

## State Profile for the Capitated Financial Alignment Demonstration

### New York: Fully Integrated Duals Advantage Demonstration for Individuals with Intellectual and Developmental Disabilities (FIDA- IDD)

<b>Dates</b>	
Memorandum of understanding signed	November 5, 2015
Opt-in enrollment starts	April 1, 2016
Passive enrollment starts	No passive enrollment
<b>Enrollment</b>	
Covered population	<ul style="list-style-type: none"> <li>• age 21 and older;</li> <li>• eligible for:               <ul style="list-style-type: none"> <li>– Office for Persons with Developmental Disabilities (OPWDD) services,</li> <li>– intermediate care facilities for individuals with intellectual and developmental disabilities (ICF-IDD) level of care or enrolled in the Section 1915(c) OPWDD comprehensive waiver; and</li> </ul> </li> <li>• living in four regions across New York: New York City, Long Island (Nassau and Suffolk Counties), Rockland County, and Westchester County</li> </ul>
Number eligible as of March 2016	20,000
Number enrolled as of December 2017	713
Percent of eligible that opted out as of December 1, 2017 <sup>1</sup>	Not available
<b>Payment</b>	
Number of participating plans	One
Savings percentage range <sup>2</sup>	0.25–1 percent
Number of rating categories	Two
Other risk mitigation strategies	<ul style="list-style-type: none"> <li>• Risk corridors</li> <li>• Enrollment mix adjustment</li> </ul>
<b>Benefits</b>	
Expanded benefits	<ul style="list-style-type: none"> <li>• Section 1915(c) OPWDD comprehensive waiver items and services</li> <li>• ICF-IDD services</li> <li>• inpatient mental health over 190-day lifetime limit</li> <li>• intensive psychiatric rehabilitation treatment programs</li> <li>• intensive behavioral health services</li> <li>• individual directed goods and services</li> <li>• transportation</li> <li>• substance abuse program services</li> <li>• other supportive services the interdisciplinary team determines necessary</li> </ul>
Carved out benefits	Hospice
Required community involvement	participating plans must contract with an adequate number of community-based long-term services and supports (LTSS) providers to allow participants a choice of at least two providers of each covered community-based LTSS service within a 15-mile radius or 30 minutes from the participant's zip code of residence
<b>Care Coordination</b>	
Number of days to complete health risk assessment <sup>3</sup>	Within 30 days to effective enrollment date, and must be completed by a registered nurse
Number of days to establish individualized care plan <sup>4</sup>	Within 60 days of completing the health risk assessment

Education requirements for care coordinator <sup>5</sup>	<ul style="list-style-type: none"> <li>• must be a licensed professional such as a registered nurse, licensed social worker, or psychologist and have one year experience working with individuals with IDD</li> <li>• required to have appropriate experience and qualifications commensurate with a participant's individual needs (i.e., communication, cognitive, or other barriers)</li> <li>• must have knowledge of physical health, OPWDD services, appropriate services in the community, behavioral health, prescription drugs, and durable medical equipment</li> </ul>
Care coordinator caseload requirements	Not specified
Number of days to maintain continuity of care from previous coverage	<ul style="list-style-type: none"> <li>• each enrollee may maintain current providers and service levels for at least 90 days after enrollment</li> <li>• if a participant is receiving services from a behavioral health provider at the time of enrollment, he or she may continue to get services from that provider until treatment is complete, but not for more than two years</li> <li>• services delivered in an OPWDD certified residence other than an ICF-IDD at the time the participant enrolls in the FIDA-IDD program, may continue from the existing residential provider as long as the participant's individualized care plan continues to describe the need for the service</li> </ul>
<b>Consumer Protections</b>	
Integrated Medicaid and Medicare appeals process	Yes, except for Medicare Part D appeals
Ombudsman	Independent Consumer Advocacy Network

**Notes:** OPWDD is Office for Persons with Developmental Disabilities.

<sup>1</sup> Enrollment data are not available.

<sup>2</sup> In the event that the FIDA IDD plan experiences losses in demonstration year 1 exceeding 3 percent of revenue in the aggregate in all regions in which the plan participates, the savings percentage for demonstration year will be reduced to .75 percent. CMS and the state will make such a determination at least four months prior to the start of demonstration year 3.

<sup>3</sup> Plans will also receive the results of the most recent beneficiaries' OPWDD approved assessment to help complete the comprehensive service planning assessment and the individualized care plan.

<sup>4</sup> The individualized care plan is referred to as the Life Plan.

<sup>5</sup> In New York, the care coordinator is referred to as the care manager.

**Sources:**

Centers for Medicare & Medicaid Services (CMS), U.S. Department of Health and Human Services. 2017. Monthly enrollment by plan. Baltimore, MD: CMS. <https://www.cms.gov/Research-Statistics-Data-and-Systems/Statistics-Trends-and-Reports/MCRAdvPartDENrolData/Monthly-Enrollment-by-Plan.html>.

Centers for Medicare & Medicaid Services (CMS), U.S. Department of Health and Human Services. 2016. New York financial alignment demonstration—Fully Integrated Duals Advantage (FIDA) and FIDA Intellectual and Developmental Disabilities (IDD) Demonstrations. Baltimore, MD: CMS. <http://www.cms.gov/Medicare-Medicaid-Coordination/Medicare-and-Medicaid-Coordination/Medicare-Medicaid-Coordination-Office/FinancialAlignmentInitiative/New-York.html>.

Centers for Medicare & Medicaid Services (CMS), U.S. Department of Health and Human Services. 2015. *Memorandum of understanding (MOU) between the Centers for Medicare & Medicaid Services (CMS) and the State of New York regarding a federal-state partnership to test a capitated financial alignment model for Medicare-Medicaid enrollees who have intellectual and developmental disabilities*. Baltimore, MD: CMS. <https://www.cms.gov/Medicare-Medicaid-Coordination/Medicare-and-Medicaid-Coordination/Medicare-Medicaid-Coordination-Office/FinancialAlignmentInitiative/Downloads/NYMOUIDD.pdf>.

Medicare Payment Advisory Commission (MedPAC). 2016. *Report to Congress: Medicare and the health care delivery system*. Washington, DC: MedPAC. <http://www.medpac.gov/docs/default-source/reports/june-2016-report-to-the-congress-medicare-and-the-health-care-delivery-system.pdf?sfvrsn=0>