | ✓  | —  | —  | — |
| AL <sup>1</sup> | 1.5                           | 5.7                           | 20.4                          | 15.8                          | —   | —       | —   | —  | —  | —  | —  | —  | — |
| AK              | 0.7                           | 4.9                           | 5.7                           | 4.1                           | 2.6                                       | ✓       | ✓   | ✓  | ✓  | ✓  | —  | —  | — |
| CO              | —                             | 18.2                          | 32.9                          | 47.5                          | 58.5                                      | —       | ✓   | ✓  | ✓  | ✓  | ✓  | ✓  | ✓ |
| CT              | —                             | —                             | 5.2                           | 3.0                           | 1.7                                       | —       | ✓   | ✓  | ✓  | ✓  | ✓  | —  | — |
| GA              | —                             | —                             | 4.9                           | 2.2                           | —   | —       | —   | —  | —  | —  | —  | —  | ✓ |
| ID              | —                             | 0.9                           | 0.5                           | 1.4                           | 5.4                                       | ✓       | ✓   | ✓  | ✓  | ✓  | ✓  | ✓  | — |
| IL              | 9.5                           | 15.3                          | 15.3                          | 13.3                          | 6.3                                       | ✓       | ✓   | ✓  | ✓  | ✓  | ✓  | ✓  | — |
| IA              | —                             | 7.7                           | 10.0                          | 11.4                          | 10.6                                      | ✓       | ✓   | ✓  | ✓  | ✓  | ✓  | ✓  | — |
| KS              | 1.2                           | 5.5                           | 6.0                           | 12.8                          | 10.9                                      | ✓       | ✓   | ✓  | ✓  | ✓  | ✓  | —  | — |
| LA              | 1.5                           | 3.7                           | 1.9                           | —                             | —   | —       | —   | —  | —  | —  | —  | —  | — |
| MD              | —                             | 11.4                          | 28.0                          | 37.5                          | 43.5                                      | —       | ✓   | ✓  | ✓  | ✓  | ✓  | ✓  | — |
| MI              | 4.7                           | 8.4                           | 6.9                           | 4.4                           | 1.6                                       | ✓       | ✓   | ✓  | ✓  | ✓  | ✓  | ✓  | — |
| MT              | —                             | —                             | 5.0                           | 7.2                           | 7.0                                       | —       | ✓   | ✓  | ✓  | ✓  | ✓  | ✓  | — |
| NJ              | 3.1                           | 8.8                           | 17.6                          | 24.4                          | 22.4                                      | —       | —   | ✓  | ✓  | ✓  | ✓  | ✓  | — |
| NM              | 5.4                           | 9.0                           | 5.2                           | 2.7                           | 1.7                                       | ✓       | ✓   | ✓  | ✓  | ✓  | ✓  | ✓  | — |
| NC              | —                             | —                             | 11.6                          | 18.6                          | 11.6                                      | ✓       | ✓   | ✓  | ✓  | ✓  | ✓  | ✓  | — |
| ND              | —                             | —                             | 3.2                           | 2.7                           | 1.1                                       | ✓       | ✓   | ✓  | ✓  | ✓  | ✓  | ✓  | — |
| NY <sup>2</sup> | —                             | —                             | —                             | 0.6                           | 13.1                                      | ✓       | ✓   | ✓  | ✓  | ✓  | ✓  | ✓  | — |
| OH              | —                             | 13.1                          | 20.9                          | 19.0                          | 10.8                                      | ✓       | ✓   | ✓  | ✓  | ✓  | ✓  | ✓  | — |
| OK              | —                             | —                             | 0.5                           | —                             | —   | —       | —   | —  | —  | —  | —  | —  | — |
| OR              | 1.6                           | 10.6                          | 22.3                          | 25.9                          | 24.4                                      | ✓       | ✓   | ✓  | ✓  | ✓  | ✓  | ✓  | — |
| SC              | —                             | —                             | 2.7                           | 2.9                           | 17.5                                      | ✓       | ✓   | ✓  | ✓  | ✓  | ✓  | ✓  | — |
| UT              | —                             | —                             | —                             | 9.9                           | 5.3                                       | —       | ✓   | ✓  | ✓  | ✓  | ✓  | ✓  | — |
| VA              | —                             | —                             | 24.6                          | 20.0                          | 18.0                                      | —       | ✓   | ✓  | ✓  | ✓  | —  | ✓  | — |
| WA              | 7.9                           | 20.7                          | 19.0                          | 13.8                          | 7.8                                       | ✓       | ✓   | ✓  | ✓  | ✓  | —  | ✓  | — |
| WI              | —                             | 23.4                          | 33.3                          | 17.1                          | 13.9                                      | —       | ✓   | ✓  | ✓  | ✓  | ✓  | ✓  | — |
| WV              | —                             | —                             | 0.1                           | —                             | —   | —       | —   | —  | —  | —  | —  | —  | — |

**Notes:** CHIPRA is the Children's Health Insurance Program Reauthorization Act. Each of these outreach and enrollment efforts is described in MACPAC's March 2011 report (pp. 68–69). Some fiscal year (FY) 2012 bonus payments have been revised based on final enrollment figures.

<sup>1</sup> Originally, Alabama's bonus payments were \$40 million for FY 2009 and \$55 million for FY 2010. A preliminary audit conducted by CMS and the state revealed an error in the state's calculation of qualifying children. For some states, preliminary bonus payments may be revised to reflect final figures showing growth in children's enrollment in Medicaid.

<sup>2</sup> New York qualified for FY 2012 bonus payment after reconciliation of final enrollment figures.

**Sources:** U.S. Department of Health and Human Services (HHS), *CHIPRA performance bonuses: A history (FY 2009 – FY 2013)*, December 2013. <http://www.insurekidsnow.gov/professionals/eligibility/fy2013-chart.pdf>; and HHS, *FY 2013 CHIPRA performance bonus awards*. <http://www.insurekidsnow.gov/professionals/eligibility/fy2013-pb-table.pdf>.

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**TABLE 23. Provider Availability Measures of Access to Care for Medicaid/CHIP Beneficiaries, 2012**

|  | <b>Measure Number<sup>1</sup></b> | <b>All Primary Care Physicians<sup>2</sup></b> | <b>Physicians in Primary Care Specialties</b> |   |
|--|-----------------------------------|--|---|---|
|  |                                   |  | <b>General pediatrics</b>                     | <b>General, family or internal medicine</b> |
| <b>Provider Availability</b>   |                                   |  |   |   |
| Primary care physician (PCP) is accepting new patients by source of payment <sup>3</sup>                         | P1                                |  |   |   |
| New Medicaid/CHIP patients   |                                   | <b>67.4%</b>                                   | 79.5% ^                                       | 62.6% ^†                                    |
| New Medicare patients  |                                   | <b>75.1*</b>                                   | _4  | 87.5* ^                                     |
| New privately insured patients   |                                   | <b>85.2*</b>                                   | 94.7* ^                                       | 81.8* ^†                                    |
| Percentage of the PCP's patient care revenue that comes from Medicaid/CHIP (categories sum to 100%) <sup>5</sup> | P2                                |  |   |   |
| None   |                                   | <b>27.3</b>                                    | 19.2^   | 30.6^†                                      |
| 1 to 9 percent of revenue  |                                   | <b>18.5</b>                                    | 10.0^   | 22.0^†                                      |
| 10 to 25 percent of revenue  |                                   | <b>27.5</b>                                    | 20.9^   | 30.2^†                                      |
| 26 to 50 percent of revenue  |                                   | <b>17.9</b>                                    | 27.5^   | 14.0^†                                      |
| More than 50 percent of revenue  |                                   | <b>8.8</b>                                     | 22.4^   | 3.2^†                                       |

**Notes:** Data in this table are drawn from the 2012 National Electronic Health Records Survey, a component of the National Ambulatory Medical Care Survey (NAMCS-NEHRS). The 2012 NAMCS-NEHRS draws on a national multistage probability sample of practicing physicians in office-based settings, defined as a physician office where non-federally employed physicians provide direct patient care. This includes community health centers, HMOs, and faculty practices that refer patients to academic health centers and excludes outpatient hospital departments. Physicians in the specialties of anesthesiology, pathology, and radiology are excluded.

\* Difference from percentage accepting new Medicaid patients is statistically significant at the 0.01 level.

^ Difference from all primary care physicians (PCPs) is statistically significant at the 0.01 level.

† Difference from PCPs in general pediatrics is statistically significant at the 0.01 level.

1 Measure number corresponds to the index of access measures in the MACStats Appendix. See the appendix for additional details on each measure.

2 PCPs include physicians in general pediatrics, general medicine, family medicine, and internal medicine. Obstetrician-gynecologists are not included in the table.

3 Physicians who do not accept any new patients are considered not to be accepting any new Medicaid, Medicare, or privately insured patients.

4 The percentage of pediatricians accepting new Medicare patients is omitted due to very low Medicare participation by this group.

**Source:** National Center for Health Statistics analysis for MACPAC of the 2012 National Electronic Health Records Survey, a component of the 2012 National Ambulatory Medical Care Survey (NAMCS-NEHRS).

**TABLE 24. Parent-Reported Measures of Access to Care for Non-Institutionalized Children by Source of Health Insurance, 2011–2012**

|  | Measure Number <sup>1</sup> | All Children <sup>2</sup>                                  | Medicaid/<br>CHIP <sup>4</sup> | Private/<br>Other <sup>5</sup> | Uninsured <sup>6</sup> |
|--|-----------------------------|--|--------------------------------|--------------------------------|------------------------|
|  |                             | Children with Selected Sources of Insurance <sup>2,3</sup> |                                |                                |                        |
| <b>Connection to the health care system (past 12 months)</b>                     |                             |  |                                |                                |                        |
| Has a usual source of care <sup>8</sup>  | S1                          | <b>95.4%</b>   | 96.8%                          | 98.0%*                         | 65.9%*                 |
| Had same usual source of medical care 12 months ago (all children) <sup>8</sup>  | S2                          | <b>88.8</b>  | 90.0                           | 91.9*                          | 64.3*                  |
| Has a personal doctor or nurse <sup>7</sup>                                      | S3                          | <b>90.3</b>  | 87.8                           | 94.5*                          | 64.5*                  |
| Access barrier is reason for having no usual source of care <sup>8,9</sup>       | S4                          | <b>1.4</b>   | 0.4                            | 0.2                            | 18.1*                  |
| Had trouble finding a doctor <sup>8,10</sup>                                     | S5                          | <b>3.7</b>   | 4.8                            | 2.2*                           | 4.5                    |
| Had usual source of care barrier or trouble finding a doctor <sup>8,11</sup>     | S6                          | <b>4.9</b>   | 5.1                            | 2.4*                           | 20.8*                  |
| Receipt of effective care coordination <sup>7,12</sup>                           | S7                          |  |                                |                                |                        |
| Parent did not receive all care coordination needed                              |                             | <b>14.3</b>  | 16.4                           | 12.9                           | 14.6                   |
| Parent received all care coordination needed                                     |                             | <b>27.8</b>  | 27.1                           | 29.9*                          | 12.2*                  |
| Did not need care coordination   |                             | <b>57.9</b>  | 56.5                           | 57.3                           | 73.1*                  |
| <b>Contact with health care professionals (past 12 months)</b>                   |                             |  |                                |                                |                        |
| Had at least one office visit <sup>8,13</sup>                                    | C1                          | <b>90.7</b>  | 91.6                           | 92.7                           | 63.1*                  |
| Saw a general doctor <sup>8</sup>  | C2                          | <b>81.8</b>  | 82.4                           | 84.6*                          | 50.3*                  |
| Saw a general doctor, nurse practitioner, PA, midwife, or Ob-Gyn <sup>8,14</sup> | C3                          | <b>83.6</b>  | 83.7                           | 86.3*                          | 54.5*                  |
| Had at least one preventive dental visit (age 2–17) <sup>7</sup>                 | C4                          | <b>80.7</b>  | 76.9                           | 86.3*                          | 49.5*                  |
| <b>Timeliness of care (past 12 months)</b>                                       |                             |  |                                |                                |                        |
| Delayed medical care due to an access barrier <sup>8,15</sup>                    | T1                          | <b>11.5</b>  | 13.4                           | 7.3*                           | 23.6*                  |
| Any time when needed health care was delayed or not received <sup>7</sup>        | T2                          |  |                                |                                |                        |
| Medical care   |                             | <b>3.4</b>   | 4.4                            | 1.8*                           | 13.2*                  |
| Mental health care   |                             | <b>0.8</b>   | 1.0                            | 0.7*                           | 1.4                    |
| Dental care  |                             | <b>2.6</b>   | 3.0                            | 1.7*                           | 10.7*                  |
| Vision   |                             | <b>0.9</b>   | 1.0                            | 0.5*                           | 4.4*                   |

**TABLE 24, Continued**

| <b>Children with Selected Sources of Insurance<sup>2,3</sup></b>                     |                                   |                                 |                                  |                                  |                              |
|--|-----------------------------------|---------------------------------|----------------------------------|----------------------------------|------------------------------|
|  | <b>Measure Number<sup>1</sup></b> | <b>All Children<sup>2</sup></b> | <b>Medicaid/CHIP<sup>4</sup></b> | <b>Private/Other<sup>5</sup></b> | <b>Uninsured<sup>6</sup></b> |
| Unmet need for selected types of care due to cost <sup>8</sup>                       | T3                                |                                 |                                  |                                  |                              |
| Medical care   |                                   | 1.8%                            | 0.9%                             | 0.8%                             | 10.5%*                       |
| Mental health care or counseling, age 2–18   |                                   | 1.0                             | 0.8                              | 0.7                              | 2.7*                         |
| Dental care  |                                   | 5.6                             | 4.2                              | 3.2*                             | 21.7*                        |
| Prescription drugs   |                                   | 2.2                             | 1.9                              | 1.3*                             | 7.2*                         |
| Eyeglasses   |                                   | 2.0                             | 2.0                              | 1.1*                             | 7.2*                         |
| Had problem getting referrals (of children needing referrals) <sup>7</sup>           | T4                                | 20.8                            | 24.9                             | 15.9*                            | 43.5*                        |
| <b>Receipt of appropriate care (past 12 months)</b>                                  |                                   |                                 |                                  |                                  |                              |
| Doctors and other providers spend enough time with child <sup>7,16</sup>             | A1                                | 77.5                            | 68.8                             | 85.6*                            | 47.4*                        |
| Received at least one preventive medical visit (age 0–17) <sup>8</sup>               | A2                                | 80.2                            | 82.7                             | 82.1                             | 46.1*                        |
| Children age 0–5   |                                   | 89.7                            | 88.4                             | 92.8*                            | 62.5*                        |
| Children age 6–11  |                                   | 77.9                            | 81.2                             | 79.5                             | 45.1*                        |
| Children age 12–17   |                                   | 73.0                            | 76.2                             | 75.8                             | 40.8*                        |
| Received selected EPSDT services (of children needing service) <sup>7,17</sup>       | A3                                |                                 |                                  |                                  |                              |
| Vision screening in last 2 years (age 5–17) or ever (age 0–4)                        |                                   | 67.6                            | 63.4                             | 71.5*                            | 57.6*                        |
| Mental health care (children needing mental health care, age 2–17)                   |                                   | 61.1                            | 59.2                             | 66.1*                            | 41.9*                        |
| Therapy services (children with autism or developmental delays)                      |                                   | 87.9                            | 86.7                             | 90.0                             | 77.7                         |
| Received coordinated, ongoing, comprehensive care within a medical home <sup>7</sup> | A4                                | 54.4                            | 43.9                             | 64.0*                            | 27.8*                        |
| Had at least one hospital emergency room (ER) visit <sup>8</sup>                     | A5                                | 18.0                            | 24.9                             | 13.3*                            | 12.9*                        |
| ER visit was related to a serious health problem <sup>18</sup>                       |                                   | 10.0                            | 13.1                             | 7.9*                             | 5.4*                         |
| ER visit was related to an access barrier, not a serious problem <sup>18</sup>       |                                   | 6.6                             | 9.8                              | 4.2*                             | 5.5*                         |
| Had two or more ER visits <sup>8</sup>   | A6                                | 5.9                             | 9.9                              | 3.2*                             | 3.8*                         |

**TABLE 24, Continued**

**Notes:** Data in this table are drawn from national samples of children based on two different surveys, the 2012 National Health Interview Survey (NHIS) and the 2010–2011 National Survey of Children’s Health (NSCH). The NHIS and NSCH apply different sampling methodologies, and data are collected from different time periods. In addition, the surveys have different questions on health insurance coverage. For these reasons, measures from different surveys should not be directly compared. The table is intended to compare populations with different coverage sources within each measure. Responses to access and use questions are based on the previous 12 months, during which time the individual may have had different coverage than that shown in the table. As a result, experiences with access barriers and service use in part may be due to periods with other coverage or no coverage in the past year.

\* Difference from Medicaid/CHIP is statistically significant at the 0.05 level.

- 1 Measure number corresponds to the index of access measures in the MACStats Appendix. See the appendix for additional details on each measure.
- 2 For NHIS measures, the population is children age 0–18 who were insured or uninsured for the entire year. For NSCH measures, the population is all children age 0–17.
- 3 The population in each column differs somewhat based on the data source, as described in the footnotes on individual columns. Children covered by Medicare (generally children with end-stage renal disease) are not separately shown.
- 4 For NHIS measures, the Medicaid/CHIP population consists of children age 0–18 with Medicaid/CHIP at the time of interview and is limited to children insured for the entire year, including children who switched coverage sources during the year. For NSCH measures, the Medicaid/CHIP population consists of children age 0–17 with Medicaid/CHIP at the time of interview, including children who were uninsured during the past year. NHIS measures exclude a small number of children with Medicaid/CHIP who are also covered by private, Medicare, or other state-sponsored and government-sponsored insurance at the time of interview, while NSCH measures include any children with Medicaid/CHIP and additional sources of public or private coverage.
- 5 For NHIS measures, the private/other population is limited to children age 0–18 insured for the entire year and includes children who switched coverage sources during the year, while NSCH measures include children age 0–17 who were uninsured during the past year. The private/other population for NHIS measures consists of children with employer-sponsored insurance, other private plans, and military health plans at the time of the interview and includes children with both private insurance and Medicaid/CHIP or other coverage. For NSCH measures, the privately insured/other population consists of children who were covered by any insurance other than Medicaid/CHIP at the time of the interview. These children primarily have employer-sponsored insurance, other private plans, and military health plans, but this population also includes a small number of children with other state-sponsored or other government-sponsored insurance.
- 6 For NHIS measures, the uninsured population is children age 0–18 who did not have any health insurance coverage at the time of interview and who were classified as uninsured. For NSCH measures, the uninsured population is children age 0–17 who did not have any type of health insurance coverage at the time of interview and includes children who had a source of coverage sometime in the past year.
- 7 Measure is constructed from the 2011–2012 NSCH.
- 8 Measure is constructed from the 2012 NHIS.
- 9 Reasons given by those who reported no usual place of care that were classified as access barriers include: too expensive/cost, previous doctor not available, patient does not know where to go, and speaks a different language.
- 10 Patient reported one of these barriers in the past 12 months: trouble finding a doctor or provider, doctor's office/clinic did not accept child as a new patient.
- 11 Reported any experiences captured in measure S4 and S5.
- 12 Children are classified as needing care coordination if they received two or more services or the parent reported needing care coordination. The criteria for receipt of effective care coordination were that the family received some type of help with care coordination, and the family was very satisfied with doctors' communication with other health care providers, school, and other programs, if those services were needed. The denominator for each statistic is all children.
- 13 Parents may report encounters with a broad range of health professionals (e.g., speech therapist or social worker) but the question is limited to visits in a doctor's office or clinic.
- 14 PA is physician assistant. Ob-Gyn is obstetrician-gynecologist, and these visits were limited to females age 15–18.
- 15 Reasons given for delayed care classified as access barriers include cost, transportation, and provider-related reasons (parent couldn't get an appointment, had to wait too long to see doctor, couldn't go when open, couldn't get through on phone, and parent speaks a different language).
- 16 Defined as the percentage of children whose parents reported the providers usually or always spend enough time with child.
- 17 Early and Periodic Screening, Diagnostic, and Treatment (EPSDT) services are a mandatory Medicaid benefit. The denominator for mental health care is children whose parents report the child needed mental health care. The denominator for therapy services is children with autism or a developmental delay. These measures do not capture children with an undiagnosed need or whose parents are not aware the child needs services.
- 18 Based on questions about the most recent emergency room (ER) visit. The most recent ER visit is classified as related to a serious health problem if the parent reported that the visit resulted in a hospital admission or reason for the visit was taken by ambulance, advised by doctor to go, or problem too serious for doctor's office/clinic. The ER visit is classified as related to an access barrier if the parent reported that the visit occurred at night or on a weekend, or the reason for the visit was that doctor's office/clinic was closed, excluding visits for a serious health problem. These categories do not capture all visits.

**Source:** MACPAC analysis of the 2012 National Health Interview Survey (NHIS) and the 2011–2012 National Survey of Children’s Health (NSCH).

MACstats

**TABLE 25. Parent-Reported Measures of Access to Care for Non-Institutionalized Children with Special Health Care Needs (CSHCN) by Source of Health Insurance, 2009–2012**

|  | <b>Measure Number<sup>1</sup></b> | <b>CSHCN<sup>2</sup> with Selected Sources of Insurance<sup>3</sup></b> |                                   |                                   | <b>Uninsured<sup>6</sup></b> |
|--|-----------------------------------|---|-----------------------------------|-----------------------------------|------------------------------|
|  |                                   | <b>All CSHCN<sup>2</sup></b>  | <b>Medicaid/ CHIP<sup>4</sup></b> | <b>Private/ Other<sup>5</sup></b> |                              |
| <b>Connection to the health care system (past 12 months)</b>                         |                                   |   |                                   |                                   |                              |
| Has a personal doctor or nurse <sup>7</sup>  | S3                                | 92.8%   | 90.3%                             | 95.8%*                            | 75.3%*                       |
| Receipt of effective care coordination <sup>7,8</sup>                                | S7                                |   |                                   |                                   |                              |
| Parent did not receive all care coordination needed                                  | 33.1                              | 34.3  | 32.1                              | 38.2*                             |                              |
| Parent received all care coordination needed   | 42.9                              | 41.8  | 45.5                              | 18.9*                             |                              |
| Did not need care coordination   | 23.9                              | 24.0  | 22.4                              | 42.8*                             |                              |
| Family had one or more unmet needs for support services <sup>9,10</sup>              | S8                                | 7.2   | 8.8                               | 4.6*                              | 18.1*                        |
| <b>Contact with health professionals (past 12 months)</b>                            |                                   |   |                                   |                                   |                              |
| Had at least one preventive dental visit (age 2–17) <sup>7</sup>                     | C4                                | 84.4  | 80.1                              | 90.1*                             | 49.7*                        |
| Received care from a specialist doctor <sup>9</sup>                                  | C5                                | 45.6  | 40.4                              | 50.0*                             | 31.9*                        |
| <b>Timeliness of care (past 12 months)</b>   |                                   |   |                                   |                                   |                              |
| Had unmet need for selected types of care <sup>9</sup>                               | T5                                |   |                                   |                                   |                              |
| Specialist care  | 4.4                               | 6.2   | 2.5*                              | 15.3*                             |                              |
| Prescription drugs   | 2.6                               | 3.4   | 1.4*                              | 15.9*                             |                              |
| Mental health care and counseling  | 5.6                               | 7.3   | 4.0*                              | 14.9*                             |                              |
| Non-preventive dental  | 5.4                               | 7.1   | 3.7*                              | 20.8*                             |                              |
| Physical, occupational, or speech therapy  | 4.7                               | 5.1   | 3.8*                              | 8.0*                              |                              |
| Vision care or eyeglasses  | 2.1                               | 2.8   | 1.3*                              | 8.2*                              |                              |
| Had 2 or more unmet needs for 14 specific services <sup>9,11</sup>                   | T6                                | 8.8   | 11.9                              | 5.3*                              | 33.6*                        |
| <b>Receipt of appropriate care (past 12 months)</b>                                  |                                   |   |                                   |                                   |                              |
| Doctors and other providers spend enough time with child <sup>7,12</sup>             | A1                                | 79.7  | 74.2                              | 85.8*                             | 54.3*                        |
| Had at least one preventive medical visit <sup>9</sup>                               | A2                                | 90.4  | 91.5                              | 91.1                              | 70.3*                        |
| Children less than age 2   | 97.8                              | 97.5  | 98.3*                             | — <sup>13</sup>                   |                              |
| Children age 2–4   | 94.6                              | 92.9  | 96.6*                             | 93.5                              |                              |
| Children age 5–11  | 89.3                              | 88.1  | 93.1*                             | 52.6*                             |                              |
| Children age 12–17   | 90.4                              | 90.2  | 90.2                              | 64.5*                             |                              |
| Received coordinated, ongoing, comprehensive care within a medical home <sup>9</sup> | A4                                | 46.8  | 40.6                              | 53.2*                             | 25.8*                        |
| Had two or more ER visits <sup>9</sup>   | A6                                | 21.6  | 33.0                              | 12.8*                             | 23.5*                        |

**TABLE 25, Continued**

**Notes:** Data in this table are drawn from national samples of children based on two different surveys, the 2010–2011 National Survey of Children's Health (NSCH) and the 2009–2010 National Survey of Children with Special Health Care Needs (NS-CSHCN). Measures are for children age 0–17, unless otherwise noted. The NSCH and NS-CSHCN apply different methods to sample children, and data are collected from different time periods. In addition, the surveys have different questions on health insurance coverage. For these reasons, measures from different surveys should not be directly compared. The table is intended to compare populations with different coverage sources within each measure.

Responses to access and use questions are based on the previous 12 months, during which time the individual may have had different coverage than that shown in the table. As a result, experiences with access barriers and service use may be due partly to periods with other coverage or no coverage in the past year. Not separately shown are children covered by Medicare (generally children with end-stage renal disease). See additional notes.

- \* Difference from Medicaid/CHIP is statistically significant at the 0.05 level.
- 1 Measure number corresponds to the index of access measures in the MACStats Appendix. See the appendix for additional details on each measure.
- 2 CSHCN is children with special health care needs. In both the NSCH and NS-CSHCN, CSHCN are identified using a five-item, parent-reported tool that identifies children across the range and diversity of childhood chronic conditions and special needs and who currently experience one or more of five common health consequences due to a physical, mental, behavioral, or other type of health condition lasting or expected to last at least 12 months. See Child and Adolescent Health Measurement Initiative (CAHMI), Fast facts: *Children with special health care needs screener* (Portland, OR: CAHMI, 2007). <http://childhealthdata.org/docs/cshcn/cshcn-screener-cahmi-quickguide-pdf.pdf>.
- 3 The population in each column differs somewhat based on the data source. See additional notes for the selected populations.
- 4 CHIP is State Children's Health Insurance Program. For all measures, the Medicaid/CHIP population is children with Medicaid or CHIP at the time of interview and includes children who were uninsured during the past year or who switched coverage sources during the year. For NSCH measures, Medicaid/CHIP includes a small number of children with additional sources of public or private coverage. For NS-CSHCN measures, Medicaid/CHIP is limited to children with Medicaid or CHIP only at the time of interview and excludes children with additional sources of public or private coverage.
- 5 For NSCH measures, the private/other population consists of children who were covered by any insurance other than Medicaid/CHIP at the time of the interview. These children primarily have employer-sponsored insurance, other private plans, and military health plans, but this population also includes a small number of children with other state-sponsored or other government-sponsored insurance. For the NS-CSHCN measures, the private/other population is limited to children with private health insurance only, defined as insurance through an employer, purchased directly from an insurance company, or any military health plan. For both NSCH and NS-CSHCN measures, the private/other population includes children insured at the time of interview who were uninsured during the year or who switched coverage sources during the year.
- 6 For both NSCH and NS-CSHCN measures, the uninsured population consists of children who did not have any health insurance coverage at the time of interview and includes children who had a source of coverage sometime in the past year. For NS-CSHCN measures, parents who indicated the child was insured by a source other than Medicaid/CHIP were asked a follow-up question of whether the insurance covered doctor visits and hospital stays. If not, these children also were classified as uninsured. In addition, the NS-CSHCN instructed parents not to count dental, vision, school, or accident insurance as coverage.
- 7 Measure is constructed from the 2011–2012 NSCH (see source noted below).
- 8 Children are defined as needing care coordination if they received two or more services or the parent reported needing care coordination. The criteria for receipt of effective care coordination were that the family received some type of help with care coordination, and the family was very satisfied with doctors' communication with other health care providers, school, and other programs, if those services were needed. The denominator for each statistic is all children.
- 9 Measure is constructed from the 2009–2010 NS-CSHCN (see source noted below).
- 10 The family needed one or more family supports (respite care, genetic counseling, or family mental health care or counseling) but did not receive all the help they needed.
- 11 In addition to the services listed in the table, this includes unmet need for dental, home health care, substance abuse treatment or counseling, durable medical equipment, genetic counseling, and respite care.
- 12 The percentage of children whose parents reported the providers usually or always spend enough time with child.
- 13 Data not shown due to small sample size.

**Source:** MACPAC analysis of the 2011–2012 National Survey of Children's Health (NSCH) and the 2009–2010 National Survey of Children with Special Health Care Needs (NS-CSHCN).

**TABLE 26. Measures of Access to Care for Non-Institutionalized Individuals Age 19 to 64 by Source of Health Insurance, 2012**

| Measure Number <sup>1</sup>   | Adults with Selected Sources of Insurance |                       |                      |           | Uninsured <sup>5</sup> |
|---|---|-----------------------|----------------------|-----------|------------------------|
|   | All Adults <sup>2</sup>                   | Medicaid <sup>3</sup> | Private <sup>4</sup> | Uninsured |                        |
| <b>Connection to the health care system (past 12 months)</b>                    |   |                       |                      |           |                        |
| Has a usual source of care when sick or needs advice                            | S9  | 79.6%                 | 87.1%                | 89.9%*    | 41.7%*                 |
| Access barrier is reason for having no usual source of care <sup>6</sup>        | S11                                       | 8.4                   | 3.1                  | 1.4*      | 34.5*                  |
| Had trouble finding a doctor <sup>7</sup>                                       | S12                                       | 3.1                   | 4.5                  | 1.5*      | 6.3*                   |
| Had usual source of care barrier or trouble finding a doctor <sup>8</sup>       | S13                                       | 13.3                  | 11.6                 | 5.1*      | 38.4*                  |
| <b>Contact with health professionals (past 12 months)</b>                       |   |                       |                      |           |                        |
| Had at least one office visit <sup>9</sup>                                      | C6  | 77.5                  | 84.9                 | 84.7      | 46.6*                  |
| Saw a selected health professional (any setting) <sup>10</sup>                  |   |                       |                      |           |                        |
| Saw a nurse practitioner, physician assistant (PA), or midwife                  | C7  | 19.1                  | 22.3                 | 20.7      | 9.0*                   |
| Saw a medical doctor, nurse practitioner, PA, or midwife <sup>11</sup>          | C8  | 76.7                  | 81.7                 | 84.2*     | 45.7*                  |
| Saw a mental health professional (adults with SMI only) <sup>12</sup>           | C9  | 39.5                  | 45.9                 | 38.9      | 24.2*                  |
| Saw a dental professional   | C10                                       | 61.3                  | 50.7                 | 74.2*     | 28.1*                  |
| Saw any health professional, excluding dental <sup>13</sup>                     | C11                                       | 82.4                  | 88.6                 | 89.1      | 53.4*                  |
| Saw any health professional, including dental <sup>13</sup>                     | C12                                       | 88.8                  | 92.8                 | 94.9*     | 62.3*                  |
| <b>Timeliness of care (past 12 months)</b>                                      |   |                       |                      |           |                        |
| Delayed medical care due to an access barrier (any below) <sup>14</sup>         | T7  | 21.8                  | 22.9                 | 14.7*     | 37.9*                  |
| Because of costs  |   |                       |                      |           |                        |
| Provider-related reasons <sup>14</sup>  | T8  | 13.0                  | 7.9                  | 6.0*      | 32.4*                  |
| Did not have transportation   |   |                       |                      |           |                        |
| Unmet need for selected types of care due to cost                               |   |                       |                      |           |                        |
| Medical care  |   |                       |                      |           |                        |
| Mental health care or counseling  |   |                       |                      |           |                        |
| Did not take medication as prescribed to save money <sup>15</sup>               | T9  | 14.3                  | 12.8                 | 8.0*      | 28.6*                  |
| Had any barriers to finding a doctor, delayed care, or unmet need <sup>16</sup> | T10                                       | 34.1                  | 33.5                 | 21.8*     | 65.3*                  |

**TABLE 26, Continued**

|  | Adults with Selected Sources of Insurance |                         |                       |                      |                        |
|--|---|-------------------------|-----------------------|----------------------|------------------------|
|  | Measure Number <sup>1</sup>               | All Adults <sup>2</sup> | Medicaid <sup>3</sup> | Private <sup>4</sup> | Uninsured <sup>5</sup> |
| <b>Receipt of appropriate care (past 12 months)</b>                            |   |                         |                       |                      |                        |
| Received any preventive visit or counseling, all individuals <sup>17</sup>     | A7  | 84.1%                   | 89.1%                 | 90.3%                | 57.3%*                 |
| Individuals age 19 to 49   | 80.7                                      | 87.8                    | 87.8                  | 54.2*                |                        |
| Individuals age 50 to 64   | 91.2                                      | 93.3                    | 94.6                  | 68.0*                |                        |
| Individuals with a chronic condition or pregnant, all ages                     | 92.7                                      | 96.3                    | 96.0                  | 73.9*                |                        |
| Had cholesterol checked by health professional, all individuals                | 57.3                                      | 60.9                    | 64.9*                 | 27.2*                |                        |
| Men age 35 to 64   | 65.4                                      | 67.4                    | 73.8*                 | 28.6*                |                        |
| Individuals with health-related risk of heart disease (CHD) <sup>18</sup>      | 65.6                                      | 69.2                    | 75.6*                 | 33.3*                |                        |
| All individuals at increased risk of CHD                                       | 63.5                                      | 66.8                    | 72.6*                 | 30.0*                |                        |
| Had a flu shot, all individuals  | 31.6                                      | 31.3                    | 37.3*                 | 13.0*                |                        |
| Individuals age 50 to 64   | 42.8                                      | 42.6                    | 46.4                  | 19.3*                |                        |
| Individuals with a chronic condition or pregnant                               | 40.5                                      | 38.8                    | 46.5*                 | 18.5*                |                        |
| All individuals at high-risk of influenza complications <sup>19</sup>          | 39.1                                      | 38.1                    | 44.2*                 | 17.4*                |                        |
| Had professional counseling about smoking (current smokers)                    | 49.2                                      | 59.0                    | 55.0                  | 28.8*                |                        |
| Had any test for colorectal cancer (age 50 to 64)                              | 22.8                                      | 24.7                    | 25.1                  | 6.3*                 |                        |
| Men age 50 to 64   | 24.9                                      | 22.1                    | 27.5                  | 5.9*                 |                        |
| Women age 50 to 64   | 20.9                                      | 26.4                    | 22.8                  | 6.7*                 |                        |
| Had Pap smear or test for cervical cancer (women age 21 to 60)                 | 59.3                                      | 61.6                    | 65.8*                 | 33.6*                |                        |
| Had more than 15 office visits   | 5.3                                       | 9.6                     | 5.0*                  | 1.9*                 |                        |
| Had at least one hospital emergency room (ER) visit                            | 18.8                                      | 35.9                    | 14.9*                 | 17.1*                |                        |
| ER visit was related to a serious health problem <sup>20</sup>                 | 12.1                                      | 23.8                    | 9.7*                  | 8.9*                 |                        |
| ER visit was related to an access barrier, not a serious problem <sup>20</sup> | 4.9                                       | 8.7                     | 4.0*                  | 5.0*                 |                        |
| Four or more ER visits   | 2.0                                       | 7.2                     | 0.8*                  | 2.0*                 |                        |

**TABLE 26, Continued**

**Notes:** Measures in this table are based on national samples of adults from the 2012 National Health Interview Survey (NHS). Measures are for adults age 19–64, unless otherwise noted. The population in this table is limited to individuals insured for the entire year or uninsured for only part of the year and uninsured part of the year. Responses to access and use questions are based on the previous 12 months, during which time the individual may have had a different source of coverage than that shown in the table. Not separately shown are individuals covered by Medicare.

\* Difference from Medicaid/CHIP is statistically significant at the 0.05 level.

- 1 Measure number corresponds to the index of access measures in the MACStats Appendix. See the appendix for additional details on each measure.
- 2 In addition to individuals in the Medicaid, private, and uninsured columns, includes individuals dually covered by Medicare and Medicaid and covered by Medicare only.
- 3 Medicaid includes a small number of individuals covered by other state-sponsored health plans. Individuals with both Medicaid and Medicare or other public coverage at the time of interview were excluded.
- 4 Private health insurance coverage includes individuals with employer-sponsored coverage, other private plans, and military health plans at the time of interview and includes individuals with both private insurance and Medicaid/CHIP, Medicare, or other public coverage.
- 5 Uninsured includes individuals who did not have any health insurance coverage at the time of interview (individuals were also classified as uninsured if they had only Indian Health Service coverage or had only a private plan that paid for one type of service, such as accidents or dental care).
- 6 Reasons given by those who reported no usual place of care that were classified as access barriers include: too expensive/cost, previous doctor not available, respondent does not know where to go, and respondent speaks a different language.
- 7 Individual reported one of these barriers in the past 12 months: trouble finding a doctor or provider, doctor's office/clinic did not accept the individual's insurance coverage, or office/clinic did not accept individual as a new patient.
- 8 Reported any experiences captured in measures S11 and S12.
- 9 Respondents may report encounters with a broad range of health professionals (e.g., a chiropractor or physical therapist) but the question is limited to visits in a doctor's office or clinic.
- 10 Respondents may not limit encounters with health professionals to visits in a doctor's office or clinic.
- 11 Medical doctor includes general doctor, obstetrician-gynecologist, medical specialist, and eye doctor, but excludes other health professionals (e.g., a chiropractor, podiatrist or foot doctor, or physical therapist).
- 12 SMI is serious mental illness. Individuals were defined as having SMI if they reported a limitation due to a mental health or behavioral problem or received a score over 30 (out of 40) on the Kessler Psychological Distress Scale (K10) in the NHS. For more information on the Kessler Psychological Distress Scale, see R. Kessler, P. Barker, L. Colpe, et al., Screening for serious mental illness in the general population, *Archives of General Psychiatry* 60, no. 2 (2003): 184–189.
- 13 C11 is a global measure of professional contact and includes all encounters in C8, all encounters with a mental health professional (not just those in C9 by individuals with SMI), and encounters with other health professionals not counted elsewhere (e.g., chiropractor, podiatrist or foot doctor, or physical therapist). C12 adds to C11 all dental professional visits. Responses to questions about specific types of health professionals may not align with reported office visits in C6 due to differences in question wording, respondent interpretation, and recall.
- 14 Reasons given for delayed care classified as access barriers include: cost, transportation, and provider-related reasons (respondent couldn't get an appointment, had to wait too long to see doctor, couldn't go when open, couldn't get through on phone, and speaks a different language).
- 15 Individuals reporting unmet need because of cost for prescription drugs, and individuals who took specific actions to save money on medications (skipped doses, took less medicine, and delayed filling a prescription).
- 16 Measure T10 is all individuals with an access problem reported in S13 and T7–T9.
- 17 Includes all preventive services in measures A8–A12 and other services reported in the NHS; health professional talked to you about diet, blood pressure checked by health professional, and screening for breast cancer. Includes individuals who reported receiving the service, but who are not in a high-risk group or of a recommended age for the service.
- 18 Individuals of any age or sex reporting hypertension, diabetes, and who currently smoke. See details in MACStats Appendix.
- 19 Based on common risk factors that can be measured in the NHS. See details in MACStats Appendix.
- 20 Based on responses to questions about the most recent emergency room (ER) visit. Most recent ER visit is classified as related to a serious health problem if the individual reported that the visit resulted in a hospital admission, or reason for the visit was either taken by ambulance, advised by doctor to go, or problem too serious for doctor's office/clinic. Visit is classified as related to an access barrier if the individual reported the visit occurred at night or on weekend, or reason for the visit was doctor's office/clinic was closed, and excludes individuals reporting a serious health problem.

**Source:** MACPAC analysis of the 2012 National Health Interview Survey (NHS).

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**TABLE 27. Measures of Access to Care for Non-Institutionalized Medicaid Beneficiaries Age 19 to 64 by Receipt of Supplemental Security Income (SSI), 2009–2011**

|  | <b>Measure Number<sup>1</sup></b> | <b>Adult Medicaid Enrollees by Receipt of SSI</b> |
|--|-----------------------------------|---|
|  | <b>Received SSI</b>               | <b>Did not receive SSI</b>                        |
| <b>Connection to the health care system (past 12 months)</b>           |                                   |   |
| Has a usual source of care when sick or needs advice                   | S9                                | 91.0% <sup>*</sup>                                |
| Had same usual source of care 12 months ago (all adults)               | S10                               | 83.8  |
| <b>Contact with health care professionals (past 12 months)</b>         |                                   |   |
| Had at least one office visit <sup>2</sup>                             | C6                                | 87.9  |
| Saw a selected health professional (any setting) <sup>3</sup>          |                                   | 82.2*   |
| Saw a nurse practitioner, physician assistant (PA), or midwife         | C7                                | 22.8  |
| Saw a medical doctor, nurse practitioner, PA, or midwife <sup>4</sup>  | C8                                | 86.4  |
| Saw a mental health professional                                       | C9                                | 32.9  |
| Saw an obstetrician-gynecologist (women)                               | C13                               | 32.5  |
| Saw other specialist, not an obstetrician-gynecologist                 | C14                               | 36.5  |
| <b>Timeliness of care (past 12 months)</b>                             |                                   |   |
| Delayed medical care due to an access barrier (any below) <sup>5</sup> | T7                                | 29.4  |
| Because of costs   |                                   | 21.8*   |
| Provider-related reasons <sup>5</sup>                                  |                                   | 7.2   |
| Did not have transportation  |                                   | 14.7  |
| Unmet need for selected types of care due to cost                      |                                   | 5.5*  |
| Medical care   | T8                                | 14.9  |
| Mental health care or counseling                                       |                                   | 6.3   |
| Prescription drugs   |                                   | 5.7   |
| Dental care  |                                   | 4.8   |
| Eyeglasses   |                                   | 2.8*  |
| <b>Receipt of appropriate care (past 12 months)</b>                    |                                   |   |
| Had more than 15 office visits   | A13                               | 17.0  |
| Four or more hospital emergency room (ER) visits                       | A15                               | 8.8*  |
|  |                                   | 5.7*  |

**TABLE 27, Continued**

**Notes:** Measures in this table are based on national samples of adults from the National Health Interview Survey (NHIS) using 2009–2011 data. Measures are for adults age 19–64, unless otherwise noted. All individuals in this table were covered by Medicaid at the time of interview. The population is limited to individuals who were insured for the entire year and includes individuals who switched coverage sources during the year. Medicaid includes a small number of persons covered by other state-sponsored health plans at the time of interview. Individuals with both Medicaid and other coverage (private, Medicare, or other public insurance) at the time of interview were excluded from the table. SSI is Supplemental Security Income. Adults with SSI are individuals with little or no income and assets whose ability to work is limited by a physical or mental disability that can be expected to result in death or last for at least 12 months. The SSI group does not capture all persons with a disability. Responses to recent-care questions are based on the previous 12 months, during which time the individual may have had different insurance than that shown in the table.

- \* Difference from adults who received SSI is statistically significant at the 0.05 level.
- 1 Measure number corresponds to the index of access measures in the MACStats Appendix. See the appendix for additional details on each measure.
- 2 Respondents may report encounters with a broad range of health professionals (e.g., a chiropractor or physical therapist) but the question is limited to visits in a doctor's office or clinic.
- 3 Respondents may not limit encounters with health professionals to visits in a doctor's office or clinic.
- 4 Medical doctor includes general doctor, obstetrician-gynecologist, medical specialist, and eye doctor, but excludes other health professionals (e.g., a chiropractor, podiatrist or foot doctor, or physical therapist).
- 5 Reasons given for delayed care classified as access barriers include cost, transportation, and provider-related reasons (respondent couldn't get an appointment, had to wait too long to see doctor, couldn't go when open, couldn't get through on phone).

**Source:** MACPAC analysis of three years of pooled 2009–2011 data from the National Health Interview Survey (NHIS).

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# MACStats Appendix



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# MACStats Appendix

Five new tables (Tables 23–27) presenting measures of access to care have been added to the March 2014 edition of MACStats. Measures reflect the conceptual framework for access to care that MACPAC first presented in its March 2011 report to Congress, which stresses timely receipt of care in an appropriate setting.<sup>1</sup> Each measure in Tables 23–27 is assigned a measure number that corresponds to a detailed description in the table (MACStats Appendix Table) contained in this appendix.

**Access Domains.** A total of 54 measures were selected to represent 5 access domains: provider availability, connection to the health care system, contact with health care professionals, timeliness of care, and receipt of appropriate care.

**Populations.** Table 23 presents data on provider availability for Medicaid/CHIP beneficiaries. Tables 24 and 26 present data for children and adults under age 65, respectively, and compare access measures for these individuals based on insurance status. Table 25 presents data on children with special health care needs (CSHCN) and compares access measures for these children based on insurance status. Table 27 presents data for adult Medicaid beneficiaries under age 65 and compares access measures for these individuals based on receipt of Supplemental Security Income (SSI). The SSI population is comprised of individuals with little or no income and assets whose ability to work is limited by a physical or mental disability that can be expected to result in death or last for at least 12 months. Although this definition does not capture all individuals with disabilities, receipt of SSI is used as a proxy to identify individuals with a diverse range of severe disabilities and complex needs.

**Data Sources.** Measures are drawn from four federal surveys with the broadest available scope of access measures. The surveys and years of data presented in this report are:

- ▶ National Ambulatory Medical Care Survey-National Electronic Health Records Survey (2012 NAMCS-NEHRS);<sup>2</sup>
- ▶ National Health Interview Survey (2012 NHIS, and pooled 2009–2011 NHIS data);<sup>3</sup>
- ▶ National Survey of Children’s Health (2011–2012 NSCH);<sup>4</sup> and
- ▶ National Survey of Children with Special Health Care Needs (2009–2010 NS-CSHCN).<sup>5</sup>

**Measurement Approach.** All measures represent national estimates. The data are drawn from surveys that apply different sampling methods, are collected from different time periods, and have different questions on health insurance coverage. For these reasons, measures from different surveys should not be directly compared.

**Limitations.** Interpretation of measures should consider the limitations of survey data. Particular weaknesses associated with household survey data include:

- ▶ Survey data are based on a respondent’s recall of events, which tend to omit some health care encounters documented by other sources such as medical records or administrative data.

- ▶ Parents reporting experiences for their children may feel pressure to provide answers that are socially desirable rather than factually accurate.
- ▶ Survey data are based on subjective perceptions that might not align with objective criteria (for example, individuals may not be aware of services they or their children need).

Moreover, interpretation of measures should consider the definition of each population and its characteristics:

- ▶ Responses about recent experiences with access to care and service use are based on the previous 12 months, during which some individuals had a different source of coverage than that shown in the table.
- ▶ Comparison of measures are unadjusted for differences between populations in age, health, income, ethnicity, race, family and household characteristics known to explain much but not all differences in access and use observed between individuals with different insurance experience.<sup>6</sup>
- ▶ Finally, measures might be interpreted differently based on the needs of each population. For example, people with severe disabilities need more help with transportation than other individuals, so one might expect that Medicaid beneficiaries receiving SSI would report more problems getting timely care because they did not have transportation.

## Endnotes

<sup>1</sup> Medicaid and CHIP Payment and Access Commission (MACPAC), *Report to the Congress on Medicaid and CHIP*, March 2011 (Washington, DC: MACPAC, 2011). <http://www.macpac.gov/reports>.

<sup>2</sup> National Center for Health Statistics, *Ambulatory health care data* (Atlanta, GA: U.S. Centers for Disease Control and Prevention, U.S. Department of Health and Human Services, 2013). [http://www.cdc.gov/nchs/ahcd/new\\_ahcd.htm](http://www.cdc.gov/nchs/ahcd/new_ahcd.htm).

<sup>3</sup> National Center for Health Statistics, *National Health Interview Survey: About the National Health Interview Survey* (Atlanta, GA: U.S. Centers for Disease Control and Prevention, U.S. Department of Health and Human Services, 2013). [http://www.cdc.gov/nchs/nhis/about\\_nhis.htm](http://www.cdc.gov/nchs/nhis/about_nhis.htm).

<sup>4</sup> National Center for Health Statistics, *State and Local Area Telephone Integrated Survey: 2011–2012 National Survey of Children’s Health quick facts* (Atlanta, GA: U.S. Centers for Disease Control and Prevention, U.S. Department of Health and Human Services, 2013). <http://www.cdc.gov/nchs/slaits/nsch.htm>.

<sup>5</sup> National Center for Health Statistics, *State and Local Area Telephone Integrated Survey: 2009–2010 National Survey of Children with Special Health Care Needs quick facts and additions* (Atlanta, GA: U.S. Centers for Disease Control and Prevention, U.S. Department of Health and Human Services, 2013). <http://www.cdc.gov/nchs/slaits/cshcn.htm>.

<sup>6</sup> Kenney, G.M., and Coyer, C., *National findings on access to health care and service use for children enrolled in Medicaid or CHIP* (MACPAC Contractor Report No. 1) (Washington, DC: MACPAC, 2012). <http://www.macpac.gov/publications>; Long, S.K., Stockley, K., Grimm, E., and C. Coyer. *National findings on access to health care and service use for non-elderly adults enrolled in Medicaid* (MACPAC Contractor Report No.2) (Washington, DC: MACPAC, 2012). <http://www.macpac.gov/publications>.

**MACStats APPENDIX TABLE. Index of Access Measures in March 2014 MACStats Tables 23–27**
**Provider Availability**

| <b>Measures</b>  | <b>Population Subgroups</b>                     | <b>Data Source</b> | <b>Rationale for Measure Selection</b>   |
|--|---|--------------------|--|
| <b>P1. Primary care physician acceptance of new patients by source of payment</b><br><br>Percentage of office-based physicians who reported currently accepting new patients into their practice with a type of payment of Medicaid/CHIP, Medicare, and private insurance, respectively.   | Pediatricians and other primary care physicians | NAMCS-NEHRS 2012   | This measure is one method of identifying physicians participating in Medicaid or CHIP. Change in the proportion accepting new Medicaid/CHIP patients could indicate a change in Medicaid workforce capacity.  |
| <b>P2. Percentage of the primary care physician's patient care revenue that comes from Medicaid/CHIP</b><br><br>This measure shows the distribution of responses for Medicaid/CHIP by office-based physicians to the question: "Roughly, what percent of your patient care revenue at the reporting location comes from the following: Medicare? Medicaid/CHIP? Private insurance? All other sources?" | Pediatricians and other primary care physicians | NAMCS-NEHRS 2012   | Because many physicians see only a small number of Medicaid or CHIP patients, this alternative measure of physician participation in Medicaid/CHIP is based on the amount of revenue they receive from Medicaid/CHIP. A change in this revenue distribution could indicate a change in Medicaid/CHIP workforce capacity. |

**Connection to the Health Care System — Children**

| <b>Measures for Children</b>  | <b>Population Subgroups</b>  | <b>Data Source</b> | <b>Rationale for Measure Selection</b>   |
|---|--|--------------------|--|
| <b>S1. Has a usual source of care when sick or needs advice</b><br><br>Percentage of children whose parents report that child had a usual place to go when sick or needs health advice (not the emergency department).  | Children with Medicaid/CHIP, private insurance, and uninsured                        | NHIS 2012          | Having a usual source of care is a common measure of potential access to health care and represents the interim step between provider availability and utilization with potential for timely access.   |
| <b>S2. Had same usual source of medical care 12 months ago</b><br><br>Percentage of children whose parents report that child had the same usual place of care 12 months ago. Denominator is all children.   | Children with Medicaid/CHIP, private insurance, and uninsured                        | NHIS 2012          | The foundation of a medical home is having an ongoing source of care. Having an ongoing source of care is Objective AHS-5.2 of Healthy People 2020 (HP2020). The HP2020 target is 100 percent of all children ages 17 and under. <sup>1</sup>  |
| <b>S3. Has a personal doctor or nurse</b><br><br>Percentage of children whose parents reported having one or more persons they think of as the child's personal doctor or nurse.  | Children and CSHCN <sup>2</sup> with Medicaid/CHIP, private insurance, and uninsured | NSCH 2011–2012     | This measure is a higher bar for potential access than having a usual source of care. Having a personal doctor or nurse is one of the criteria for receiving care in a medical home. See measure A4.   |
| <b>S4. Access barrier is reason for having no usual source of care</b><br><br>Percentage of children whose parents reported child had no usual source of medical care for reasons: too expensive, no insurance, or cost; doesn't know where to go; previous doctor not available/moved; or speaks a different language.                     | Children with Medicaid/CHIP, private insurance, and uninsured                        | NHIS 2012          | When children have no usual source of care, primary and preventive care may be missed. Measure is limited to reasons for having no usual source of care that can be affected by health plan supports or other program features. This percentage is expected to be small, but reflects a gap in outreach for children enrolled the full year. |
| <b>S5. Had trouble finding a doctor</b><br><br>Percentage of children whose parents reported one of three barriers during the past 12 months: trouble finding general doctor/provider who would see them; doctor's office/clinic would not accept child as new patient; doctor's office/clinic did not accept child's health care coverage. | Children with Medicaid/CHIP, private insurance, uninsured                            | NHIS 2012          | This is an alternative measure for barriers to access. Problems finding a doctor can be affected by provider behavior, plan recruitment of providers, payment, and other factors.  |

**MACStats APPENDIX TABLE, Continued. Index of Access Measures in March 2014 MACStats Tables 23–27****Connection to the Health Care System — Children, Continued**

| <b>Measures for Children</b>   | <b>Population Subgroups</b>   | <b>Data Source</b> | <b>Rationale for Measure Selection</b>  |
|--|---|--------------------|---|
| <b>S6. Had usual source of care barrier or trouble finding a doctor</b><br>Composite of children facing barriers in S4 or S5.  | Children with Medicaid/CHIP, private insurance, uninsured                     | NHIS 2012          | This measure captures the extent to which children experience barriers to connecting to the health system across measures.  |
| <b>S7. Receipt of effective care coordination<sup>3</sup></b><br>Children were classified as needing care coordination if the child received two or more services or the parent reported they needed help coordinating care. <sup>3</sup> The criteria for “received all care coordination needed” were that the family has some type of help with care coordination and was very satisfied with doctors’ communication with other health care providers, school or other programs, if those services were needed. Otherwise children were classified as “did not receive all care coordination needed.” | Children and CSHCN with Medicaid/CHIP, private insurance, uninsured           | NSCH 2011–2012     | Effective care coordination is one component of the medical home summary measure reported as A4. CSHCN often require care coordination among multiple providers. Lack of coordination may result in duplication of services and missed opportunities for better care. |
| <b>S8. Family had one or more unmet needs for support services</b><br>Percentage of children whose parents reported that their family needed one or more family supports (respite care, genetic counseling, or family mental health care or counseling) but did not receive them.  | CSHCN with Medicaid/CHIP, children with private insurance, uninsured children | NS-CSHCN 2009–2010 | These three specific family support services are services a family member of CSHCN might need because of the child’s medical, behavioral, or other conditions.  |

**Connection to the Health Care System — Adults**

| <b>Measures for Adults</b>   | <b>Population Subgroups</b>  | <b>Data Source</b>          | <b>Rationale for Measure Selection</b>   |
|--|--|-----------------------------|--|
| <b>S9. Has a usual source of care when sick or needs advice</b><br>Percentage of adults who reported currently having a place they usually go when they are sick or need advice about their health (not the emergency department). | Adults with Medicaid, private insurance, uninsured; Medicaid adults with and without SSI | NHIS 2012<br>NHIS 2009–2011 | Having a usual source of care is a common measure of potential access to health care and represents the interim step between provider availability and utilization with potential for timely access.                               |
| <b>S10. Had same usual source of medical care 12 months ago</b><br>Percentage of adults who reported having the same usual place of care 12 months ago.  | Medicaid SSI-related and non-SSI-related adults  | NHIS 2009–2011              | A higher bar for potential access than having a usual source of care, this measure indicates an established relationship with a provider important for patient-centered, quality care.   |
| <b>S11. Access barrier is reason for having no usual source of care</b><br>Percentage of adults who reported one of the access-related reasons for having no usual place of medical care as listed in S4.                          | Adults with Medicaid, private insurance, uninsured                                       | NHIS 2012                   | Problems navigating the provider network, lack of consumer information, language barriers, cost and distance all are barriers to providers with factors that can be addressed by health plan outreach, payment, and other factors. |
| <b>S12. Had trouble finding a doctor</b><br>Percentage of adults who reported facing one of three barriers during the past 12 months as listed in S5.  | Adults with Medicaid, private insurance, uninsured                                       | NHIS 2012                   | This is an alternative measure of barriers to access. Trouble finding a doctor can be addressed by provider behavior, health plan recruitment of providers, payment, and other factors.  |
| <b>S13. Had usual source of care barrier or trouble finding doctor</b><br>Composite of adults who reported barriers in S11 or S12.   | Adults with Medicaid, private insurance, uninsured                                       | NHIS 2012                   | Captures extent to which adults experienced barriers to connecting to the health system across measures.   |

**MACStats APPENDIX TABLE, Continued****Contact with Health Professionals — Children**

| <b>Measures for Children</b>   | <b>Population Subgroups</b>   | <b>Data Source</b> | <b>Rationale for Measure Selection</b>  |
|--|---|--------------------|---|
| <b>C1. Had at least one office visit</b><br>Percentage of children whose parent reported they had seen a doctor or other health care professional at a doctor's office, clinic, or other place (not including hospitalization, ER visits, dental visits, or telephone calls) during the past 12 months.                                      | Children with Medicaid/CHIP, private insurance, and uninsured           | NHIS 2012          | This measure is commonly used to ascertain a minimal threshold of contact in an office or clinic setting and allows comparison between populations and data sources.  |
| <b>C2. Saw a general doctor</b><br>Percentage of children whose parent reported they had seen or talked to a general doctor who treats a variety of illnesses (a doctor in general practice, pediatrics, family medicine, or internal medicine) during the past 12 months.   | Children with Medicaid/CHIP, private insurance, and uninsured           | NHIS 2012          | Contact with a general doctor is commonly used to ascertain a minimal threshold of contact with a physician and allows comparison between populations.  |
| <b>C3. Saw a general doctor, nurse practitioner, PA, midwife, or Ob-Gyn</b><br>Percentage of children whose parent reported the child had seen a general doctor, nurse practitioner, physician assistant (PA), midwife, or obstetrician-gynecologist (Ob-Gyn) during the past 12 months. Ob-Gyn encounters are limited to females age 15–18. | Children with Medicaid/CHIP, private insurance, and uninsured           | NHIS 2012          | This measure contributes to the interpretation of C2 by including mid-level clinicians and obstetrician-gynecologists. C3 more accurately gauges primary care contact that Medicaid enrollees may have at community clinics and through reproductive health care for adolescents. |
| <b>C4. Received at least one preventive dental visit</b><br>Percentage of children whose parent reported that child had seen a dentist for preventive care, such as check-ups and dental cleanings, during the past 12 months.   | Children and CSHCN with Medicaid/CHIP, private insurance, and uninsured | NSCH 2011–2012     | This measure monitors contact with the oral health care system and also is a measure of receipt of appropriate care. This question is not asked of children in the NHIS.  |
| <b>C5. Received care from a specialist doctor</b><br>Percentage of CSHCN whose parent reported that child received care from a specialist doctor during the past 12 months.  | CSHCN with Medicaid/CHIP, private insurance, and uninsured              | NS-CSHCN 2009–2010 | Specialists can play a critical role in the care of CSHCN.  |

**Contact with Health Professionals — Adults**

| <b>Measures for Adults</b>  | <b>Population Subgroups</b>  | <b>Data Source</b> | <b>Rationale for Measure Selection</b>  |
|---|--|--------------------|---|
| <b>C6. Had at least one office visit</b><br>Percentage of adults who reported seeing a doctor or other health care professional at a doctor's office, clinic, or other place (not including hospitalization, ER visits, dental visits, or telephone calls) during the past 12 months. | Adults with Medicaid, private insurance, uninsured, Medicaid adults with and without SSI | NHIS 2012          | This measure is commonly used to ascertain a minimal threshold of contact in an office or clinic setting and allows comparison between populations and data sources. Survey respondents may recall having an office visit but not know or recall which type of professional they saw. |
| <b>C7. Saw a nurse practitioner (NP), physician assistant (PA), or midwife</b><br>Percentage of adults who reported seeing a nurse practitioner, physician assistant, or midwife in any setting during the past 12 months.  | Adults with Medicaid, private insurance, uninsured, Medicaid adults with and without SSI | NHIS 2012          | Mid-level clinicians are expected to play a role in expanding access to health care for Medicaid enrollees, yet little is known about the degree to which adults encounter these clinicians.  |

**MACStats APPENDIX TABLE, Continued. Index of Access Measures in March 2014 MACStats Tables 23–27****Contact with Health Professionals — Adults, Continued**

| <b>Measures for Adults</b>   | <b>Population Subgroups</b>  | <b>Data Source</b> | <b>Rationale for Measure Selection</b>  |
|--|--|--------------------|---|
| <b>C8. Saw a medical doctor, nurse practitioner, PA, or midwife</b><br><br>Percentage of adults who reported seeing or talking to any of these selected practitioners during the past 12 months: medical doctor, nurse practitioner, physician assistant (PA), midwife, and includes obstetrician-gynecologist, specialist, or eye doctor. For Medicaid adults with and without SSI, obstetrician-gynecologists and other specialists are presented separately in C13 and C14. | Adults with Medicaid, private insurance, uninsured, Medicaid adults with and without SSI     | NHIS 2012          | This measure emphasizes contact with a medical doctor or advanced practice clinician in any setting. Counting mid-level clinicians may increase contact levels observed in shortage areas.  |
| <b>C9. Saw a mental health professional (individuals with SMI)<sup>4</sup></b><br><br>Percentage of adults with serious mental illness (SMI) who reported seeing or talking to a mental health professional (psychiatrist, psychologist, psychiatric nurse, or clinical social worker) during the past 12 months.  | Adults with Medicaid, private insurance, and uninsured, Medicaid adults with and without SSI | NHIS 2012          | This measure monitors contact with the mental health system. The denominator for this measure is based partly on active symptoms and will miss some adults who no longer have symptoms because they are receiving successful treatment.   |
| <b>C10. Saw a dental professional</b><br><br>Percentage of adults who reported at least one visit to a dentist, dental specialist, or dental hygienist during the past 12 months.  | Adults with Medicaid, private insurance, and uninsured                                       | NHIS 2012          | This measure monitors contact with the oral health care system.   |
| <b>C11. Saw any health professional, excluding dental</b><br><br>Percentage of adults who reported at least one visit in C8 or reported seeing a mental health professional (not limited to just those with SMI as in C9). The measure also includes encounters with health professionals not captured elsewhere (e.g. chiropractor, podiatrist or foot doctor, or physical therapist).  | Adults with Medicaid, private insurance, and uninsured                                       | NHIS 2012          | Expands C8 to include mental health professionals, a major source of care for adults, and other health professionals to provide a global measure of contact. This percentage may not align with reported office visits in C6 due to differences in question wording, respondent interpretation, and recall. |
| <b>C12. Saw any health professional, including dental</b><br><br>Composite measure of adults with at least one visit in C11 or C10, including visits to a dental professional.   | Adults with Medicaid, private insurance, and uninsured                                       | NHIS 2012          | Much of the difference in contact between Medicaid and private patients is due to dental visits, so the summary measure is reported with and without visits to dental professionals in C12 and C11, respectively. Dental services are an optional Medicaid benefit.   |
| <b>C13. Saw an obstetrician-gynecologist</b><br><br>Percentage of Medicaid adults who reported seeing or talking with an obstetrician-gynecologist during the past 12 months.<br>Limited to women.   | Medicaid adults with and without SSI   | NHIS 2012          | This measure is a subset of C8 that highlights specialists, who can play a critical role in the care of individuals with disabilities.  |
| <b>C14. Saw other specialist, not an obstetrician-gynecologist</b><br><br>Percentage of Medicaid adults who reported seeing or talking with a specialist other than an obstetrician-gynecologist during the past 12 months.  | Medicaid adults with and without SSI   | NHIS 2012          | This measure is a subset of C8 that highlights specialists, who can play a critical role in the care of individuals with disabilities.  |

**MACStats APPENDIX TABLE, Continued****Timeliness of Care — Children**

| <b>Measures for Children</b>  | <b>Population Subgroups</b>                                    | <b>Data Source</b> | <b>Rationale for Measure Selection</b>   |
|---|--|--------------------|--|
| <b>T1. Delayed medical care due to an access barrier</b><br><br>Percentage of all children whose parents reported the child needed health care during the past 12 months that was delayed due to a cost barrier, transportation, or provider-related reasons (couldn't get appointment, had to wait too long to see doctor, couldn't go when open or get through on phone, and speaks a different language). Each barrier is separately reported. | Children with Medicaid/ CHIP, private insurance, and uninsured | NHIS 2012          | Delayed care is a common measure, but this measure limits the definition to delays for reasons that could reasonably be influenced by providers, health plans, and program services and supports. Delays for reasons that primarily reflect parents' motivation (i.e., "put it off") are excluded.                   |
| <b>T2. Selected types of care were delayed or not received</b><br><br>Percentage of all children whose parents reported child needed but delayed or did not receive a service during the past 12 months. Medical care, mental health care, dental care, and vision are separately reported.   | Children with Medicaid/ CHIP, private insurance, and uninsured | NSCH 2011–2012     | This measure provides information on specific services for which parents are reporting delayed or unmet needs. The measure does not capture reasons for delay or unmet need. Question wording is not comparable to NHIS measure of delayed care (T1).  |
| <b>T3. Unmet need for selected types of care due to cost</b><br><br>Percentage of all children whose parents reported a time in the past 12 months when their child needed a service but didn't get it because they couldn't afford it: medical care, mental health care or counseling, dental care, prescription drugs, eyeglasses. Services are separately reported.  | Children with Medicaid/ CHIP, private insurance, and uninsured | NHIS 2012          | These measures track access to service domains in the mandatory Early and Periodic Screening, Diagnostic, and Treatment (EPSDT) Medicaid benefit for children, but not unmet need due to barriers other than cost that can impact Medicaid disproportionately. Other barriers are presumably captured in measure T2. |
| <b>T4. Had a problem getting referrals (children needing referrals)<sup>3</sup></b><br><br>Percentage of children whose parents reported that getting referrals was a big or small problem. The denominator of this measure is children whose parents reported that the child needed a referral to see a doctor or receive services during the past 12 months.  | Children with Medicaid/ CHIP, private insurance, and uninsured | NSCH 2011–2012     | Difficulty getting referrals from primary care providers or health plans can lead to delays obtaining timely diagnosis and treatment critical to child development.  |
| <b>T5. Unmet need for selected types of care</b><br><br>Percentage of children whose parents reported needing the service and did not receive all the care needed or received no care. The six types of care are: specialist; prescription drugs; mental health care; non-preventive dental; physical, occupational or speech therapy; vision care or eyeglasses.   | CSHCN with Medicaid/ CHIP, private insurance, and uninsured    | NS-CSHCN 2009–2010 | The NS-CSHCN provides measures of unmet need for a wide array of services that are needed by children with severe mobility, cognitive, and sensory disabilities. All of these services fall under the EPSDT benefit. Unmet need for many of these services is not collected in the NHIS or the NSCH.                 |
| <b>T6. Had 2 or more unmet needs for 14 specific services</b><br><br>In addition to types of care in T5, this measure captures unmet need for dental, mobility aids or devices, communication aids or devices, home health care, substance abuse treatment or counseling, durable medical equipment, genetic counseling, and respite care.  | CSHCN with Medicaid/ CHIP, private insurance, and uninsured    | NS-CSHCN 2009–2010 | By measuring unmet need for particular services, this measure helps determine if unmet need is a significant problem for a small proportion of CSHCN with particular service needs.  |

**MACStats APPENDIX TABLE, Continued. Index of Access Measures in March 2014 MACStats Tables 23–27****Timeliness of Care — Adults**

| <b>Measures for Adults</b>  | <b>Population Subgroups</b>  | <b>Data Source</b>          | <b>Rationale for Measure Selection</b>   |
|---|--|-----------------------------|--|
| <b>T7. Delayed medical care due to an access barrier</b><br>Percentage of adults who reported they needed medical care during the past 12 months and that it was delayed because of selected reasons as listed in T1.   | Adults with Medicaid, private insurance, uninsured, Medicaid adults with and without SSI | NHIS 2012<br>NHIS 2009–2011 | Medicaid beneficiaries primarily report barriers to care other than cost. Reasons for these delays are segmented to help identify where in the health care system the barriers exist.  |
| <b>T8. Unmet need for selected types of care due to cost</b><br>Percentage of adults who reported a time in the past 12 months when they needed a type of care but didn't get it because they couldn't afford it. For all adults, this measure reports on unmet need for medical care and mental health care or counseling. Other services reported for Medicaid adults with and without SSI are dental care, prescription drugs, and eyeglasses. | Adults with Medicaid, private insurance, uninsured, Medicaid adults with and without SSI | NHIS 2012<br>NHIS 2009–2011 | These measures track access to two mandatory service groups for adult beneficiaries, but do not capture barriers to service unrelated to cost.   |
| <b>T9. Did not take medication as prescribed to save money</b><br>Percentage of adults who reported one of the following in past 12 months: unmet need for prescription medicines because of cost; skipped medication doses to save money; took less medicine to save money; or delayed filling a prescription to save money.   | Adults with Medicaid, private insurance, uninsured                                       | NHIS 2012                   | This measure expands the well-known definition of “unmet need for prescriptions due to cost” to include individuals who took specific actions to save money. Some actions, such as “asked for a generic drug” were not included. |
| <b>T10. Reported any barriers to care, delayed care, or unmet need</b><br>Composite of adults who reported any barriers in measures in measure S13 (had usual source of care barrier or trouble finding doctor), T7–T9 (delayed care due to an access barrier, unmet need due to cost, reported not taking medication as prescribed to save money).   | Adults with Medicaid, private insurance, and uninsured                                   | NHIS 2012                   | Provides a gauge for the overall reach and potential impact of all barriers to timely care in the population. Unmet need for dental care and eyeglasses are excluded due to the very limited Medicaid benefit available.         |

**MACStats APPENDIX TABLE, Continued**

| <b>Receipt of Appropriate Care — Children</b>  |   |                                 |  |
|--|---|---------------------------------|--|
| <b>Measures for Children</b>   | <b>Population Subgroups</b>   | <b>Data Source</b>              | <b>Rationale for Measure Selection</b>   |
| <b>A1. Doctors and other providers spend enough time with child</b><br><br>Percentage of children whose parents reported doctors or other health care providers usually or always spend enough time with the child.  | Children with Medicaid/CHIP, private insurance, uninsured               | NSCH 2011–2012                  | This measure is one of the criteria for receiving care in a medical home.  |
| <b>A2. Received at least one preventive medical visit</b><br><br>Percentage of children whose parents reported that child saw a doctor, nurse, or other provider for preventive medical care such as a physical exam or well-child checkup during the past 12 months. Presented for selected age ranges.   | Children and CSHCN with Medicaid/CHIP, private insurance, uninsured     | NHIS 2012<br>NSCH 2011–2012     | The EPSDT benefit in Medicaid states that children should receive one or more preventive or well-child visits, dependent on the age group. This measure sets a low bar well below the number of preventive visits recommended for 0–3 year olds.   |
| <b>A3. Received selected EPSDT services (children needing services)</b><br><br>Among children whose parents reported that their child needed a specific type of EPSDT service, the percentage who received it: mental health services (children age 2–17 with a problem needing treatment), therapy services (children with autism or developmental delay), and vision screening (age 2–17).                         | Children with Medicaid/CHIP, private insurance, uninsured               | NSCH 2011–2012                  | These measures capture receipt of appropriate care for common EPSDT services. The denominator for each measure is limited to children needing the service based on parent-reported condition and/or eligible for screening based on age.   |
| <b>A4. Received coordinated, ongoing, comprehensive care within a medical home<sup>3, 5</sup></b><br><br>Percentage of children who have met all criteria for receiving care in a medical home based on a series of questions.   | CSHCN with Medicaid/CHIP, private insurance, uninsured                  | NS-CSHCN 2009–2010              | This measure reflects a core outcome chosen by the Maternal and Child Health Bureau for the community-based system of services required for all CSHCN under Title V of the Social Security Act. <sup>6</sup> Increasing the proportion of CSHCN receiving care in a medical home is an HP2020 objective. The HP2020 target is 51.8 percent. <sup>7</sup> |
| <b>A5. Had an ER visit in past 12 months and most recent ER visit was related to a serious health problem or an access barrier</b><br><br>Percentage of children whose parents reported the child had an ER visit in the past 12 months, and the most recent ER visit is related to either serious health problem <sup>8</sup> (e.g., admitted to hospital) or an access barrier, excluding serious health problems. | Children with Medicaid/CHIP, private insurance, uninsured               | NHIS 2012                       | ER visits due to access barriers (e.g. doctor's office wasn't open) may reflect poor access to primary care or a need for more education about the importance of using primary care providers when possible, rather than the ER.   |
| <b>A6. Had 2 or more ER visits during the past 12 months</b><br><br>Percentage of children whose parents reported that the child went to a hospital ER 2 or more times in past 12 months.  | Children and CSHCN with Medicaid/CHIP, private insurance, and uninsured | NHIS 2012<br>NS-CSHCN 2009–2010 | High use of ER services may signify complex health needs, poor access to primary care, or a need for parent education.   |

**MACStats APPENDIX TABLE, Continued. Index of Access Measures in March 2014 MACStats Tables 23–27****Receipt of Appropriate Care — Adults**

| <b>Measures for Adults</b>   | <b>Population Subgroups</b>   | <b>Data Source</b>          | <b>Rationale for Measure Selection</b>   |
|--|---|-----------------------------|--|
| <b>A7. Received any preventive visit or counseling</b><br>Percentage of adult beneficiaries who reported receipt of prevention services, including any service in measures A8–A12, talking with a health professional about diet, having blood pressure checked by health professional, or screening for breast cancer. Includes individuals not in a high-risk group or of a recommended age who received the preventive service. | Adults age 19–49, 50–64, pregnant or have chronic condition with Medicaid, private insurance, and uninsured | NHIS 2012                   | This measure is a global indicator that adults received some aspect of recommended prevention services. Physicians and patients may prioritize preventive services based on a patient's risk of complications or a patient's health goals and care preferences.  |
| <b>A8. Had cholesterol checked by health professional (at-risk groups)</b><br>Percentage of adults at high-risk for coronary heart disease who reported having their blood cholesterol checked by a doctor, nurse, or other professional during the past 12 months.  | Selected at-risk groups with Medicaid, private insurance, and uninsured                                     | NHIS 2012                   | The U.S. Preventive Services Task Force (USPSTF) recommends routine screening for men ages 35 and over for lipid disorders, and others at increased risk of coronary heart disease. <sup>9</sup> The HP2020 target for the proportion of adults who have their blood cholesterol checked within preceding 5 years is 82.1 percent. <sup>10</sup> |
| <b>A9. Had an influenza vaccine or flu shot</b><br>Percentage of adults who reported having an influenza shot in the past 12 months is presented for all individuals and for three vaccination priority groups whose percentages should be higher as the result of flu shot campaigns.   | Selected high-risk groups with Medicaid, private insurance, and uninsured                                   | NHIS 2012                   | The Centers for Disease Control and Prevention (CDC) recommends annual vaccination of persons at risk of severe complications from influenza. Priority is given to these high-risk groups when supply is short. Vaccination rates of wider populations will fluctuate with supply. <sup>11</sup>   |
| <b>A10. Had professional counseling about smoking (current smokers)</b><br>Percentage of currently smoking adults who reported that a doctor or other health professional talked to them about their smoking during the past 12 months.  | Current smokers with Medicaid, private insurance, and uninsured   | NHIS 2012                   | This measure captures preventive counseling for smoking for a targeted population but will miss persons who reported using tobacco products other than cigarettes or who quit during the past 12 months, possibly as the result of counseling.   |
| <b>A11. Had any test for colorectal cancer (CRC)</b><br>Percentage of adults who reported having any test done for colon cancer during the past 12 months using a single item. Limited to individuals in the recommended age group 50–64.  | Men and women age 50 to 64 with Medicaid, private insurance, and uninsured                                  | NHIS 2012                   | The HP2020 target for the proportion of adults age 50 to 75 receiving regular CRC screening is 70.5 percent. <sup>12</sup> Because the periodicity of screening recommended by USPSTF has been increased to 5 years, <sup>13</sup> the proportion in annual surveys will be lower than the HP2020 target.  |
| <b>A12. Had Pap smear or test for cervical cancer (women age 21 to 60)<sup>14</sup></b><br>Percentage of women who reported having a Pap smear or Pap test during the past 12 months. This measure omits women over age 60 who are least likely to be eligible for screening.  | Women age 21–60 with Medicaid, private insurance, and uninsured   | NHIS 2012                   | Because screening is recommended every 3 or 5 years, the proportion in annual surveys will be lower than the HP2020 target (93 percent for women age 21 to 64). <sup>15</sup>  |
| <b>A13. Had more than 15 office visits</b><br>Percentage of adults who reported more than 15 office visits as defined in C6.   | Adults with Medicaid, private insurance, uninsured, Medicaid adults with and without SSI                    | NHIS 2012<br>NHIS 2009–2011 | Individuals with over 15 office visits may have very high needs or high use may be a sign of opportunities for improved clinical management.   |
| <b>A14. Had an ER visit in past 12 months and most recent ER visit was related to a serious health problem or an access barrier<sup>8</sup></b><br>Percentage of adults as defined in A5.  | Adults with Medicaid, private insurance, and uninsured  | NHIS 2012                   | See A5. If physicians are unable to meet demand from the new Medicaid expansion population, ER use related to access problems could increase.  |
| <b>A15. Reported 4 or more ER visits</b><br>Percentage of adults who reported having gone to a hospital ER 4 or more times in the past 12 months.  | Adults with Medicaid, private insurance, uninsured, Medicaid adults with and without SSI                    | NHIS 2012<br>NHIS 2009–2011 | High use of the ER relative to others may signify complex health needs, poor access to primary care, or a need for patient education.  |

## MACStats APPENDIX TABLE, Continued

**Notes:** NAMCS-NEHRS is the 2012 National Ambulatory Medical Care Survey-National Electronic Health Records Survey. NSCH is the National Survey of Children's Health. NHIS is the National Health Interview Survey. NS-CSHCN is the National Survey of Children with Special Health Care Needs.

HP2020 is Healthy People 2020. SSI is Supplemental Security Income. EPSDT is the Medicaid early and periodic screening, diagnostic, and treatment benefit. USPSTF is the U.S. Preventive Services Task Force. CDC is the Centers for Disease Control and Prevention. ER is hospital emergency room or emergency department. CSHCN is children with special health care needs.

Recommendations by the USPSTF are based on a rigorous review of existing peer-reviewed evidence; see U.S. Preventive Services Task Force (USPSTF), *About the USPSTF* (Washington, DC: USPSTF). <http://www.uspreventiveservicestaskforce.org/about.htm>.

Surveys from which the measures are drawn use different methods to sample individuals, and data are collected from different time periods. In addition, the surveys have different questions about health insurance and different reference periods. As a result, the population sampled and subsequently classified as Medicaid, privately insured, or uninsured differs based on the data source. See additional notes in MACStats Tables 23–27 for detailed definitions of populations and insurance coverage.

- 1 U.S. Centers for Disease Control and Prevention (CDC), U.S. Department of Health and Human Services, *Healthy People 2020: Topics and national data-technical specifications* (Atlanta, GA: CDC, 2013). <http://healthypeople.gov/2020/topicsobjectives2020/TechSpecs.aspx?hp2020id=AHS-5.2>.
- 2 CSHCN is children with special health care needs. CSHCN are identified in the NSCH and NS-CSHCN using a 5-item, parent-reported tool that identifies children across the range and diversity of childhood chronic conditions and special needs who currently experience 1 or more of 5 common health consequences due to a physical, mental, behavioral, or other type of health condition lasting or expected to last at least 12 months. For more on how children are categorized as CSHCN, see Child and Adolescent Health Measurement Initiative (CAHMI), *Fast facts: Children with special health care needs screener* (Portland, OR: CAHMI, 2007). <http://childhealthdata.org/docs/cshcn/cshcn-screener-cahmi-quickguide-pdf.pdf>.
- 3 Measures S7, T4, and A4 are child quality measures developed by the Maternal and Child Health Bureau, Health Resources and Services Administration through the Child & Adolescent Health Measurement Initiative (CAHMI). For details on these measure definitions, see Data Resource Center for Child & Adolescent Health (DRC), CAHMI, *Indicator 4.9d: Medical home component: Effective care coordination*. <http://www.nschnetdata.org/browse/survey/results?q=2512&r=1> [for S7]; DRC, CAHMI, *Indicator 4.8: Problems getting referrals, only children who needed referrals*. <http://www.nschnetdata.org/browse/survey/results?q=2549&r=1> [for T4]; DRC, CAHMI, *Indicator 4.8: Children who receive coordinated, ongoing, comprehensive care within a medical home*. <http://www.nschnetdata.org/browse/survey/results?q=2507&r=1> [for A4].
- 4 Individuals were defined as having serious mental illness if they reported an activity limitation due to depression, anxiety, or emotional problem; feelings interfered with life a lot in the past 30 days; or received a score of 13 or over (out of 24) on the Kessler Psychological Distress Scale (K6) in the NHIS. See R.C. Kessler, P.R. Barker, L.J. Colpe, et al., Screening for serious mental illness in the general population, *Archives of General Psychiatry* 60, no. 2 (2003): 184–189.
- 5 NS-CSHCN survey questions from which this measure is constructed are whether the child has a personal doctor or nurse, has a usual source of sick and well-child care, or has no problems obtaining needed referrals; family is satisfied with doctors' communication, or gets help coordinating the child's care if needed; doctor spends enough time with the child, listens carefully to the parent, is sensitive to the family's customs, or provides enough information; and the parent feels like a partner in care.
- 6 Maternal and Child Health Bureau, *The national survey of children with special health care needs chartbook 2009–2010* (Rockville, MD: Health Resources and Services Administration, U.S. Department of Health and Human Services, 2013). <http://mchb.hrsa.gov/cshcn0910>.
- 7 U.S. Centers for Disease Control and Prevention (CDC), U.S. Department of Health and Human Services, *Healthy People 2020: Topics and national data-technical specifications* (Atlanta, GA: CDC, 2013). <http://healthypeople.gov/2020/topicsobjectives2020/TechSpecs.aspx?hp2020id=MICH-30.2>.
- 8 The ER visit is classified as a serious health problem if it resulted in a hospital admission, a health provider advised the person to go, the problem was too serious for a doctor's office, or they arrived by ambulance. The ER visit is classified as an access-related problem if it happened either at night or on the weekend, or when their doctor's office or clinic was not open, and excludes individuals reporting a serious health problem.
- 9 M. Helfand, and S. Carson, Screening for lipid disorders in adults: Selective update of 2001 U.S. Preventive Services Task Force review, *Evidence Syntheses* 49 (Rockville, MD: Agency for Healthcare Research and Quality, 2008). <http://www.ncbi.nlm.nih.gov/books/NBK33500/>.
- 10 U.S. Centers for Disease Control and Prevention (CDC), U.S. Department of Health and Human Services, *Healthy People 2020: Topics and national data-technical specifications* (Atlanta, GA: CDC, 2013). <http://healthypeople.gov/2020/topicsobjectives2020/TechSpecs.aspx?hp2020id=HDS-6>.
- 11 Over time and geographically, vaccination rates fluctuate based on supply of the vaccine and flu activity, reducing the utility of monitoring changes for the entire population. When vaccine supply is limited, health professionals are instructed to focus vaccination efforts on older adults and people with conditions that place them at high risk of developing complications from influenza. See L.A. Krosskopf, et al., Prevention and control of influenza with vaccines: Recommendations of the Advisory Committee on Immunization Practices—United States, 2013–2014, *Morbidity and Mortality Weekly Review* 62, no. RR07 (2013): 1–43. [http://www.cdc.gov/mmwr/preview/mmwrhtml/rr6207a1.htm?s\\_cid=rr6207a1\\_w#PersonsAtRiskMedicalComplicationsAttributableSevereInfluenza](http://www.cdc.gov/mmwr/preview/mmwrhtml/rr6207a1.htm?s_cid=rr6207a1_w#PersonsAtRiskMedicalComplicationsAttributableSevereInfluenza).
- 12 U.S. Centers for Disease Control and Prevention (CDC), U.S. Department of Health and Human Services, *Healthy People 2020: Topics and national data-technical specifications* (Atlanta, GA: CDC, 2013). <http://healthypeople.gov/2020/topicsobjectives2020/TechSpecs.aspx?hp2020id=C-16>.
- 13 The USPSTF recommends screening adults beginning at age 50 and continuing until age 75 for colorectal cancer using fecal occult blood testing every year, sigmoidoscopy in the past 5 years and blood test in the past 3 years, or colonoscopy in the past 10 years. See U.S. Preventive Services Task Force (USPSTF), *USPSTF A and B Recommendations* (Washington, DC: USPSTF). <http://www.uspreventiveservicestaskforce.org/uspstf/uspsabrecons.htm>.
- 14 The USPSTF recommends against cervical cancer screening for women who have had a hysterectomy with removal of the cervix and who do not have a history of cervical abnormalities or cancer, but the 2012 NHIS removed the survey item capturing this history. Women over age 60 are not included in measure A12 to minimize overcounting of older women not eligible for screening. The USPSTF recommends screening for cervical cancer in women age 21 to 65 with cytology (Pap smear) every 3 years, and provides an alternative recommendation of screening every 5 years for women age 30 to 65 who want to lengthen the screening interval. See U.S. Preventive Services Task Force (USPSTF), *USPSTF A and B Recommendations* (Washington, DC: USPSTF). <http://www.uspreventiveservicestaskforce.org/uspstf/uspsabrecons.htm>.
- 15 U.S. Centers for Disease Control and Prevention (CDC), U.S. Department of Health and Human Services, *Healthy People 2020: Topics and national data-technical specifications* (Atlanta, GA: CDC 2013). <http://healthypeople.gov/2020/topicsobjectives2020/TechSpecs.aspx?hp2020id=C-15>.

**Source:** MACPAC analysis.